# $\lambda$ -Calculus: Then & Now

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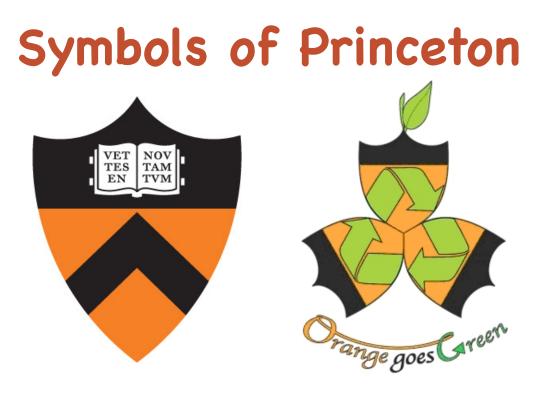
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#### TURING CENTENNIAL CELEBRATION Princeton University, May 10-12, 2012

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Notes derived from the slides presented at the conferences. A brief amount of text has been added for continuity. The author would be happy to hear reactions and suggestions. Version of 25 August 2012



Traditional From the Graduate Alumni (to encourage ecology)

# The λ-calculus was begun at Princeton, and the purpose of this report is to show how it has been **recycled** every decade after the 1930s in **new** and **useful** ways.

WARNING: We cannot give here a complete history of Mathematical Logic and related areas. The present report may even have too much detail. But it is hoped readers might be encouraged to look further.

### A Quick Look Back to Beginnings

#### 1870s

Begriffsschrift

#### 1880s

What are numbers? Number-theoretic axioms

#### 1890s

Vorlesungen über die Algebra der Logik Grundgesetze der Arithmetik Formulario Mathematico Grundlagen der Geometrie

#### 1900s

Diophantine problem Russell's Paradox Principles of Mathematics Richard's Paradox Theory of Types

#### 1910s

Principia Mathematica Calculus of relatives

#### WW I -

#### 1920s

Löwenheim-Skolem Theorem Propositional calculus completeness Monadic predicate calculus decidable Abstract proof rules Primitive recursive arithmetic Combinators Function-based set theory "Conceptual" undecidability Epsilon operator Combinators (again) Ackermann function Entscheidungsproblem Abriss der Logistik & simple type theory Frege (1879)

Dedekind (1888) Peano (1889)

Schröder (1890–1905) Frege (1893-1903) Peano (1895-1901) Hilbert (1899)

> Hilbert (1900) Russell (1901) Russell (1903) Richard (1905) Russell (1908)

Whitehead-Russell (1910-12-13) Löwenheim (1915)

> Skolem (1920) Post (1921) Behmann (1922) Hertz (1922) Skolem (1923) Schönfinkel (1924) von Neumann (1925) Finsler (1926) Hilbert-Bernays (1927) Curry (1927) Ackermann (1928) Hilbert-Ackermann (1928) Carnap (1929)

#### It was very reasonable for Hilbert and Ackermann to emphasize the Decision Problem, as special cases had been solved.

# **Church vs. Turing**





#### Alonzo Church

**Born:** 14 June 1903 in Washington, D.C., USA. **Died:** 11 Aug 1995 in Hudson, Ohio, USA. **Ph.D.:** Princeton University, 1927, USA

#### Alan Turing

**Born:** 23 June 1912, Maida Vale, London, UK. **Died:** 7 June 1954, Wilmslow, Cheshire, UK. **Ph.D.:** Princeton University, 1938, USA.

**Alonzo Church**, *"An Unsolvable Problem in Elementary Number Theory,"* American J. of Mathematics, vol. 5 (1936), pp. 345-363.

**Alonzo Church**, *"A Note on the Entscheidungsproblem,"* J. of Symbolic Logic, vol. 1 (1936) pp. 40-41. Correction: *ibid*, pp. 101-102.

**Alan Turing**, *"On Computable Numbers with an Application to the Entscheidungsproblem,"* Proc. of the London Math. Soc., vol. 42 (1936), pp. 230-267. Correction: vol. 43 (1937), pp. 544-546.

