

# ON PERSISTENCE PROPERTIES IN FRACTIONAL WEIGHTED SPACES

G. FONSECA, F. LINARES, AND G. PONCE

ABSTRACT. In this work we derive a point-wise formula that will allow us to study the well-posedness of initial value problem associated to nonlinear dispersive equations in fractional weighted Sobolev spaces  $H^s(\mathbb{R}) \cap L^2(|x|^{2r} dx)$ ,  $s, r \in \mathbb{R}$ . As an application of this formula we will study local and global well posedness of the  $k$ -generalized Korteweg-de Vries equation in these weighted Sobolev spaces.

## 1. INTRODUCTION

In this work we are concerned with persistence properties of solutions of the initial value problem (IVP) associated to nonlinear dispersive equations in fractional weighted spaces. More precisely, if we define the weighted Sobolev spaces

$$(1.1) \quad Z_{s,r} = H^s(\mathbb{R}) \cap L^2(|x|^{2r} dx), \quad s, r \in \mathbb{R},$$

we would like to prove that for data in the function space the associated IVP is locally or globally well-posed. We will follow the notion of well posedness given in [11]: the IVP is said to be locally well posed (LWP) in the function space  $X$  if for each  $u_0 \in X$  there exist  $T > 0$  and a unique solution  $u \in C([-T, T] : X) \cap \dots = Y_T$  of the equation, with the map data  $\rightarrow$  solution being locally continuous from  $X$  to  $Y_T$ .

This notion of LWP includes the ‘‘persistence’’ property, i.e. the solution describes a continuous curve on  $X$ . In particular, this implies that the solution flow of the considered equation defines a dynamical system in  $X$ . If  $T$  can be taken arbitrarily large, then the IVP is said to be globally well posed (GWP).

To present our main result and give some applications we will use as example the IVP associated to the  $k$ -generalized Korteweg-de Vries equation,

$$(1.2) \quad \begin{cases} \partial_t u + \partial_x^3 u + u^k \partial_x u = 0, & t, x \in \mathbb{R}, \quad k \in \mathbb{Z}^+, \\ u(x, 0) = u_0(x). \end{cases}$$

However, the main result is quite general as we will comment below.

Concerning LWP in the weighted spaces  $Z_{s,r}$  defined in (1.1) T. Kato [11] showed that persistent properties hold for solutions of the IVP (1.2) for any  $m \in \mathbb{Z}^+$  in

$$Z_{s,m} = H^s(\mathbb{R}) \cap L^2(|x|^{2m}), \quad s \geq 2m, \quad m = 1, 2, \dots$$

More precisely:

**Theorem A.** ([11]) *Let  $m \in \mathbb{Z}^+$ . Let  $u \in C([-T, T] : H^s(\mathbb{R})) \cap \dots$  with  $s \geq 2m$  be the solution of the IVP (1.2). If  $u(x, 0) = u_0(x) \in L^2(|x|^{2m} dx)$ , then*

$$u \in C([-T, T] : Z_{s,m}).$$

---

The third author is supported by NFS grant DMS-1101499.

The proof of Theorem A in [11] relies on the commutative property of the operators

$$(1.3) \quad \Gamma = x - 3t\partial_x^2, \quad \mathcal{L} = \partial_t + \partial_x^3, \quad \text{so} \quad [\Gamma; \mathcal{L}] = 0.$$

In particular, if  $\{U(t) : t \in \mathbb{R}\}$  denotes the unitary group of operators describing the solution of the linear IVP

$$(1.4) \quad \partial_t v + \partial_x^3 v = 0, \quad t, x \in \mathbb{R}, \quad v(x, 0) = v_0(x),$$

i.e.

$$(1.5) \quad U(t)v_0(x) = (e^{it\xi^3} \widehat{v}_0)^\vee(x),$$

then from (1.3) one has that

$$(1.6) \quad \begin{aligned} x U(t)v_0(x) &= U(t)(xv_0)(x) + 3tU(t)(\partial_x^2 v_0)(x), \\ \text{i.e.} \\ \Gamma U(t)v_0(x) &= U(t)(xv_0)(x). \end{aligned}$$

