Standard Guideline for Recording and Exchanging Utility Infrastructure Data White Paper

Introduction

Poor utility records increase risk and costs for civil projects, private developments, and utility infrastructure installations. These risks and costs can be reduced by capturing and recording standardized utility data at the time of installation, and systematically recording standardized data on existing utilities exposed during ongoing construction. The Standard Guideline for Recording and Exchanging Utility Infrastructure Data (also referred to as the utility as-built standard) is being developed under the auspices of ASCE's Construction Institute (CI) and Utility Engineering and Surveying Institute (UESI). Committee members developing this standard guideline represent a wide range of backgrounds and experience, including, but not limited to, utility engineering, surveying, computer-aided design (CAD); geophysics; geodetics; geographic information systems (GIS); civil infrastructure design and construction; right-of-way management; and geotechnical engineering.

Purpose

The purpose of this paper is to:

- 1) Introduce summarized content of a pre-ballot draft of the <u>Standard Guideline for</u>

 <u>Recording and Exchanging Utility Infrastructure Data</u> under development by the

 American Society of Civil Engineers (ASCE).
- 2) Promote testing of concepts included in the document by agencies who are developing systems to gather "as-built" utility data.
- 3) Accelerate use of the principles proposed to be embodied in the new ASCE standard guideline prior to formal adoption.

The draft standard guideline is currently under development and additional data items are being considered. ASCE welcomes feedback from early users of these draft concepts and principles to test and improve them, and for sharing lessons learned. Please submit your comments to CI Director Katerina Lachinova (at klachinova@asce.org) by March 1, 2018. If you have suggested edits please use the Track Changes function in Word.

The new standard guideline is intended to provide non-binding guidance to assist right-of-way and utility owners in establishing their own standards. Some agencies have already used earlier versions of this draft standard guideline in development of their as-built processes and data repositories.

The intent of the standard guideline is to present a common definition for communicating the positional accuracy of utility assets and define a minimum set of data attributes necessary to communicate the position along with the type, function, ownership, materials, status and other information related to the asset. Furthermore, the standard guideline is intended to encourage the adoption of standard practices, contract requirements and jurisdictional requirements resulting in permanent records of location determined by direct measurement methods, and collection of data attributes on all new utility infrastructure.

The standard guideline specifies essential elements for the documenting the location and attributes of underground and aboveground utility infrastructure. This includes a particular focus on the documentation of newly installed or exposed infrastructure. It complements ASCE 38 (current version), *Standard Guidelines for the Collection and Depiction of Existing Subsurface Utility Data*.

Administration

The standard guideline will describe the necessary functions to promote long-term reliable, functional, and secure data. The purpose is to facilitate data collection, data management, and data exchange among stakeholders. The standard guideline will recommend best practices with respect to administration of data, data exchange, and data governance.

Utility Infrastructure Data Content and Accuracy

The standard guideline will specify the level of reliability and accuracy of data collected and used to depict utility infrastructure locations, and provide a framework for data exchange that relies on utility location data and relevant attribute data.

The standard guideline specifies all relative positioning be transformed to absolute positions for mapping and data exchange purposes. Relative spatial positioning depends heavily on local conditions and is inappropriate for data exchange purposes.

Example: depth is a measurement to existing ground level and is subject to variations over time. These limitations make depth inadequate for recording vertical positions. To resolve this problem, all vertical measurements shall be recorded and exchanged as orthometric height elevations referenced to the vertical datum of the U.S. National Spatial Reference System (NSRS).

Example: it is common practice to define locations by measurements from other local features, such as locating a water valve by taped distances from face of curb and a nearby power pole. Since the reference objects themselves can be moved or lost over time, these measurements are unreliable for data usage. All relative measurements shall be transformed to a georeferenced system that represents X, Y, Z locations for recording and exchanging.

The standard guideline will specify that horizontal and vertical locations be shown by reference to the horizontal and vertical datums of the NSRS or some other commonly recognized horizontal and vertical coordinate system so data can be readily shared with others. If a recognized system cannot be used, e.g., a localized reference system, the necessary parameters for transforming the data from the local system to the NSRS horizontal and vertical datums are to be included with the infrastructure data. The horizontal and vertical datums shall be managed and exchanged at the record level (See Appendix A).