Alan Turing, "Computability and  $\lambda$  -definability," J. Symbolic Logic, vol. 2 (1937), pp. 153-163.

The work of Church and Turing in 1936 was done independently.

# **Three Pioneers**



#### **Haskell Brooks Curry**

Born: 12 Sept 1900 in Millis, MA, USA. Died: 1 Sept 1982 in State College, PA, USA. Ph.D.: Göttingen Universität, 1930, Germany. Thesis: Grundlagen der kombinatorischen Logik



#### **Stephen Cole Kleene**

Born: 5 Jan 1909 in Hartford, CN, USA.
Died: 25 Jan 1994 in Madison, WI, USA.
Ph.D.: Princeton University, 1934, USA.
Thesis: A Theory of Positive Integers in Formal Logic



#### J. Barkley Rosser

**Born:** 6 Dec 1907 in Jacksonville, FL, USA. **Died:** 5 Sept 1989 in Madison, WI, USA. **Ph.D.:** Princeton University, 1934, USA. **Thesis:** A Mathematical Logic without Variables

It seems, sadly, that Alan Turing never had a chance to meet these people or Kurt Gödel.

### A Very Busy Decade

### 1930s

Combinatory logic Curry (1930-32) Herbrand's Theorem Herbrand (1930) Completeness proof Gödel (1930) Partial consistency proof Herbrand (1931) Incompleteness Gödel (1931) Church (1932-33-41) Untyped  $\lambda$ -calculus Studies of primitive recursion Péter (1932-36) Non-standard models Skolem (1933) Functionality in Combinatory Logic Curry (1934) Grundlagen der Mathematik Hilbert-Bernays (1934-39) Natural deduction Gentzen (1934) Number-theoretic consistency &  $\varepsilon_0$  -induction Gentzen (1934) Inconsistency of Church's System Kleene-Rosser (1936) Confluence theorem Church-Rosser (1936) Post (1936) Finite combinatory processes Turing machines Turing (1936-37) Church-Turing (1936) Recursive undecidability General recursive functions Kleene (1936) Further completeness proofs Maltsev (1936) Improving incompleteness theorems Rosser (1936) Fixed-point combinator Turing (1937) Computability and  $\lambda$ -definability Turing (1937)

Starting out with Gödel and ending up with Turing, it would take a long time to comprehend and apply all the developments in this period.

# What is the $\lambda$ -Calculus?

The calculus gives rules for the *explicit definition* of functions; however, the *type-free* version also permits *recursion* and *self-replication*.

### **α-conversion**

 $\lambda X \cdot [\dots X \dots] = \lambda Y \cdot [\dots Y \dots]$ 

### $\beta$ -conversion

 $(\lambda X.[\ldots X.\ldots])(T) = [\ldots T.\ldots]$ 

η-conversion

 $\lambda$ X.F(X) = F

Church's original system (1932) also had rules for *logic*, but that was the system Kleene-Rosser (1936) proved *inconsistent!* 

The names of the rules are due to Curry. The last rule fails in many interpretations, and special efforts are needed to make it valid.

# Does $\lambda$ -Calculus have Models?

**Yes!** There *is* a calculus for *enumeration operators! First we need some simple definitions on integers and sets of integers:* 

 $(n,m) = 2^{n}(2m+1)$ 

$$set(0) = \emptyset$$

**set**((n,m)) = **set**(n)  $\cup$  {m}

 $X^* = \{ n \mid set(n) \subseteq X \}$ 

Application $F(X) = \{m \mid \exists n \in X^* . (n,m) \in F \}$ Abstraction $\lambda X . [\dots X \dots] =$  $\{0\} \cup \{(n,m) \mid m \in [\dots set(n) \dots] \}$ 

Every set of integers can be used as an enumeration operator. The operator is computable if the set is r.e. Many compound contexts do define enumeration operators.

