

On the Construction of Families of type Π_1 Subfactors Each Containing a Middle Subfactors

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Abstract In this article we are going to construct a family of type Π_1 subfactors each containing a middle subfactor. As a result of the above construction we show that the set of the indices of hyperfinite irreducible subfactors contains the interval $[37.0037, \infty)$.

Keywords: subfactors, von Neumann algebras, Jones Index, lattice, relative commutants

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1. Introduction and Preliminaries

In the next chapter we are going to use locally trivial subfactors to construct a set of middle subfactors. The important of locally trivial subfactors was indicated by S.Popa at [9]. The simplest locally trivial subfactors are those having only two orthogonal projections in their relative commutant. It is well known that these subfactors are isomorphic to Jones subfactors.. Also it is easy to show that these kind of subfactors do not possess middle subfactors. For the locally trivial subfactors that their relative commutant have dimension larger than two we believe that the above result is still valid i.e there are no middle subfactors. Suppose we are Given a pair $L \subset M$ of subfactors that are limiting algebras of a tower of commuting squares.

Then using the results in [1] we can show that if the inclusion graphs of the corresponding finite C^* algebras are A graphs, then the subfactors $L \subset M$ do not have middle subfactors. One of the problems in the index theory, is to find the set of all the values for the indices of hyperfinite irreducible subfactors. Using the above constructions, we are going to show that the above set contains the interval $(37.0037, \infty)$.

2. Main Results

For a given pair of subfactors $N \subset M$, With $[M:N] = \lambda^{-1} < \infty$. Let e be a projection in M that induces the expectation N onto $Q = (e)' \cap N$. Let $P_1, P_2 = 1 - P_1$ be a partition of unity in Q . Using standard arguments as in [5], there exists an isomorphism Φ , taking NP_1 onto NP_2 . Let $L(P_1)$ be the set of all the elements of the form $L(P_1) = (x + \Phi(x); x \in NP_1)$. Then it is well known that $L(P_1)$, is a locally trivial subfactor of N . Also by (Lemma2.2.1) [5], $[N : LP] =$

$1 = \text{tr}(P_1) + 1 = \text{tr}(P_2)$. Where tr is a unique normalized trace on M . Suppose P_1 , does not communicate with $e_0 = e$. Set $y = P_1 e P_2 \neq 0$. Note that the relative commutant of $L(P_1)$, inside M is spanned by the projection P_1 . Since y , does not communicate with P_1 , we have that the algebra, $H(P_1) = \langle L(P_1); Y \rangle$ is a middle subfactor which is strictly larger than $L(P_1)$. If under certain conditions $H(P_1)$ becomes strictly smaller than M , then $H(P_1)$ becomes a proper middle subfactor. In this case by the above arguments it is easy to see that the inclusions $H(P_1) \supset L(P_1)$ and $H(P_1) \subset M$ are irreducible inclusion of subfactors. Let us denote $r_1 = [H(P_1) : L(P_1)]$ and $r_2 = [M : H(P_1)]$. Let IR , be the set of all irreducible subfactors of finite index. Let us denote by IIR , the set of indices of all subfactors in IR . Then by (Proposition2.1.15) [5] $r_1 r_2$ is in IIR . It is easy to check that the set of the elements f of the form $f = \sum_{j \in J} u_j g z_j e g w_j$, with $g = E_Q(P_2)$, $z_j \in Q$, $u_j = P_1 x_j P_1$, $w_j = P_1 y_j P_1$, $x_j \in N$, $y_j \in N$ where J is a set of indices is dense in $(H(P_1)) P_1$. Let e_1 be a projection in N , such that $E_Q(e_1) = \lambda$. Then e_1 induces the expectation of Q onto the subfactor $Q_1 = (e_1)' \cap Q$. Next for a number r , $r \in IIR$, construct an irreducible subfactor Q_2 , $Q_2 \subset Q_1$, with $[Q_1 : Q_2] = r$. We can define the projection e_3 , Using (corollary 1.8) [13], there exists a projection e_3 in Q_1 , such that e_3 induces the expectation of Q_2 onto The subfactor Q_3 , with $Q_3 = (e_3)' \cap Q_2$. This process will induce the following tower, $M \supset N \supset Q \supset Q_1 \supset Q_2 \supset Q_3$. Let us set $P_1 = q e_1 e_3$ with q a projection in Q_3 . Now we can check that the following set of elements, f of the form,

$$f = P_1 (1 - \lambda)^2 e_0 \left(\sum_{j \in J} x_j z_j y_j \right) P_1 = (1 - \lambda)^2 P_1 e_0 z P_1,$$

with $z = \sum_{j \in J} x_j z_j y_j$, with x_j, z_j, y_j as in the above and J a set of indices will be a dense subset of $(H(P_1)) P_1$. In particular assuming now that $H(P_1) = M$ implies that the above set of elements are dense in $M P_1$. Furthermore as we mentioned in the above for any number $r \in IIR$; $e_3 \in Q_1$ can be chosen such that $\text{tr}(e_3) = r$. For example suppose