The standard guideline proposes requirements for levels of positional accuracy of utility infrastructure as shown in Table 1.

Table 1. Positional Accuracy Requirements

| Accuracy Level | Positional Accuracy ¹ | Applies to | Comment |
|-------------------|----------------------------------|------------------|--|
| 1 | ±0.1 feet (±25 mm) Vertical | Z data | Coincides with requirements in ASCE 38 (current edition) quality level A (QLA). |
| | ±0.2 feet (±50 mm) Horizontal | X and Y data | |
| 2 | ±0.2 feet (±50 mm) | X, Y, and Z data | Substantially identical to Level 1, but removes the close tolerance on vertical methods and thus can be generally achieved without the need for survey bench leveling. |
| 3 | ±0.5 feet (±150 mm) | X, Y, and Z data | Generally possible using GPS equipment and RTK methods. |
| 4 | ±1 foot (±300 mm) | X, Y, and Z data | Generally achievable by post-processed mapping grade GPS equipment. |
| 5 | ±3+ feet (±1000 mm) | X, Y, and Z data | Generally achievable with mapping grade GPS. |
| 6 | ±3+ feet (±1000 mm) | X and Y data | Positional accuracies of Z data are unreliable or not available. |
| 7 | Indeterminate | | Positional accuracies of X, Y, and Z data are indeterminate. |

At the 95% confidence level, using the root-mean-square error (RMSE) in accordance with FGDC-STD-007.3-1998. "Positional Accuracy" is in direct reference to the actual geodetic positional coordinates as referenced to the National Spatial Reference System (NSRS) maintained by the National Oceanic and Atmospheric Administration (NOAA) National Geodetic Survey (NGS). Geodetic positional coordinates (latitude, longitude, and orthometric heights) reference to the official U.S. datums, currently, the North American Datum of 1983 (NAD 83) and the North American Vertical Datum of 1988 (NAVD 88).

Note that the positional accuracy of suspended aerial cables and wires is variable due to environmental factors and therefore shall be classified as Level 7, except at the points where they are anchored to support structures such as poles. The positional accuracy of points measured in the field should be assessed separately from the positional accuracy of derived features.

Framework for Data Exchange

The standard guideline does not provide a prescriptive utility data model but rather by consensus defines the elements of utility data needed to facilitate utility data exchange with sufficient information to enable virtual regeneration of a 3-D model representation of the utility infrastructure with appropriate attribution that is consistent and useful for civil engineering

purposes. The standard guideline describes a framework for data exchange of utility infrastructure data. Appendix A contains a detailed list of the components and their data attributes.

Utility Infrastructure Data to Measure and Record

Documents

Documents such as inspection reports, specifications and photographs often provide additional information about the utility asset that is advantageous to exchange with others. These documents and photos augment, but do not replace the geographic information about a specific asset. To be useful, these associated documents and photographs should be associated with the specific utility asset(s) they describe. A unique identifier (ID) should be used to link associated documents and photographs to the associated asset.

Trenchless Technology

Where part or all of the installation of a pipeline is to be achieved by trenchless technology methods, collect the spatial pipeline feature data at points where the pipe enters and leaves the borehole and at all required test pit verification points through which the boring passes at an accuracy level meeting or exceeding the positional accuracy requirements.

For that portion of pipeline between the entrance and exit of the borehole, horizontal and vertical spatial data should be gathered using indirect means (e.g., bore logs with recorded inertial navigation data for the boring tip or 3-D electromagnetic sonde observations recorded along the surface) and shall be recorded with an accuracy meeting or exceeding Positional Accuracy Level 6. This is especially important for deep borings that exceed practical limitations of electromagnetic detection and vacuum excavation methods as well as inaccessible borings, such as channel crossings or beneath structures.

Where other underground utilities cannot be exposed or designated (e.g., when it passes below a structure or body of water) its position should be measured where the utility was last observed when it enters and when it exits the obscured area. The portion of utility that is obscured shall be clearly indicated that it has not been measured or designated. Any portion of

a trenchless feature not directly measured or designated shall be designated in accordance with ASCE 38 and Positional Accuracy Level 7.