# The Connection to Computability

Church Numerals

$$\underline{\mathbf{0}} = \boldsymbol{\lambda} \mathbf{F} \cdot \boldsymbol{\lambda} \mathbf{X} \cdot \mathbf{X}$$

$$\underline{\mathbf{n+1}} = \boldsymbol{\lambda} \mathbf{F} \cdot \boldsymbol{\lambda} \mathbf{X} \cdot \mathbf{F}(\underline{\mathbf{n}}(\mathbf{F})(\mathbf{X}))$$

$$\underline{\mathbf{n}+\mathbf{m}} = \boldsymbol{\lambda} \mathbf{F} \cdot \boldsymbol{\lambda} \mathbf{X} \cdot \underline{\mathbf{n}}(\mathbf{F})(\underline{\mathbf{m}}(\mathbf{F})(\mathbf{X}))$$

 $\underline{\mathbf{n} \times \mathbf{m}} = \lambda \mathbf{F} \cdot \underline{\mathbf{n}} (\underline{\mathbf{m}} (\mathbf{F}))$ 

 $\underline{\mathbf{m}^{n}} = \underline{\mathbf{n}}(\underline{\mathbf{m}})$ 

<u>**n-1**</u> = [a little harder]

Fixed-Point Combinator

$$\mathbf{Y} = \boldsymbol{\lambda} \mathbf{F} \cdot (\boldsymbol{\lambda} \mathbf{X} \cdot \mathbf{F} (\mathbf{X} (\mathbf{X}))) (\boldsymbol{\lambda} \mathbf{X} \cdot \mathbf{F} (\mathbf{X} (\mathbf{X})))$$

Y(F) = F(Y(F))

**Theorem.** For every *partial recursive function* g(n), there is a *constant*  $\lambda$ *-term* **G** such that

 $\mathbf{G}(\underline{n}) = \underline{g}(\underline{n})$ , for all n.

Kleene and Turing independently proved this in different ways.

In the **model**, G denotes an r.e. set.

# Some $\lambda$ -Definitions

pair = 
$$\lambda X \cdot \lambda Y \cdot \lambda F \cdot F(X)(Y)$$

$$\mathbf{fst} = \boldsymbol{\lambda} \mathbf{P} \cdot \mathbf{P} ( \boldsymbol{\lambda} \mathbf{X} \cdot \boldsymbol{\lambda} \mathbf{Y} \cdot \mathbf{X} )$$

snd = 
$$\lambda P \cdot P (\lambda X \cdot \lambda Y \cdot Y)$$

$$\operatorname{succ} = \lambda \operatorname{N} \cdot \lambda \operatorname{F} \cdot \lambda \operatorname{X} \cdot \operatorname{F} (\operatorname{N}(\operatorname{F})(\operatorname{X}))$$

shft =  $\lambda$  S.  $\lambda$  P. pair(S(fst(P)))(fst(P))

pred =  $\lambda$  N. snd(N(shft(succ))(pair( $\underline{0}$ )( $\underline{0}$ )))

Kleene's "trick" here is to introduce **pairs** as a **data structure**, and then apply iteration to get a **sequence** of pairs.

test =  $\lambda N. \lambda U. \lambda V.$  snd  $(N(shft(\lambda X.X))(pair(V)(U)))$ mult =  $\lambda N. \lambda M. \lambda F. N(M(F))$ fact =  $\lambda N.$  test $(N)(\underline{1})($ mult(N)(fact(pred(N)))))fact =  $Y(\lambda F. \lambda N.$  test $(N)(\underline{1})($ mult(N)(F(pred(N))))))

### The factorial function must be the most **overdefined** function in the history of mankind!

# Turing's Only Student



#### **ROBIN OLIVER GANDY**

Born: 23 September 1919, Peppard, Oxon., UK.
Died: 20 November 1995, Oxford, UK.
Ph.D.: Cambridge, 1953.
Thesis: On axiomatic systems in Mathematics and theories in Physics.
Supervisor: Alan Turing.
Reader: Oxford University, Wolfson College, 1969-1986.
Students: 26 and 126 descendants.

