

CrossRef Data Rules

| CATEGORY | ITEM | DESCRIPTION | NOTES ON FORMAT | SAMPLE TAG STRUCTURES |
|------------|------------------------------------|---|---|---|
| Batch File | DOI batch | Indicates the beginning/ending of file. | Mandatory element Takes a version attribute which is the version of the DTD used for output current DTD version: 1.0 | <doi_batch version = "0.3"> |
| Head | doi_batch_id | This is a Publisher generated item that identifies the batch. It will be used as a reference in error messages sent by the MDDB | Mandatory element. Format and content of this element is decided by the publisher. | <head> <doi_batch_id> 123456</doi_batch_id> <timestamp>19990628123304</timestamp> <depositor> <name>Name of the Depositor</name> <email_address>xyz@authorized_depositor.com</email_address> </depositor> <registrant>Name of Registrant</registrant> </head> |
| | Time stamp limit: 35 chars. | Indicates version of this batch file. This will be used to uniquely identify batch files | Mandatory element. This is an integer representation of date and time. Publisher can determine format. Higher version numbers replace previously received files with lower version control numbers | |
| | Depositor | Information about the organization that is sending the batch | | |
| | | name | Mandatory element The name of the organization | |
| | | email_address | Mandatory element e-mail address to which batch success and/or error messages are sent | |
| | Registrant limit 130 chars | The organization that owns the information being registered | Mandatory element | |
| Body | Body | Indicates the beginning/ending of complete record set | Mandatory element Contains one or more DOI/metadata record sets | <body> |

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| Record | DOI Record | <p>Indicates the beginning/ending of new DOI assignment</p> <p>Accepts a mandatory type attribute containing one of the following:</p> <ul style="list-style-type: none"> • “full_text” - DOI points to full-text or to a response page that provides the user with option to select full text • “abstract_only” - DOI points to an article’s abstract or to a response page that provides the user with option to select the abstract • “bibliographic_record” - DOI points to the article citation in (e.g. in a bibliographic database.) This may also include the abstract | <p>Mandatory element.</p> <p>Type attribute default is “full_text” If the DOI maps in any way to the full text – even if it resolves to an intermediate screen that ultimately points to the full text, then the type should be “full text”</p> | <doi_record type="full_text"> |
| | Timestamp limit: 35 chars | <p>Indicates version of the DOI record. This will be used to uniquely identify DOI assignments</p> | <p>Optional element</p> <p>This is an integer representation of date and time. Publisher can determine format. Higher version numbers replace previously received files with lower version control numbers</p> | <timestamp>19990628123304</timestamp> |
| DOI data | DOI limit 256 chars | The DOI assigned to the record | Mandatory element | <pre><doi_data> <doi>10.9876/S000369519903216</doi> <url>http://ojps.aip.org/link/?apl/74/2268/ab</url> </doi_data></pre> |
| | URL limit 256 chars | The URL assigned to the DOI | Mandatory element | |
| Metadata for Journal Articles* | Article | Items that identify the article | Mandatory element | <article> |
| | Title* | The title of the article limit 512 chars | Optional element | <title> The complete title of the article</title> |