Considerations When Exchanging Data

Metadata should be provided with all exchanged data. At a minimum, features that are exchanged include the metadata elements described in Table 2. In addition, include metadata that describes how and when the data was collected, the coordinate reference system and datum used, the individual(s) who certified the data, and any known limitations.

Several attributes defined in Table 2 are to be conveyed as real numbers. In these cases, the number of digits after the decimal point should correspond to the accuracy of the data. For example, a feature's width that is recorded to within a tenth of a foot should be specified as X.X feet (which in practice means X.X plus or minus 0.05 feet).

Utilities are an essential element of critical infrastructure. Utility data should be treated as sensitive information and handled accordingly. Utility data that support security operations may be considered sensitive security information (SSI) and must be labelled, distributed, and handled in accordance with 49 CFR 1520.

Each entity is responsible for the data it collects and disseminates; therefore, each entity must decide how to handle sensitive data. The following guidelines are recommended:

- Establish and document policies for exchanging utility data.
- Inform employees, consultants, and others with data access about the policies.
- Determine which data are defined as SSI by 49 CFR 1520. Label, disseminate, and handle the data accordingly.
- Classify data by level of sensitivity, label and handle in an appropriate manner.
- Clearly document in the metadata all data usage restrictions, including restrictions on access to the data.

Appendix A. Framework for Utility Data Exchange

The standard guideline will define a minimum set of data types and data attributes required for data exchange. Each feature is described using the following tables:

- Type as shown in Table 2.
- Geometry type as shown in Table 3.
- Attributes as shown in Table 4 and Table 5.

Table 2. Feature Types

| Feature Type | Definition | Comment |
|----------------------|---|-------------------------------|
| Segment | A linear utility feature represented by a | Examples include water line, |
| | series of connected points. | electric cable, and |
| | | communication line. |
| Device | A discrete utility feature that is directly | Examples include valve, |
| | involved with the conveyance, control, or | splice, and transformer. |
| | distribution of a particular utility service. | |
| Access Point | An opening that provides access to utility | Examples include lid, cover, |
| | devices, segments, and containing | door, and grate. |
| | structures. This feature type is only a | |
| | point or two-dimensional. | |
| Support Structure | A structure used to support utility lines | Examples include pole, |
| | and devices. | tower, and thrust block. |
| Containing Structure | A structure or chamber that houses or | Examples include pull box, |
| | provides access to utility devices and | junction box, manhole, vault, |
| | typically provides a junction area for | and valve box. |
| | multiple utility lines. | |
| Secured Utility Area | An area typically fenced off to restrict | |
| | access to utility facilities. | |
| Encasement | A structure that encloses and protects | Examples include concrete |
| | utility facilities and surrounding | cap, steel pipe, and tunnel. |
| | infrastructure, environment, and the | |
| | public. | |
| Marker | A visible or remotely detectable sign or | |
| | device used to reference the location of a | |
| | utility facility. | |
| Tracer | A wire or tape used to reference the | |
| | location of a linear utility facility. | |

Table 3. Geometry Types

| Feature Type | Geometry Type (Minimum Required¹) | Geometry Type (Optional ²) |
|----------------------|-----------------------------------|--|
| Segment | Line String | 3D Object ³ |
| Device | Point | Polygon or 3D Object |
| Access Point | Point | Polygon |
| Support Structure | Point | Polygon or 3D Object |
| Containing Structure | Polygon | 3D Object |
| Secured Utility Area | Polygon | |
| Encasement | Line String | 3D Object |
| Marker | Point | |
| Tracer | Line String | |

¹ Minimum required geometry type corresponds to the simplest geometric depiction of a feature type that conforms to this standard.

² Optional geometry type corresponds to alternative geometric depictions of a feature type that may be used under this standard.

³ If using a 3D Object geometry type, the 3D Object shall include all the necessary transformation data to convert local coordinate data to real world coordinate data.