Another pioneer, Gandy, later became a key contributor

to the development of **Recursive Function Theory**.

It is interesting to note that both the teams of

Myhill and Shepherdson

and, later,

#### Friedberg and Rogers

defined enumeration operators without seeing they had models for the  $\lambda$ -calculus.

**Church-Turing Thesis** 

accepted with the help of Kleene after Turing explained his machines.

Effectively computable functions of natural numbers can be identified with those definable by:

λ-calculus

- Herbrand-Gödel equations
- Partial-recursive schemata
- Turing-Post machine programs

If Gödel had stayed in Princeton, and
If Church and Kleene had argued better for data structures in the λ-calculus,
Then surely Gödel would have accepted λ-calculus as a foundation much earlier.
Note that Kleene proved the equivalence with
Herbrand-Gödel computability before Turing's work.

# Kleene's Complaint

I myself, perhaps unduly influenced by rather chilly receptions from audiences around **1933-35** to disquisitions on  $\lambda$ -definability, chose, after **general recursiveness** had appeared, to put my work in that format. I did later publish one paper **1962** on  $\lambda$ -definability in higher recursion theory.

I thought general recursiveness came the closest to *traditional mathematics*. It spoke in a language familiar to mathematicians, extending the theory of *special recursiveness*, which derived from formulations of Dedekind and Peano in the mainstream of mathematics.

I cannot complain about my audiences after **1935**, although whether the improvement came from switching I do not know. In retrospect, I now feel it was too bad I did not keep active in  $\lambda$ -definability as well. So I am glad that interest in  $\lambda$ -definability has revived, as illustrated by Dana Scott's **1963** communication.

Were the truth to be known, Kleene **translated** much of what he had done in  $\lambda$ -calculus into working with integers. Indeed, the **application operation** {e}(n) defines a **partial combinatory algebra** with many properties similar to the work of Curry and Rosser.

# What is the Entscheidungsproblem?

To determine whether a formula of the *first-order* predicate calculus is *provable* or not.

# **Church's Solution**

**Theorem.** Only a finite number of axioms are needed to define a *non-recursive* set of integers.

### **R.M.Robinson's Arithmetic**

(1) 
$$\forall x \forall y [x = y \iff Sx = Sy]$$

(2) 
$$\forall x [ x = 0 \iff \neg \exists y. x = Sy ]$$

(3) 
$$\forall x \forall y [ (x + 0) = x \& (x + Sy) = S(x + y) ]$$

(4)  $\forall x \forall y [(x \times 0) = 0 \& (x \times Sy) = ((x \times y) + x)]$ 

After the solution of Hilbert's 10th Problem, the applicability of this theory became even easier.

# Turing's Solution

**Theorem.** Only a finite number of axioms are needed to define the *Universal Turing Machine*.

# Minskyizing the UTS

Starting with Claude Shannon in 1956, many people often in competition with Marvin Minsky — proposed very small UTMs (but their operation requires extensive coding of patterns). But, axiomatically, they do not require as many axioms as Turing did.

# Post-Markov's Solution

The basic idea of Post (1943) was that a **logistic system** is simply a set of rules specifying how to **change** one string of symbols (**antecedent**) into another string of symbols (**consequent**). This leads to:

The Word Problem for Semigroups

(1)  $\forall x \forall y [x 1 = x = 1 x]$ 

(2)  $\forall x \forall y \forall z [x (y z) = (x y) z]$ 

**Problem:** Determine the provability of  $A_0 = B_0 \& A_1 = B_1 \& \dots \& A_{n-1} = B_{n-1} \Longrightarrow A_n = B_n$ .

# Schönfinkel-Curry's Solution

Schönfinkel in 1924 and then Curry in 1929, both at Göttingen, began the study of **combinators**, which were quickly connected with Church's  $\lambda$ -calculus of 1932.