$tr(e_i) = tr(e_0) = .5$. Then it is easy to see that there exists a unitary $V \in (e_3)' \cap N = L$, with L a type π_1 Von Neumann algebra, such that $V e_1 V^* = 1 - e_1$. Then we can express the f from the above as $f = \lambda(1 - \lambda)^2 e_1 e_3 z e_1 e_3 + (e_1 e_3 e_0 V e_1 e_3)(e_1 e_3 V^* z e_1 e_3)$. For a given real number S , let $[S]$, be the largest integer which is smaller or equal to $[S]$. Let us set $S_r = S - [S]$. Let us assume now that $H(P_i) = M$. We will get the following results.

Lemma 1 Keeping the same notations as in the above let $\lambda^{-1} = [\lambda^{-1}] + \lambda_r^{-1}$. Then there exist unitary operators U, U_2, U_3 in L and projection $p \leq e_1$, such that f can be expressed as in the following, $f = (1 - \lambda^2)(e_1 e_3 e_0 q e_1 q^* z e_1 e_3 + e_1 e_3 e_0 U e_1 q^* U^* z e_1 e_3 + \sigma_1 e_1 e_3 e_0 U_2 e_1 U_2^* z e_1 e_3 + \sigma_2 e_1 e_3 e_0 U_3 e_1 U_3^* z e_1 e_3)$. With σ_1 , will be equal to 1 if $[\lambda^{-1}]$ odd integer and equal to zero otherwise. Similarly σ_2 is equal to 1 if λ^{-1} is not an integer and equal to zero otherwise.

Proof First suppose $tr(e_0)^{-1} = \lambda^{-1} = 2n$, for some positive integer n , then let $f_1 = e_1, f_2, \dots, f_{2n}$ be a partition of unity by orthogonal projections in L , such that for any odd integer $k < 2n$, there exists a unitary $V_k \in L_{f_k + f_{k+1}}$, with

$f_k = V_k f_{k+1} V_k^*$. Using our definition of $f_i = e_i$, this implies, $f = (1 - \lambda)^2 \left(\sum_{i=1}^n \begin{pmatrix} e_1 e_3 e_0 f_{2i-1} z e_1 e_3 \\ + e_1 e_3 e_0 V_i f_{2i-1} V_i^* z e_1 e_3 \end{pmatrix} \right)$.

Let $U = \sum_{i=1}^{i=n} V_i$. Then U is a unitary in L . Set

$g = \sum_{i=1}^{i=n} f_{2i-1}$. The we have,

$f = (1 - \lambda)^2 (e_1 e_3 e_0 g z e_1 e_3 + e_1 e_3 e_0 U g U^* z e_1 e_3)$. Next for

each $1 \leq i \leq n$, there exists a unitary $m_i \in L_{e_1 + f_{2i-1}}$ such that $m_i e_1 m_i^* = f_{2i-1}$. Hence $g = \sum_{i=1}^{i=n} m_i e_1 m_i^*$. Next since

for $i \neq j$, $(m_i e_1 m_i^*)(m_j e_1 m_j^*) = 0$. Thus we get

$tr(m_i e_1 m_i^* m_j e_1 m_j^*) = 0$. For $i \neq j$, let us define

$y = e_1 m_j^* m_i e_1$. Then it is easy to check $tr(yy^*) = 0$. This implies that $y = 0$. Another useful relation that we will

need later is the following equality, $e_1 q q^* e_1 = n e_1$ that can be checked easily. Let us define the operator h , with

$h = \left(\sum_i m_i \right) e_1 \left(\sum_k m_k^* \right) = q e_1 q^*$ with, $1 \leq i, k \leq n$ and

$q = \sum_i m_i$. Then using the above relations we can see

that h is a projection, $tr(h) = tr(g)$, and UhU^* is orthogonal

to h . Hence we get, $tr(h) = tr(UhU^*) = .5$. This will implies that f can be expressed as,

$f = (1 - \lambda)^2 (e_1 e_3 e_0 q e_1 q^* z e_1 e_3 + e_1 e_3 e_0 U q e_1 q^* U^* z e_1 e_3)$