Table 4. Feature Attributes

| | Applies to Feature Type | | | | | | | | |
|------------------------------|-------------------------|--------|--------------|----------------------|-------------------------|-------------------------|------------|--------|--------|
| Feature Attribute | Segment | Device | Access Point | Support Structure | Containing Structure | Secured Utility Area | Encasement | Marker | Tracer |
| ID | М | М | М | М | М | М | М | М | М |
| Owner | М | М | М | М | М | М | М | М | М |
| Operator | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Utility Type | М | М | М | М | М | М | М | М | М |
| Device Type | М | М | М | М | М | | М | 0 | 0 |
| Conveyance Purpose | М | М | | М | М | | М | 0 | 0 |
| Intended Permanence | М | М | М | М | М | М | М | М | М |
| Buried Status | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Operational Status | М | М | М | М | М | М | М | М | М |
| Horizontal Spatial Reference | М | М | М | М | М | М | М | М | М |
| Vertical Spatial Reference | М | М | М | М | М | М | М | М | М |
| Accuracy Level | М | М | М | М | М | М | М | М | М |
| XYZ | М | М | М | М | M | М | М | М | М |
| Azimuth | | С | С | С | С | С | | | |
| XYZ Observed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| XY Relative Position | С | С | С | С | С | С | С | С | С |
| Z Relative Position | С | С | С | С | С | С | С | С | С |
| XYZ Junction Point | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Quality Level | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Linked File | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Date Data Collected | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Data Sensitivity Level | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Is Certified | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certification Summary | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Material | 0 | | | 0 | | | 0 | | 0 |
| Is Cathodic Protected | 0 | | | 0 | | | 0 | | 0 |
| Is Encased | 0 | | | | | | 0 | | 0 |
| Is Filled | 0 | | | | 0 | | 0 | | |
| Fill Material | 0 | | | | | | 0 | | 0 |
| Conveyance Method | 0 | 0 | | | | | | | |
| Cross Section Configuration | 0 | | | | | | | | |
| Number of Conduits | 0 | | | | | | | | |
| Inside Height | 0 | | | | 0 | | 0 | | |
| Inside Width | 0 | | | | 0 | | 0 | | |
| Inside Length | | | | | 0 | | | | |
| Outside Height | 0 | 0 | | 0 | 0 | | 0 | | |
| Outside Width | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Outside Length | | 0 | 0 | 0 | 0 | 0 | | | |
| Wall Thickness | 0 | | | | 0 | | 0 | | |

M = Minimum requirement

O = Optional

C = Conditional (applies if the geometry type used is a 3D object or if observed data are available) Blank = Does not apply

Table 5. Feature Attribute Definitions

| Attribute | Definition | Domain |
|--------------|---|-------------------------------|
| ID | Alphanumeric utility feature identifier | |
| Owner | Name of the entity that owns the utility | |
| | feature | |
| Operator | Name of the entity or entities that operate the utility feature | |
| Utility Type | Type of utility feature (see definitions of | Chemical |
| , ,, | various utility types in Table 6) | Communication |
| | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | Compressed Air or Other Gas |
| | | Disposal |
| | | Electric |
| | | Heating and Cooling |
| | | Natural Gas |
| | | Non-Potable Water |
| | | Petroleum |
| | | Reclaimed (or Recycled) Water |
| | | Steam |
| | | Storm Water |
| | | Wastewater |
| | | Water |
| | | Joint Use |
| | | Other |
| | | Unknown |
| Device Type | Type of device | Air Eliminator |
| | | Amplifier |
| | | Anchor |
| | | Anode |
| | | Antenna |
| | | Armor |
| | | Attenuator |
| | | Capacitor |
| | | Cable |
| | | Catch Basin |
| | | Cathodic Test Station |
| | | Clean Out |
| | | Cap |
| | | Conduit |
| | | Cover |
| | | Culvert |
| | | Culvert End |
| | | Curb Inlet |
| | | Door |
| | | Downspout |
| | | Drain Congretor |
| | | Drain Separator |
| | | Drop Inlet |
| | | Duct Pank |
| | | Duct Bank |
| | | Fill Point |
| | | Filter |