The form of the operator  $\Gamma$  suggests that one should expect persistence in  $Z_{s,r}$  only if  $s \geq 2r$ . Thus in order to treat fractional powers of  $x$  (or  $|x|$ ) we would like to have an identity in the same spirit as (1.6). This is what our main result guarantees. More precisely we shall prove:

**Theorem 1.** *Let  $\alpha \in (0, 1)$  and  $\{U(t) : t \in \mathbb{R}\}$  be the unitary group of operators defined in (1.5). If*

$$(1.7) \quad u_0 \in Z_{2\alpha, \alpha} = H^{2\alpha}(\mathbb{R}) \cap L^2(|x|^{2\alpha} dx),$$

then for all  $t \in \mathbb{R}$  and for almost every  $x \in \mathbb{R}$

$$(1.8) \quad |x|^\alpha U(t)u_0(x) = U(t)(|x|^\alpha u_0)(x) + U(t)\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee(x)$$

with

$$(1.9) \quad \|\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee\|_2 \leq c(1 + |t|)(\|u_0\|_2 + \|D^{2\alpha}u_0\|_2).$$

Moreover, if in addition to (1.7) one has that for  $\beta \in (0, \alpha)$

$$(1.10) \quad D^\beta(|x|^\alpha u_0) \in L^2(\mathbb{R}) \quad \text{and} \quad u_0 \in H^{\beta+2\alpha}(\mathbb{R}),$$

then for all  $t \in \mathbb{R}$  and for almost every  $x \in \mathbb{R}$

$$(1.11) \quad \begin{aligned} &D^\beta(|x|^\alpha U(t)u_0)(x) \\ &= U(t)(D^\beta|x|^\alpha u_0)(x) + U(t)(D^\beta(\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee))(x) \end{aligned}$$

with

$$(1.12) \quad \|D^\beta(\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee)\|_2 \leq c(1 + |t|)(\|u_0\|_2 + \|D^{\beta+2\alpha}u_0\|_2).$$

**Remark:** The identities (1.8)-(1.9) can be seen as an extension of (1.6) for fractional weights. As it will be remarked below the result in Theorem 1 can be adapted to general groups describing the solution of the linear part of a dispersive equation.

The proof of Theorem 1 will be based on a characterization of the generalized Sobolev space

$$(1.13) \quad L^{\alpha,p}(\mathbb{R}^n) = (1 - \Delta)^{-\alpha/2} L^p(\mathbb{R}^n), \quad \alpha \in (0, 2), \quad p \in (1, \infty),$$

due to E. M. Stein [21] (see Theorem D below).

As we mentioned above as an application of our main result we will study persistence properties of solutions of the initial value problems (IVP) associated to the  $k$ -generalized Korteweg-de Vries ( $k$ -gKdV) equation (1.2) in weighted Sobolev spaces

$$(1.14) \quad Z_{s,r} \equiv H^s(\mathbb{R}) \cap L^2(|x|^{2r}), \quad s \in \mathbb{R}, \quad r \geq 0.$$

We shall be mainly concerned with the modified Korteweg-de Vries (mKdV) equation, i.e.  $k = 2$  in (1.2). In [13] Kenig, Ponce and Vega showed that the IVP (1.2) with  $k = 2$  is locally well posed in

$$\dot{H}^{1/4}(\mathbb{R}) = (-\partial_x^2)^{-1/8} L^2(\mathbb{R}) \supset H^{1/4}(\mathbb{R}) = J^{-1/4} L^2(\mathbb{R}) = (1 - \partial_x^2)^{-1/8} L^2(\mathbb{R}).$$

More precisely, the following result was established in [13]:

**Theorem B.** ([13]) *For any  $u_0 \in \dot{H}^{1/4}(\mathbb{R})$  there exist*

$$(1.15) \quad T = T(\|D_x^{1/4} u_0\|_2) \sim \|D_x^{1/4} u_0\|_2^{-4},$$

*and a unique solution  $u(t)$  of the IVP (1.2) with  $k = 2$  such that*

$$(1.16) \quad u \in C([-T, T] : \dot{H}^{1/4}(\mathbb{R})),$$

*and*

$$\|D_x^{1/4} \partial_x u\|_{L_x^\infty L_T^2} + \|\partial_x u\|_{L_x^{20} L_T^{5/2}} + \|D_x^{1/4} u\|_{L_x^5 L_T^{10}} + \|u\|_{L_x^4 L_T^\infty} < \infty.$$