From them — with hindsight — we get:

Another Undecidable Theory

(1)  $\forall x \forall y [ \mathbf{K}(x)(y) = x ]$ 

(2) 
$$\forall x \forall y \forall z [ \mathbf{S}(x)(y)(z) = x(z)(y(z)) ]$$

(3)  $\neg K = S$ 

**Problem:** Determine the provability of  $T = \underline{0}$ .

The only problem with this theory is that you either need **models** or something like the

#### Church-Rosser Theorem

to know it is **consistent**. A weaker theory of **deterministic reduction** can be given a fairly short axiomatization and then be proved consistent by much simpler means.

# What's Happened Since the 1930s?

### The 1940s

Simple type theory & λ-calculus Primitive recursive functionals WW II

Recursive hierarchies Theory of categories New completeness proofs

# The 1950s

Computing and Intelligence Rethinking combinators IAS Computer (MANIAC) Introduction to Metamathematics IBM 701 Thom Arithmetical predicates FORTRAN Ba ALGOL 58 LISP Combinatory Logic. Volume I. Cur Adjoint functors Recursive functionals & quantifiers, I.&II. Countable functionals Church (1940) Gödel (1941-58)

Kleene (1943) Eilenberg-Mac Lane (1945) Henkin (1949-50)

Turing (1950) Rosenbloom (1950) von Neumann (1951) Kleene (1952) Thomas Watson, Jr. (1952) Kleene (1955) Backus et al. (1956-57) Bauer et al. (1958) McCarthy (1958) Curry-Feys-Craig (1958) Kan (1958) &//. Kleene (1959-63) Kleene-Kreisel (1959)

# McCarthy, LISP, & $\lambda$ -Calculus

*LISP History according to McCarthy's memory in 1978.* Presented at the ACM SIGPLAN History of Programming Languages Conference, June 1-3, 1978. It was published in **History of Programming Languages**, edited by Richard Wexelblat, Academic Press 1981. *Two quotations:* 

I spent the summer of 1958 at the IBM Information Research Department at the invitation of Nathaniel Rochester and chose differentiating algebraic expressions as a sample problem. It led to the following innovations beyond the FORTRAN List Processing Language:

• • • •

(c) To use functions as arguments, one needs a notation for functions, and it seemed natural to use the  $\lambda$ -notation of Church (1941). I didn't understand the rest of his book, so I wasn't tempted to try to implement his more general mechanism for defining functions. Church used higher-order functionals instead of using conditional expressions. Conditional expressions are much more readily implemented on computers.

• • • •

Logical completeness required that the notation used to express functions used as functional arguments be extended to provide for recursive functions, and the LABEL notation was invented by Nathaniel Rochester for that purpose. D. M. R. Park pointed out that LABEL was logically unnecessary since the result could be achieved using only  $\lambda$  — by a construction analogous to Church's Y-operator, albeit in a more complicated way.

#### Other key McCarthy publications:

*Recursive Functions of Symbolic Expressions and their Computation by Machine (Part I).* The original paper on LISP from **CACM**, April 1960. Part II, which never appeared, was to have had some Lisp programs for algebraic computation.

A Basis for a Mathematical Theory of Computation, first given in 1961, was published by North-Holland in 1963 in **Computer Programming and Formal Systems**, edited by P. Braffort and D. Hirschberg.

*Towards a Mathematical Science of Computation,* IFIPS 1962 extends the results of the previous paper. Perhaps the first mention and use of **abstract syntax**.

*Correctness of a Compiler for Arithmetic Expressions* with James Painter. May have been the first proof of *correctness of a compiler*. Abstract syntax and Lisp-style recursive definitions kept the paper short.