| Attribute | Definition | Domain |
|-----------|------------|---------------------------|
| | | Fire Connection Point |
| | | Generator |
| | | Glycol Recovery Pit |
| | | Grate |
| | | Grease Trap |
| | | Grit Chamber |
| | | Ground |
| | | Ground Point |
| | | Ground Rod |
| | | |
| | | Guy Anchor |
| | | Guy Pole |
| | | Guy Wire |
| | | Hand Hole |
| | | Head Bolt Outlet |
| | | Headwall |
| | | Hydrant |
| | | Impedance Matching Point |
| | | Inlet |
| | | Intake |
| | | Junction Box |
| | | Lid |
| | | Lift Station |
| | | Light |
| | | Lighting Circuit Point |
| | | Lighting Service Point |
| | | Line |
| | | Line Clean Out |
| | | Load Capacitor |
| | | Load Coil |
| | | Manhole |
| | | Marker Sign |
| | | Marker Post |
| | | Media Converter |
| | | Meter |
| | | Motor |
| | | Network Systems Site |
| | | Neutralizer |
| | | Oil Water Separator |
| | | Outlet |
| | | Paging Device |
| | | Paging Device Panel |
| | | |
| | | Pedestal |
| | | Pig Launch Point |
| | | Pipe End |
| | | Pole |
| | | Pre-Conditioned Air Unit |
| | | Pressure Reducing Station |
| | | Pull Box |
| | | Pump |
| | | Pump Booster Station |
| | | Pump Ejector Station |

| Attribute | Definition | Domain |
|-----------|------------|-------------------------|
| | | Pump Station |
| | | Pump Station Ejector |
| | | Push Brace |
| | | Radio |
| | | Receptacle |
| | | Rectifier |
| | | Reducer |
| | | Regulator |
| | | Regulator Reducer |
| | | Relay |
| | | Repeater |
| | | Reservoir |
| | | RFID Marker |
| | | Riser |
| | | Sample Point |
| | | Satellite |
| | | Sensor |
| | | Service Loop |
| | | Service Point |
| | | Solar Panel |
| | | Speaker |
| | | Splice |
| | | Splice Box |
| | | Splitter |
| | | Sprinkler |
| | | Stilling Basin |
| | | Stormceptor |
| | | Storm Filter |
| | | Storm Gate |
| | | Stub Out |
| | | Switch |
| | | Tank |
| | | Tape |
| | | Telephone |
| | | Terminal |
| | | Terminator |
| | | Thrust Block |
| | | Tower |
| | | Transformer |
| | | Treatment Unit |
| | | Trench |
| | | Tunnel |
| | | Undefined Utility Point |
| | | Vault |
| | | Valve |
| | | Valve Box |
| | | Vent |
| | | Wing wall |
| | | Wire |
| | | Other |
| | | Unknown |

| Attribute | Definition | Domain |
|---|--|---------------------|
| Conveyance Purpose | Primary purpose of service of the utility | Cathodic Protection |
| , | feature | Control Monitoring |
| | | Cooling |
| | | Data Transfer |
| | | Distribution |
| | | Fire |
| | | Gathering |
| | | Heating |
| | | Irrigation |
| | | Lighting |
| | | Service |
| | | Sign Illumination |
| | | Trace |
| | | Traffic Control |
| | | Transmission |
| | | Other |
| | | Unknown |
| Intended Permanence | Intended longevity of the utility feature | Permanent |
| ciiaca i ciilialiciice | interruped for Bevilly of the utility feature | Temporary |
| Buried Status | Indicator of whether the feature is partially or | Completely Buried |
| Buried Status | completely underground. | Partially Buried |
| | completely underground. | Aboveground |
| Operational Status | Operational status of the utility feature (see | Proposed |
| Operational Status | definition of various operational status | In Service |
| | · · | Out of Service |
| | options in Table 7). | Abandoned in Place |
| | | Removed |
| | | Unknown |
| Horizontal Spatial | Coordinate system and datum associated | Olikilowii |
| Reference | with the X and Y coordinates | |
| Vertical Spatial Reference | Coordinate system and datum for the Z | |
| | coordinate | |
| Accuracy Level | Numerical code describing the positional | |
| | accuracy of points defining the utility feature, | |
| | as described in Table 1. | |
| XYZ | X, Y, and Z coordinates representing the | |
| | center of the utility feature for data exchange | |
| | purposes. Depending on the | |
| | implementation, the X, Y, and Z coordinates | |
| | may be stored as separate fields in a table or | |
| | as part of an array that contains spatial data | |
| | in a single field. | |
| | For non-linear structures, XYZ represents an | |
| | anchor point used for 3D representations, | |
| | which may or not coincide with the feature's | |
| | centroid. In many cases, XYZ coincides with | |
| | the observed location in the field (e.g., center | |
| | of manhole lid). For proper orientation in a | |
| | 3D space, the Azimuth attribute is also | |
| | necessary. | |
| | necessary. | |