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|----------|---------|---|--|--|
| | Author* | <p>Author of the journal article to which the DOI points – not the author of the bibliographic record that may be a citation to the article.</p> <p>Author tag takes a mandatory author_sequence attribute indicating author status:</p> <ul style="list-style-type: none"> • “first” – The first author listed on the article (this is not necessarily the corresponding author) • “additional” – Any author who is not the first author | <p>First author is mandatory if it exists. Additional authors are optional.</p> <p>Format of the names themselves is determined by the publisher. Publishers are encouraged to adopt consistent formatting. Where no standard has been established, publishers should consider using initials in the given name field to mimic what might be seen in a reference. Punctuation will be ignored by the MDDB</p> | <pre><author sequence="first"> <given_name>D. L.</given_name> <surname>Peng</surname> </author> <author sequence="additional"> <given_name>Kenneth C.</given_name> <surname>Smith</surname> </author> <author sequence="additional"> <given_name>S.</given_name> <surname>Jones, III</surname> </author></pre> |
| | | <p>Given Name - Author’s first name</p> <p>limit 35chars</p> | <p>This is the author’s first name. The given name would also include middle name(s) or initials if they are available</p> | |
| | | <p>Surname - Author’s last name</p> <ul style="list-style-type: none"> • limit 35chars | <p>Last name information should also include suffix information (e.g. “jr.” or “III”) if available</p> | |
| | Date | <p>Date of Publication</p> <p>Publication dates that are seasonal rather than actual dates should not be converted to dates. See notes on issue numbering under “enumeration” for handling seasons.</p> | <p>Mandatory element.</p> <p>This element can repeat to accommodate multiple types of publication dates</p> <p>Takes an optional type attribute:</p> <ul style="list-style-type: none"> • “print”-publication date of the print version • “online” -publication date of the electronic version • “other” publication date of type other than online or print <p>Type attribute default is “print”</p> | <pre><date date_type="print"> <month>06</month> <day>15</day> <year>1999</year> </date></pre> <p>or:</p> <pre><date date_type="print"> <year>1999</year> </date></pre> |
| | | <p>Month limit: exactly 2 digits</p> | <p>Format: mm</p> | |
| | | <p>Day limit: exactly 2 digits</p> | <p>Format: dd</p> | |
| | | <p>Year limit: exactly 4 digits</p> | <p>Mandatory element. Format: yyyy</p> | |

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| | Enumeration limit 15 chars for each element in the enumeration | Consists of the following: <ul style="list-style-type: none"> • Volume • Issue • first_page • last_page • article_number – publisher assigned number • special_numbering – Issue level numbering for supplements or special issues | These elements are all mandatory, if they exist. Data may be alpha, numeric or a combination. <u>Notes on issue numbering:</u> Only one issue name should be used for the <issue> element. The issue number takes precedent over any other name. For example, if an issue has only a seasonal name, then the season should be listed in the <issue> element. However, if an issue has a number and a season, then only the number should be listed in the <issue> element. See example. | volume 74, issue 3 Spring 1999 should be represented as follows: <enumeration> <volume>74</volume> <issue>3</issue> <first_page>76</first_page> <last_page> 80 </last_page> </enumeration> volume 74 Spring 1999 should be represented as follows: <enumeration> <volume>74</volume> <issue>Spring</issue> <first_page>76</first_page> <last_page> 80 </last_page> </enumeration> |
| | identifier limit 256 chars for each element identified | Article identifiers | Optional element. Can repeat to indicate any identifiers used in referring to this article: Type attribute is mandatory <ul style="list-style-type: none"> • pii – Publisher Item Identifier • sici – Serial Item and Contribution Identifier • doi – digital object identifier • other – article id other than what is listed above | <identifier type="pii"> S0003695199019014</identifier> |
| | Journal | Items that identify the journal | Mandatory element. Must contain at least one of the journal elements listed (full_title, abbrev_title, issn or coden) | <journal> <full_title>Journal Title</full_title> <abbrev_title>Jrnl. Title</abbrev_title> <issn type="print">1234-5678</issn> <issn type = "electronic"> 9876-5432</issn> <coden>applab</coden> </journal> |
| | full_title | the complete journal title limit: limit 256 chars | Mandatory element. | |

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|----------|--------------|--|--|-----------------------|
| | abbrev_title | abbreviated title limit: 150 chars | Optional element. Can be a repeating element containing common abbreviations used in referencing this title | |
| | issn | ISSN limit: exactly 8 chars (in database). Exactly 8 chars. or exactly 9 chars. are allowed for submission | Mandatory element – if it exists. Format should conform with ISSN standards. Stored in the MDDB as an 8 character field. Any hyphens, spaces, periods as the 5 th element will be ignored by the MDDB. This element can be repeated to indicate multiple ISSN ISSN contains a mandatory type attribute.: <ul style="list-style-type: none"> • “print” – for Print ISSN • “electronic” – for Electronic ISSN Type attribute default is “print” | |
| | coden | coden.....limit: 6 chars | Optional element | |

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***NOTE: Character Set**

All non-ASCII characters should be in Unicode. This can be done by a character reference in either hex or decimal. For example, Müller, containing a u with an umlaut could be Müller or Müller. You can also explicitly include multi-byte characters using UTF-8, a multi-byte encoding. SGML entities are not valid in XML submissions to CrossRef.