*For any  $T' \in (0, T)$  there exists a neighborhood  $V$  of  $u_0$  in  $\dot{H}^{1/4}(\mathbb{R})$  such that the map data  $\rightarrow$  solution  $\tilde{u}_0 \rightarrow \tilde{u}(t)$  from  $V$  into the class defined by (1.16) with  $T'$  instead of  $T$  is smooth.*

*Moreover, if in addition  $u_0 \in H^s(\mathbb{R})$  with  $s \geq 1/4$ , then the solution*

$$u \in C([-T, T] : H^s(\mathbb{R})),$$

*and*

$$\|D_x^s \partial_x u\|_{L_x^\infty L_T^2} + \|J_x^{s-1/4} \partial_x u\|_{L_x^{20} L_T^{5/2}} + \|J_x^s u\|_{L_x^5 L_T^{10}} < \infty.$$

**Remarks:** (a) The fact that the map data  $\rightarrow$  solution is smooth is a direct consequence of the proof of Theorem B, based on the contraction principle, and the implicit function theorem. The estimate for the length of the time interval of existence (1.15) is inside the proof in [13] (which is partially reproduced in the proof of Theorem 2 below) or can also be obtained by a scaling argument.

(b) It was shown in [15] and [2] that in an appropriate sense the value  $1/4$  in Theorem B is optimal.

(c) In [4] Colliander, Keel, Staffilani, Takaoka, and Tao showed that this LWP extends to a GWP if  $s > 1/4$ . The GWP for the limiting case  $s = 1/4$  was established by Guo [9] and Kishimoto [16].

(d) We recall the best known LWP and GWP results in  $H^s(\mathbb{R})$  for the IVP (1.2) with  $k \neq 2$ :

- for  $k = 1$  LWP is known for  $s \geq -3/4$  (see [14] for the case  $s > -3/4$  and [2], [9] and [16] for the limiting case  $s = -3/4$ ), and GWP is known for  $s \geq -3/4$  (see [4] for the case  $s > -3/4$  and [9] and [16] for the limiting case  $s = -3/4$ ),
- for  $k = 3$  LWP is known for  $s \geq -1/6$  (see [7] for the case  $s > -1/6$  and [22] for the limiting case  $s = -1/6$ ) and GWP is known for  $s > -1/42$  (see [8]),
- for  $k \geq 4$  LWP is known for  $s \geq (k-4)/2k$  (see [13]). In [17] for the case  $k = 4$  it is shown that there exist local smooth solutions which develop singularities in finite time.

**Theorem C.** ([9], [16]) *Let  $u_0 \in H^s(\mathbb{R})$  with  $s \geq 1/4$ . Then for any  $T^* > 0$  the IVP (1.2) with  $k = 2$  has a unique solution*

$$(1.17) \quad u \in C([-T^*, T^*] : H^s(\mathbb{R})) \cap \dots$$

Remark: (a) The proof of Theorem C relies on the so called ‘‘I-method’’ introduced in [3], on the Miura transformation [18], and on sharp LWP for the Korteweg-de Vries (KdV)  $k = 1$  in (1.2). This optimal LWP result for the KdV requires the use of the so called Bourgain spaces  $X_{s,b}$ , introduced in the context of non-linear dispersive equations in [1]. Consequently, the precise description of the class in (1.17) involves those spaces.

(b) In [19] for the case of the mKdV, J. Nahas extended locally the result in Theorem C to the optimal range of the parameter  $s, r$  accordingly to Theorem A and (1.3), i.e.  $s \geq 1/4$  and  $s \geq 2r > 0$ . Also in [19] for the case  $k \geq 4$  in (1.2) Theorem C was extended to the optimal range  $s \geq (k - 4)/4k$  and  $s \geq 2r > 0$ .

