

Divide and Conquer

Design and Analysis of Algorithms
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Algorithms – Divide and Conquer 4.2

Divide and Conquer, MergeSort

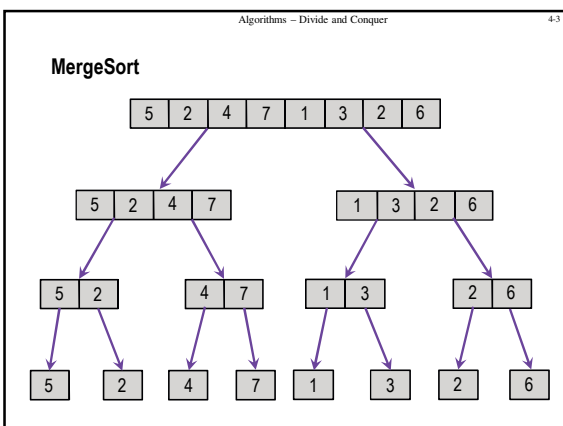
Recursive algorithms: Call themselves on subproblem

Divide and Conquer algorithms:

- Split a problem into subproblems (divide)
- Solve subproblems recursively (conquer)
- Combine solutions to subproblems (combine)

MergeSort

- Divide: Split a given sequence into halves
- Conquer: By calling itself sort the two halves
- Combine: Merge the two sorted arrays into one



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MergeSort

MergeSort(A,p,r)

Input: array A, positions p,r

Output: array A such that entries A[p],...,A[r] are sorted

Method:

```

if p < r then do
  set q := ⌊(p+r)/2⌋
  MergeSort(A,p,q)
  MergeSort(A,q+1,r)
  Merge(A,p,q,r)
endif
    
```

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Merge

The Merge procedure is applied to array A and three positions p, q, r in this array

Assume

- $p \leq q < r$
- A[p], ..., A[q] and A[q+1], ..., A[r] are ordered

Outputs ordered sequence in positions A[p], ..., A[r]

This sequence is generated by comparing the two elements on the top of subarrays and moving the smaller one

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MergeSort: Running Time

The running time of Merge when applied to two arrays of total size n is $\Theta(n)$

The running time, T(n), of MergeSort is

$$T(n) = Cn + T(n/2) + T(n/2) + Dn$$

divide

↖

recursion

↖ ↗

Merge

↖

If $n = 1$ then $T(1) = C$

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MergeSort: Running Time

Recursion tree

There are 2^i nodes on level i
 Each node requires $\frac{Cn + Dn}{2^i}$ work

Total work on each level: $(C+D)n$

There are $\log n$ levels

Theorem
 The running time of MergeSort is $\Theta(n \log n)$

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Substitution and Partial Substitution

If we can guess that $T(n) \leq (C + D)n \log n$, we can verify our guess:

$$\begin{aligned} T(n) &= 2T(n/2) + (C + D)n \\ &\leq 2(C + D)n/2 \log(n/2) + (C + D)n \\ &= (C + D)n \log(n/2) + (C + D)n \\ &= (C + D)n \log n - (C + D)n + (C + D)n \\ &= (C + D)n \log n \end{aligned}$$

Or we can do the same in order to find constant factors or parameters

Suppose our guess is $T(n) \leq cn \log n$

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Master Theorem

Master Theorem
 Let $a \geq 1$ and $b > 1$ be constants, let $f(n)$ be a function, and let $T(n)$ be defined on the nonnegative integers by the recurrence $T(n) = aT(n/b) + f(n)$.

Then $T(n)$ can be bounded asymptotically as follows:

- If $f(n) \in O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then $T(n) \in \Theta(n^{\log_b a})$
- If $f(n) \in \Theta(n^{\log_b a})$, then $T(n) \in \Theta(n^{\log_b a} \log n)$
- If $f(n) \in \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $a f(n/b) \leq c f(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) \in \Theta(f(n))$

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Counting Inversions

Comparing two rankings
 A ranking is a permutation of some objects
 Objects can be numbered, and one of the rankings is just the natural order

The Counting Inversions Problem

Instance:
 A permutation a_1, \dots, a_n of numbers $1, \dots, n$

Objective:
 Find the number of pairs i, j , $i < j$ such that $a_i > a_j$

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Algorithm Idea

Straightforward algorithm takes $O(n^2)$ time

Use divide and conquer approach:
 split the sequence into two halves
 find the number of inversions in the halves
 then what?

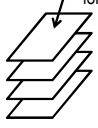
Observation:
 'Between halves' inversion have the form (a_i, a_j) where a_i is in the first half, a_j is in the second half, and $a_i > a_j$

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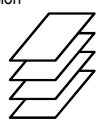
Algorithm Idea (cntd)

Assuming that the two halves are sorted we can run a procedure similar to Merge

If this card is greater than the one on the top of the second half, then all cards in the rest of the first half form an inversion



first half



second half

Algorithm

```

Merge-and-Count(A,B)
set curr1:=1, curr2:=1 /* current cards in halves
set count:=0          /* # of inversions
while curr1≠last1+1 and curr2≠last2+1
  if A[curr1]≤B[curr2] then do
    output A[curr1]
    set curr1:=curr1+1
  else do
    output B[curr2]
    set curr2:=curr2+1
    set count:=count+(last1-curr1+1)
  endif
endwhile
output the rest of the non-empty half and count

```

Algorithm (cntd)

```

Sort-and-Count(L)
If last=1 then no inversions
else do
  divide L into two halves:
    A contains the first ⌊last/2⌋ elements
    B contains the remaining elements
  set (p,A):=Sort-and-Count(A)
  set (q,B):=Sort-and-Count(B)
  set (r,L):=Merge-and-Count(A,B)
endif
output p+q+r and the sorted list L

```

Sort-and-Count**Theorem**

The Sort-and-Count algorithm correctly sorts the input and counts the number of inversions.

It runs in $O(n \log n)$ time for a list of n elements