| Attribute | Definition | Domain |
|----------------------------|---|---------------------------------|
| Azimuth | Horizontal angle (measured clockwise) of the | |
| | length dimension of a utility feature with | |
| | respect to a north base line. | |
| XYZ Observed | X, Y, and Z coordinates of the utility feature | |
| | as measured in the field. Depending on the | |
| | implementation, the X, Y, and Z coordinates | |
| | may be stored as separate fields in a table or as part of an array that contains spatial data | |
| | in a single field. | |
| XY Relative Position | Relative position of XYZ Observed with | Left Edge |
| At the lative i obligation | respect to the horizontal alignment of the | Center |
| | utility feature. | Right Edge |
| Z Relative Position | Relative position of XYZ Observed with | Crown/Top |
| | respect to the elevation of the utility feature. | Soffit |
| | | Center |
| | | Invert |
| | | Bottom |
| XYZ Junction Point | X, Y, and Z coordinates of the junction point | |
| | where two features connect (e.g., the point where a pipe into a manhole or vault, or the | |
| | point where a manhole chimney connects to | |
| | a vault). | |
| Quality Level | Quality level in accordance with ASCE/CI 38- | A |
| , | 02 | В |
| | | С |
| | | D |
| Linked File | Name of file or files that contain information | |
| | about the utility feature. Examples of files | |
| | include photographs, CAD files, sketches, | |
| | video, permit files, agreement files, | |
| | replacement cost estimates, and other supporting information. Depending on the | |
| | implementation, the file names may be | |
| | stored as separate field entries in a table or | |
| | as part of an array that contains file names in | |
| | a single field. | |
| Date Data Collected | Date when a utility feature was surveyed in | |
| | the field. | |
| Data Sensitivity Level | Indicator of the sensitivity level of the data | Unrestricted |
| | recorded for a utility feature. If the data are | Restricted |
| | considered sensitive security information | SSI |
| | (SSI), the data must be labeled on any output produced and handled in accordance with 49 | |
| | CFR 1520. | |
| Is Certified | Indicator of whether the data have been | True |
| | certified | False |
| Certification Summary | Name and credentials of the party that | |
| , | certified the data | |
| Material | Predominant material of which the utility | Acrylonitrile Butadiene Styrene |
| | feature is constructed. For features that | Aluminum |
| | | Asbestos Cement |

| Attribute | Definition | Domain |
|-----------------------|--|----------------------------------|
| | transmit a signal or electrical power, material | Asphalt |
| | refers to the conductor material. | Block |
| | | Brick |
| | | Canvas |
| | | Clay |
| | | Coaxial Cable |
| | | Composite |
| | | Concrete |
| | | Corrugated Metal |
| | | Corrugated Plastic |
| | | Copper |
| | | Ductile Iron |
| | | Earthen |
| | | Fiberglass |
| | | Fiber Optic (or Optical Fiber) |
| | | Galvanized Steel |
| | | Geotextile |
| | | Glass |
| | | Gravel |
| | | High Density Polyethylene (HDPE) |
| | | Iron |
| | | Multiple |
| | | Nickel |
| | | Other |
| | | Pitch Fiber |
| | | Plastic |
| | | Polyethylene |
| | | Polypropylene |
| | | Polystyrene |
| | | Polyvinyl Chloride (PVC) |
| | | Reinforced Concrete |
| | | Steel |
| | | Stone |
| | | Terracotta |
| | | Tile |
| | | Titanium |
| | | Transite |
| | | Twisted Pair Copper |
| | | Wood |
| | | Wrapped Steel |
| Is Cathodic Protected | Indicator of the presence of a cathodic | True |
| | protection | False |
| Is Encased | Indicator of the presence of encasement to | True |
| | insulate or protect the utility feature | False |
| Is Filled | Indicator of the presence of filling material | True |
| | inside the infrastructure feature | False |
| Fill Material | Material used to fill the space between a | Flowable Fill |
| | utility feature and its encasement or an out-of- | Foam |
| | service feature. | Sand |
| | | Styrofoam |
| | | , |