#### An HTML site concerning Lisp history can be found at:

http://www8.informatik.uni-erlangen.de/html/lisp-enter.html

# The 1960s

**Recursive procedures** Dijkstra (1960) Backus et al. (1960) ALGOL 60 Smullyan (1961) Elementary formal systems Grothendieck topologies M.Artin (1962) Higher-type  $\lambda$ -definability Kleene (1962) Grothendieck topoi Grothendieck et al. SGA 4 (1963-64-72) CPL Strachey, et al. (1963) Lawvere (1963) Functorial semantics **Continuations (1)** van Wijngaarden (1964) Adjoint functors & triples Eilenberg-Moore (1965) Cartesian closed categories Eilenberg-Kelly (1966) **ISWIM** & SECD machine Landin (1966) **CUCH** & combinator programming Böhm (1966) New foundations of recursion theory Platek (1966) Tait (1967) Normalization Theorem **AUTOMATH** & dependent types de Bruijn (1967) *Finite-type computable functionals* Gandy (1967) van Wijngaarden (1968) **ALGOL 68** Normal-form discrimination Böhm (1968) Category of sets Lawvere (1969) Typed domain logic Scott (1969-93) Domain-theoretic  $\lambda$ -models Scott (1969) Howard (1969 - 1980) Formulae-as-types Adjointness in foundations Lawvere (1969)

**Theorem.** The category of **T**<sub>0</sub>-topological spaces and continuous functions is *not* cartesian closed.

**Theorem.** The category of **T**<sub>0</sub>-topological spaces *with* an equivalence relation and continuous functions *respecting* equivalence *is* cartesian closed.

# Cartesian closed categories give us the algebraic version of typed $\lambda$ -calculus.

## The 1970s

**Continuations (2) Continuations (3) Continuations (4)** Categorical logic Elementary topoi Denotational semantics Coherence in closed categories Quantifiers and sheaves Martin-Löf type theory Logic for Computable Functions System F, Fw From sheaves to logic Polymorphic  $\lambda$ -calculus Call-by-name, call-by-value Modeling Processes **Scheme Functional programming & FP** First-order categorical logic **Edinburgh LCF** Let-polymorphic type inference Intersection types ML \*-Autonomous categories Sheaves and logic

Mazurkiewicz (1970) F. Lockwood Morris (1970) Wadsworth (1970) Joyal (1970+) Lawvere-Tierney (1970) Scott-Strachey (1970) Kelly (1971) Lawvere (1971) Martin-Löf (1971) Milner (1972) Girard (1974) Reyes (1974) Reynolds (1974) Plotkin (1975) Milner (1975) Sussman-Steele (1975-80) Backus (1977) Makkai-Reyes (1977) Milner et al. (1978) Milner (1978) Coppo-Dezani (1978) Milner et al. (1979) Barr (1979) Fourman-Scott (1979)

This decade saw the importance of constructive logic, the applications to language design and semantics, and the connections to category theory become much clearer.

# The 1980s

Frege structures Aczel (1980) HOPE Burstall et al. (1980) The Lambda Calculus Book Barendregt (1981-84) Plotkin (1981) Structural Operational Semantics Effective Topos Hyland (1982) Dependent types & modularity Burstall-Lampson (1984) Seely (1984) Locally CCC & type theory Calculus of Constructions Coquand-Huet (1985) Cardelli-Wegner (1985) Bounded quantification **NUPRL** Constable et al. (1986) Higher-order categorical logic Lambek-P.J.Scott (1986) **Cambridge LCF** Paulson (1987) Linear logic Girard et al. (1987-89) HOL Gordon (1988) Reynolds (1988) FORSYTHE Girard et al. (1989) Proofs and Types Integrating logical & categorical types Gray (1989) Computational  $\lambda$ -calculus & monads Moggi (1989)

Type theory, resource logic, and computer-assisted theorem proving finally became practical during these years.