Suppose $\lambda^{-1} = 2n+1$. Then we have the following partition

of unity, $e = f_1, f_2, \dots, f_{2n}, f_{2n+1}$. Where the above

projections have equal traces. Furthermore there exists a unitary $U_2 \in L_{e_1 + f_{2n+1}}$ such that f can be expressed as,

$$f = (1 - \lambda)^2 \begin{pmatrix} e_1 e_3 e_0 q e_1 q^* z e_1 e_3 + e_1 e_3 e_0 U q e_1 q^* U^* z e_1 e_3 \\ + e_1 e_3 e_0 U_2 q e_1 q^* U_2^* z e_1 e_3 \end{pmatrix} \text{ then}$$

we have the following partition of unity by the following projections, $e = f_1, f_2, f_3, \dots, f_{2n}, \sigma_1 f_{2n+1}, \sigma_2 f_0$. Where σ_1 and σ_2 , can only take values 0 or 1, depending if the corresponding projections f_{2n+1} and f_0 are or are not equal to zero. Furthermore for $k \neq 0$, all non zero projections f_k 's, have equal traces and $tr(f_0) < \lambda$. Hence generally f can be

$$\text{expressed as, } f = (1 - \lambda)^2 \begin{pmatrix} e_1 e_3 e_0 q e_1 q^* z e_1 e_3 \\ + e_1 e_3 e_0 U q e_1 q^* U^* z e_1 e_3 \\ + \sigma_1 e_1 e_3 e_0 U_2 e_1 U_2^* z e_1 e_3 \\ + \sigma_2 e_1 e_3 e_0 U_3 e_1 U_3^* z e_1 e_3 \end{pmatrix}$$

Where U and U_2 are as in the above, U_3 a unitary in $L_{e_1 + f_0}$ and p , is a sub projection of e_1 and is in $L_{e_1 + f}$. At this point note that we can extend U_2 and U_3 to be unitaries in L . Finally we can express f , as

$$f = (1 - \lambda)^2 \begin{pmatrix} (e_1 e_3 e_0 q e_1 e_3) (e_1 e_3 q^* z e_1 e_3) \\ + (e_1 e_3 e_0 U q e_1 e_3) (e_1 e_3 q^* U^* z e_1 e_3) \\ + \sigma_1 (e_1 e_3 e_0 U_2 e_1 e_3) (e_1 e_3 U_2^* z e_1 e_3) \\ + \sigma_2 (e_1 e_3 e_0 U_3 e_1 e_3 p) (e_1 e_3 U_3^* z e_1 e_3) \end{pmatrix}$$

Now let us set the following notations. $n_1 = e_1 e_3 e_0 q e_1 e_3$, $n_2 = e_1 e_3 e_0 U q e_1 e_3$, $n_3 = e_1 e_3 e_0 U_2 e_1 e_3$, $n_4 = e_1 e_3 e_0 U_3 e_1 e_3$ and $G = N_{e_1 e_3}$.

Lemma 2 Keeping the same notations as in the above, and assuming that σ_1 and σ_2 both different from zero and without loss of generality, we have the following equalities.

$$E_{N_{e_1 e_3}} \begin{pmatrix} n_k^* n_k \end{pmatrix} = e_1 e_3, j \neq k, E_{N_{e_1 e_3}} \begin{pmatrix} n_j^* n_k \end{pmatrix} = 0, k = 1, 1, 3, 4.$$

Proof Note that since e_3 commues with all the above operators, drop-ping e_3 from all the operations does not makes any different from the final outcome. So in the following operations we ignore the existence of e_3 . In particular we can identify N_{e_1} with $G = N_{e_1}$. Since the

proof of the above equalities are very similar, we only show some of the equalities. We have

$$n_1 n_1^* = e_1 e_0 q e_1 q^* e_0 e_1. \text{ Hence we get, } E_G \begin{pmatrix} n_1 n_1^* \end{pmatrix}$$

$$= \lambda^2 e_1 q q^* e_1 = n \lambda^2 e_1. \text{ Next } n_2 n_1^* = e_1 q^* U^* e_0 e_1 e_0 q e_1$$

$$= \lambda e_1 q^* U^* e_0 q e_1. \text{ Thus } E_G \begin{pmatrix} n_2 n_1^* \end{pmatrix} = \lambda^2 e_1 q^* U^* q e_1$$

$$= \lambda^2 e_1 q^* U^* q e_1. \text{ But we have, } e_1 e_1 q q^* e_1 / n = e_1 q q^* e_1 / n$$

$$= e_1. \text{ This implies, } E_G \begin{pmatrix} n_2 n_1^* \end{pmatrix} = n^{-2} \lambda^2 e_1 q^* q e_1 q^* U^* q e_1 q^* q e_1$$

$$= n^{-2} \lambda^2 e_1 q^* U^* U (q e_1 q^*) U^* (q e_1 q^*) q e_1. \text{ But } U (q e_1 q^*) U^* \text{ is}$$

orthogonal $q e_1 q^*$ which implies that $E_G \begin{pmatrix} n_2 n_1^* \end{pmatrix} = 0$. Further

more $n_3 n_3^* = e_1 U_2^* e_0 e_1 e_1 e_0 U_2 e_1 = \lambda e_1 U_2^* e_0 q e_1$. Hence

we get, $E_G \begin{pmatrix} n_3 n_3^* \end{pmatrix} = \lambda^2 e_1$. Next $n_3 n_1^* = e_1 U_2^* e_0 e_1 e_1 e_0 q e_1$