| Attribute | Definition | Domain |
|--------------------|---|--------------------|
| Conveyance Method | Method to move or convey matter through | Gravity |
| • | the utility feature | High Pressure |
| | | Low Pressure |
| | | Pressurized |
| | | Other |
| | | Unknown |
| | | Not Applicable |
| Cross Section | Configuration of the cross section of the utility | Arch |
| Configuration | feature | Box |
| | | Cable |
| | | Circular Pipe |
| | | Duct |
| | | Duct Bank |
| | | Horizontal Ellipse |
| | | Pear |
| | | Trench |
| | | Other |
| Number of Conduits | Number of conduits within a pipe or duct bank | |
| Inside Height | For circular shaped segments, inside diameter | |
| _ | of the utility feature cross section. | |
| | | |
| | For non-circular shaped segments, maximum | |
| | inside height of cross-sectional shape. | |
| | | |
| | For features other than segments, maximum | |
| | inside height of feature. | |
| Inside Width | For circular shaped segments, not applicable. | |
| | | |
| | For non-circular shaped segments, maximum | |
| | inside width of cross-sectional shape. | |
| | | |
| | For features other than segments, maximum | |
| | inside width of feature. | |
| Inside Length | For segments, not applicable. | |
| | | |
| | For features other than segments, maximum | |
| | inside length of feature (measured in the | |
| | horizontal plane, perpendicular to the width). | |
| Outside Height | For circular shaped segments, outside | |
| | diameter of the utility feature cross section. | |
| | | |
| | For non-circular shaped segments, maximum | |
| | outside height of cross-sectional shape. | |
| | | |
| | For features other than segments, maximum | |
| | outside height of feature. | |
| Outside Width | For circular shaped segments, not applicable. | |
| | | |
| | For non-circular shaped segments, maximum | |
| | outside width of cross-sectional shape. | |
| | | |

| Attribute | Definition | Domain |
|----------------|--|--------|
| | For features other than segments, maximum | |
| | outside width of feature. | |
| Outside Length | For segments, not applicable. | |
| | For features other than segments, maximum | |
| | outside length of feature (measured in the | |
| | horizontal plane, perpendicular to the width). | |
| Wall Thickness | Maximum wall thickness. | |

Table 6. Domain Definitions for Utility Type

| Utility Type | Carries |
|-------------------------------|--|
| Chemical | Chemical substances other than petroleum and natural gas. |
| Communication | Data, voice, and/or video signals. Depending on the carrier technology |
| | and other factors, a communication feature can include optical fiber, |
| | coaxial cable, or twisted pair copper. |
| Compressed Air or Other Gas | Compressed air or a gas other than natural gas. |
| Disposal | Disposal substances, typically in connection with the extraction of |
| | hydrocarbon products. |
| Electric | Electrical power. |
| Heating and Cooling | Fluids used to heat or cool buildings and other facilities. |
| Natural Gas | Flammable gas, mostly methane, that occurs naturally underground. |
| Non-Potable Water | Non-potable water. Non-potable water is water that has not been |
| | tested, treated, and approved for human consumption. |
| Petroleum | Raw or refined petroleum products in a liquid state. |
| Reclaimed (or Recycled) Water | Water that has been reclaimed or recycled and can be used for new, |
| | usually non-drinking, purposes. |
| Steam | Pressurized steam. |
| Storm Water | Storm water. |
| Wastewater | Water that has been used at homes, businesses, and industrial processes. |
| Water | Potable water. |
| Joint Use | More than one type of utility. |

Table 7. Domain Definitions for Operational Status

| Operational Status | Description |
|--------------------|---|
| Proposed | Proposed utility feature that has not been built yet |
| In Service | Active, in-service utility feature (including short-term service |
| | interruptions for maintenance activities) |
| Out of Service | Temporary non-usage of a functioning utility feature in which property |
| | rights are maintained |
| Abandoned in-Place | Permanent non-usage (i.e., the utility feature will not be used again) in |
| | which property rights are relinquished but liabilities (e.g., environmental |
| | liabilities) are maintained |
| Removed | Physically removed from the field |
| Unknown | |

Appendix B. Data Collection Intervals along Linear Features

This section provides guidance on factors to consider when assessing the interval between data collection observations along linear features. Data collection intervals along linear features depend on factors such as physical characteristics of the features and accuracy level requirements. Data collection methods might also vary depending on the specific application, e.g., exposed trench direct survey versus remote sensing data collection. Although the general principles described are generic and should be familiar to those in the engineering, utility investigation, and surveying fields, nothing described here replaces professional expertise and judgment.