# The 1990s

HASKELL Hudak-Hughes-Peyton Jones-Wadler (1990) *Higher-type recursion theory* Sacks (1990) **STANDARD ML** Milner, et al. (1990-97) Lazy  $\lambda$ -calculus Abramsky (1990) Higher-order subtyping Cardelli-Longo (1991) Asperti-Longo (1991) Categories, Types and Structure MacQueen-Appel (1991-98) STANDARD ML of NJ Cardelli (1991) QUEST Edinburgh LF Harper, et al. (1992) **Pi-Calculus** Milner-Parrow-Walker (1992) Categorical combinators Curien (1993) *Translucent types & modular* Harper-Lillibridge (1994) *Full abstraction for PCF* Hyland-Ong/Abramsky, et al. (1995) Algebraic set theory Joyal-Moerdijk (1995) **Object Calculus** Abadi-Cardelli (1996) Typed intermediate languages Tarditi, Morrisett, et al. (1996) Proof-carrying code Necula-Lee (1996) Computability and totality in domains Berger (1997) Typed assembly language Morrisett, et al. (1998) Birkedal, et al. (1998) Type theory via exact categories Categorification Baez (1998)

# Abstract ideas now found many applications in language implementation and in compiling.

# The New Millennium

Predicative topos	Moerdijk-Palmgren (2000)
Sketches of an Elephant	Johnstone (2002+)
Differential λ-calculus	Ehrhard/Regnier (2003)
Modular Structural Operational Semantics Mosses (2004)	
A $\lambda$ -calculus for real analysis	Taylor (2005+)
Homotopy type theory	Awodey-Warren (2006)
Univalence axiom	Voevodsky (2006+)
The safe $\lambda$ -calculus	Ong, et al. (2007)
Higher topos theory	Lurie (2009)

Univalent Foundations Program @ IAS Voevodsky, et al. (2012-13)

In the natural world, convergent evolution can give creatures analogous structures — even though they cannot mate. But, in the intellectual world, analogous structures can be taken advantage of through interfertilization of areas and in finding new applications.

And that we have seen happen with the  $\lambda$ -calculus many, many times over the years.

# A Closing Thought from Robert Harper

For me, I think it is important to stress the **overwhelming influence** of the  $\lambda$ -calculus among all other models of computation:

- It codifies not only computation, but also the basic principles of *human reason* (natural deduction).
- Moreover, it was **born fully formed**, and is directly and immediately relevant to this day, rather than something that collects dust on the shelf.

Admittedly Turing's model had the advantage of being *explicitly psychologically motivated*, but on the other hand Church focused on one of the greatest achievements of the human mind, *the concept of a variable* (= reasoning under hypotheses). Church saw that this was central, and time has born out the significance of his insight.

By contrast, no one cares one bit about the *details* of a Turing Machine; for, it fails to address the central issue of *modularity* (logical consequence), which is so important in programming and reasoning. And it does not extend to *higher-order computation* in anything like a natural or smooth way.

# LAMBDA CONQUERS ALL!

Perhaps my good friend and colleague has spoken a little too strongly here, as Turing Machines have had many applications, say in Complexity Theory. But the study of Programming Languages does not seem to need them today.

## A Selective Bibliography

A very helpful review of the subject of the λ-calculus is in the first reference, and the memoirs by Alonzo Church's two early students are also useful in checking history. The thesis by Rod Adams gives a very careful survey of early literature. A somewhat revisionist view of the history of recursive function theory with many helpful references is found in the Soare paper. Jones and Simonsen fill out ideas related to machine structure. The whole Royal Society volume is devoted to **The Turing Legacy.** And Plotkin also recently wrote on operational semantics. The older collection edited by Rolf Herken, **The Universal Turing Machine: A Half-Century Survey**, has many, many excellent historical discussions by Kleene, Gandy, Davis, Feferman, and others. The papers of Davis and Sieg give very detailed historical reviews of the early 1930s. The recent conference **Church's Thesis After 70 Years** (Olszewski, et al. eds. 2006) has many interesting discussions.

F. Cardone and J.R. Hindley. Lambda-Calculus and Combinators in the 20th Century. In: Volume 5, pp. 723-818, of *Handbook of the History of Logic*, Dov M. Gabbay and John Woods eds., North-Holland/Elsevier Science, 2009.