$= \lambda e_1 U_2^* e_0 q e_1$. This implies, $E_G(n_3 n_1) = \lambda^2 e_1 U_2^* q e_1$
 $= e_1 U_2^* q e_1 q^* q e_1 / n = U_2^* U_2 e_1 U_2^* q^* e_1 q^* q e_1 / n$. But using
the above relations, $(U_2 e_1 U_2^*)(q e_1 q^*) = 0$, which implies
 $E_G(n_3^* n_1) = 0$. Now let us calculate $E_G(n_1^* n_4)$.
 $E_G(n_1^* n_4) = E_G(e_1 q^* e_0 e_1 e_1 e_0 U_3 e_1 p) = \lambda^2 e_1 q^* U_3 e_1 p$
 $= \lambda^2 e_1 q^* U_3 e_1 p U_3^* U_3$. Now using the above relations we
can show that $e_1 q^* U_3 p U_3^* = 0$, hence $E_G(n_1^* n_4) = 0$.

Assuming that $Me_1 e_3$ is acting standardly on $H = [Me_1 e_3]$
and $L(P_1) = M$, the above lemma implies that the operator
identity can be spanned by at most four orthogonal
projections in G each of trace less or equal to λ . Hence by
Remarks(1.4) [13], we get the following Corollary,

Corollary 3 Keeping the same notations as before, for
 $[M:N] > 4$, $H(P_1)$ is a proper middle subfactor.

As before let IIR represents the set of all indices of
irreducible hyperfinite subfactors.

Suppose $H(P_1)$ is a proper middle subfactor, ie' $L(P_1) \subset$
 $H(P_1) \subset M$, where the inclusions are restrict. Let us denote
 $r_1 = [H(P_1):L(P_1)]$ and $r_2 = [M:L(P_1)]$. Also using the
fundamental property of the index of subfactors, $r_1 r_2 = r =$
 $[M:L(P_1)] = [M : N][N : L(P_1)]$. But $[M : N] = \lambda^{-1}$ and
 $[N:L(P_1)] = (tr(P_1)(tr(P_2))^{-1})$ Hence $r = r_1 r_2 =$
 $\lambda^{-1}(tr(P_1)tr(P_2))^{-1}$ And by the results of [5], $r \in IIR$. Now
notice that by the result of S.Popa in "Subfactors and
classification in von Neumann algebras" Corollary(4.4) of
the above article indicate the gap in IIR between the
values 4 and $2 + \sqrt{5}$. In fact $2 + \sqrt{5} \approx 4.026$, corresponds
to the square of the norm of Coxeter graph E_{10} and there
exists a subfactor of such an index. By its definition $P_1 =$
 $q e_1 e_3$ and we had $\lambda = tr(e_1)$ $\lambda_1 = tr(e_3)$. Hence $tr(P_1) =$
 $tr(q)\lambda\lambda_1$. Let us set $c = tr(q)$, and $\omega = 2 + \sqrt{5}$ then c can
take any value in the interval $[0,1]$. Note also that $(\lambda_1)^{-1}$
can take any value in IIR larger than $.5$. Let us denote,
 $\alpha = \lambda\lambda_1$, $\beta = c\alpha$, then $\beta = tr(P_1)$. Note that $(\alpha)^{-1}$ can
take any value in IIR larger than or equal to 2ω . Hence if
we set $\beta = c\lambda\lambda_1$, then we have $0 \leq \beta \leq 1/(2\omega)$. Since q
can be taken to be any projection in Q_3 , $tr(P_1)$, can get any
value in the interval $[0, 1/(2\omega)]$. This implies the
following theorem.

Theorem 4 Keeping the same notations as in the above,
suppose $\lambda^{-1} = \omega$ and $(\lambda_1)^{-1} = 2$. Let $N \supset M$, $[M:N] = \lambda^{-1}$
be a pair of irreducible subfactors and lets define a
projection $p = q e_1 e_3$, for some projection q in Q_3 . Then
 $H(P_1) = \langle L(P_1), p_1 e p_2 \rangle$ is a proper irreducible subfactor of
 M . In particular letting q to vary in Q_3 , we will get that IIR
includes the interval $[\omega / (1/2\omega)(1 - 1/2\omega), \infty]$
 $\approx [37.0037, \infty)$.

At this end note that by the above arguments there
exists a function Φ , acting on the above interval

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