Collecting data along linear features normally involves collecting data at specific locations. For traditional surveying methods, the spacing between points is relatively coarse. For remote sensing methods, the point density could be very high, but the resulting dataset is a collection of data points that define a linear feature. The alignment of a linear feature and required accuracy level control the data collection interval. Guidance for data collection intervals is discussed as follows:

- **Straight Alignments.** For straight alignments, data point spacing should be such that the positional error of any data point along the linear feature does not exceed the limits in Table 1.
- Curved Alignments. Figure 1 shows a curve linear feature. The curve could be
 horizontal, vertical, or a combination. In this situation, a line string (dashed line) passing
 through the collection of data points varies from the true alignment of the curve (solid
 line). For an accuracy level to apply to a linear feature, the positional error due to the
 chord offset (i.e., distance A in Figure 1) cannot exceed the limits in Table 1.
- **Deflections.** Figure 2 shows a diagram that illustrates the effects of curvature and deflection. Deflection may occur at any point horizontally or vertically. The offset error at point A is the error due to curvature as discussed in the previous section. Errors at points B and C are due to deflection. For an accuracy level to apply to a linear feature with deflection points, additional points must be collected at points B and C so that the offset errors are less than the limits in Table 1. Curves may be present concurrently in

- any combination with points of deflection (horizontally or vertically), therefore, it is necessary to consider linear features in segments. For each segment, a point collection interval should produce a modeled feature whose position compared to the true position does not exceed the limits in Table 1 at any point along the segment.
- Computed Splines or Curves. It is increasingly common to use software functions to generate modeled splines or curves through a collection of points. In principle, similar positional error requirements as those for chords could apply. However, data exchange could be problematic if the parameters of the computed curve are not properly identified and documented. For this reason, it is generally preferable and simpler to use chords when assessing positional accuracy requirements along linear features.
- Flexible Features. Utility infrastructure, like other civil infrastructure, can shift over time. This effect can be particularly evident in the case of flexible materials. In some situations, it may not be possible to achieve the highest levels of positional accuracy described in Table 1.

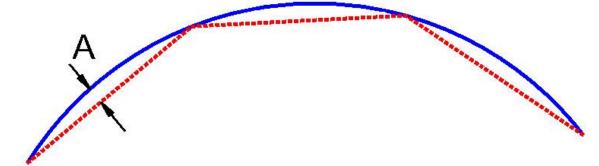


Figure 1. Offset Error of Chorded Line String

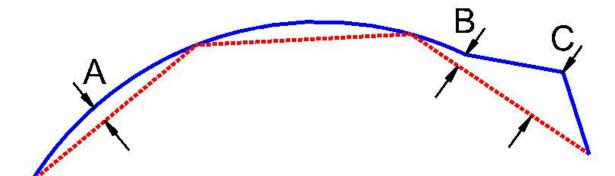


Figure 2. Offset Error Due to Deflection

The surveyor should assess potential error situations that can arise for any installation and increase the density of data collection observations to 1) provide adequate statistical accuracy and confidence for a least squares fit alignment or position depiction, and 2) minimize the risk of distorting the spatial representation of the utility facility. Survey observations should include:

- All deflection points (vertical and horizontal), as described above.
- All joints (noting that the collection point is at a joint and at maximum geometric deviations such as flairs for bell joints), and at locations where facilities join or diverge, such as at tees, Ys, splice joints, and valves.
- All non-segment features (see Table 2).
- Rigid feature segments (e.g., reinforced concrete pipe installed at fixed grades). A
 recommended practice is an observation interval of 20 feet.
- Flexible feature segments (e.g., direct bury fiber optic). A recommended practice is an observation interval of 10 feet.