S. C. Kleene. Origins of recursive function theory. *Annals of the History of Computing*, vol. 3 (1981), pp. 52–67.

J. B. Rosser. Highlights of the history of the lambda calculus. *Annals of the History of Computing*, vol. 6 (1984), pp. 337–349.

R. Adams. *An Early History of Recursive Functions and Computability from Gödel to Turing.* 1983 Ph.D. Thesis. Reprinted by Docent Press, 2011.

R.I. Soare. Formalism and intuition in computability. *Phil. Trans. Royal Soc. A*, vol. 370 (2012), pp. 3277–3304.

N.D. Jones and J.G. Simonsen. Programs = data = first-class citizens in a computational world. *Phil. Trans. Royal Soc. A*, vol. 370 (2012), pp. 3305-3318.

G.D. Plotkin. The origins of structural operational semantics. *Journal of Logic and Algebraic Programming*, vol. 60 (2004), pp. 3-15.

M. Davis. Why Gödel Didn't Have Church's Thesis, *Information and Control*, vol.54 (1982), pp. 3-24.

W. Sieg. Step by Recursive Step: Church's Analysis of Effective Calculability. *Bull. of Symbolic Logic*, vol. 3 (1997), pp. 154-180. (Reprinted in Olszewski, et al. 2006.)

What follows is a listing of **books**. Ph.D. theses and conference proceedings have been excluded, for the most part, as well as very elementary text books. A comprehensive survey is impossible, but the current list has tried to indicate some of the history and development of the **intertwining strands** of  $\lambda$ -calculus, logic, recursive-function theory, category theory, and programming-language semantics.

M. Abadi and L. Cardelli. *A Theory of Objects.* Springer, 1996.

J. Adamek, H. Herrlich and G. E. Strecker. *Abstract and Concrete Categories: The Joy of Cats.* Dover Pub., 2009.

L. Allison. *A Practical Introduction to Denotational Semantics.* Cambridge Univ. Press, 1987.

R. Amadio and P.-L. Curien. *Domains and Lambda-Calculi*, volume 46 of Cambridge Tracts in Theoretical Computer Science. Cambridge University Press, 1998.

C.A. Anderson and M. Zelëny, eds. *Logic, Meaning and Computation : Essays in Memory of Alonzo Church.* Springer, 2001.

A.W. Appel. Compiling with Continuations. Cambridge Univ. Press, 2007.

A.W. Appel, ed. *Alan Turing's Systems of Logic: The Princeton Thesis.* Princeton Univ. Press, 2012.

A. Asperti and G. Longo. *Categories, Types and Structures: An Introduction to Category Theory for the Working Computer Scientist.* MIT Press, 1991.

S. Awodey. Category Theory. Oxford Univ. Press, 2010.

C. Badesa. *The Birth of Model Theory: Löwenheim's Theorem in the Frame of the Theory of Relatives.* Princeton Univ. Press, 2004.

H. P. Barendregt. *The Lambda Calculus, its Syntax and Semantics*. North-Holland, 1981. 2nd (revised) ed. 1984.

J.L. Bell. Toposes and Local Set Theories: An Introduction. Dover Pub., 2008.

J. van Benthem. *Language in Action: Categories, Lambdas, and Dynamic Logic.* MIT Press, 1995.

T.J. Bergin and R.G. Gibson, eds. *History of Programming Languages.* ACM Press and Addison-Wesley, 1996.

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#### And, no, I have not read — or even seen — all these books!

Suggestions, corrections and additions would be appreciated, so please send e-mail to dana.scott@cs.cmu.edu with the subject heading: Lambda calculus.

The question of finding the the most recent edition of a book is vexing, but Amazon.com was quite helpful. Bibliographies of several books and papers were "mined", and of course all these books themselves also give references to the ever more vast journal literature. There is also the problem — in outlining history — of comparing the date of **discovery** to the date of **publication**. Perhaps there are many such confusions in this survey.