Our second result gives a significantly simplified proof and slightly stronger version of these results. We shall concentrate in the case of the mKdV equation  $k = 2$  in (1.2).

**Theorem 2.** *Let  $u \in C([-T, T] : \dot{H}^{1/4}(\mathbb{R}))$  denote the solution of the IVP (1.2) with  $k = 2$  provided by Theorem A. If  $u_0, |x|^r u_0 \in L^2(\mathbb{R})$  with  $r \in (0, 1/8]$ , then*

$$(1.18) \quad u \in C([-T, T] : Z_{1/4, r}).$$

*For any  $T' \in (0, T)$  there exists a neighborhood  $V$  of  $u_0$  in  $H^{1/4}(\mathbb{R}) \cap L^2(|x|^{2r} dx)$  such that the map  $\tilde{u}_0 \rightarrow \tilde{u}(t)$  from  $V$  into the class defined by (1.16) and (1.18) with  $T'$  instead of  $T$  is smooth.*

*Moreover, if in addition  $u_0 \in Z_{s, r}$  with  $s > 1/4$  and  $s \geq 2r$ , then the solution*

$$(1.19) \quad u \in C([-T, T] : Z_{s, r}).$$

Remarks: (a) We observe that Theorem 2 guarantees that the persistent property in the weighted space  $Z_{s, r}$  holds in the same time interval  $[-T, T]$  given by Theorem A, where  $T$  depends only on  $\|D_x^{1/4} u_0\|_2$  (see (3.10)).

(b) It was established in [10] that the condition  $s \geq 2r$  in Theorem 2 is optimal. More precisely, (1.19) can hold only if  $s \geq 2r$ .

(c) Roughly, in [6] Ginibre and Tsutsumi obtained results concerning the uniqueness and existence (in an appropriate class) of local solutions of the IVP (1.2) with  $k = 2$  and data  $u_0$  in the weighted space  $L^2((1 + |x|)^{1/4} dx)$ . Theorem 2 shows that for data  $u_0 \in Z_{1/4, 1/8}$  the solution provided by Theorem A and that obtained in [6] agree.

(d) As in [19] the result in Theorem 2 extends to the local solutions of the IVP (1.2) with  $k \geq 4$  in the optimal range of the parameters  $s, r$  accordingly to remark (a) after Theorem C, i.e.  $s \geq 2r > 0$  with  $s \geq (k - 4)/2k$ . This will be clear from our proof of Theorem 2 given below, so we omit the details. For the cases  $k = 1$  and  $k = 3$  a weaker version of these results was proven in [20]. The main difference between the cases  $k = 2, 4, 5, \dots$  and  $k = 1, 3$  is that for the latter the ‘‘optimal’’ well-posedness results are based on the spaces  $X_{s,b}$  which make fractional weights difficult to handle.

As a consequence of Theorem B and our proof of Theorem 2 we obtain the following global version of Theorem 2:

**Theorem 3.** *Let  $s \geq 1/4$  and  $T^* > 0$ . If  $u_0 \in Z_{s,r}$  with  $s \geq 2r > 0$ , then the solution  $u$  of the IVP (1.2) with  $k = 2$  provided by Theorem 2 extends to the time interval  $[-T^*, T^*]$  with*

$$u \in C([-T^*, T^*] : Z_{s,r}).$$

The paper is organized as follows. The proof of Theorem 1 will be given in Section 2. In Section 3 we will present the proofs of Theorem 2 and Theorem 3.

## 2. PROOF OF THEOREM 1

Next we turn our attention to the proof of Theorem 1. We shall start with a characterization of the Sobolev space

$$(2.1) \quad L^{\alpha,p}(\mathbb{R}^n) = (1 - \Delta)^{-\alpha/2} L^p(\mathbb{R}^n), \quad \alpha \in (0, 2), \quad p \in (1, \infty),$$

due to E. M. Stein [21]. For  $\alpha \in (0, 2)$  define

$$(2.2) \quad D_\alpha f(x) = \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{f(x+y) - f(x)}{|y|^{n+\alpha}} dy,$$

where  $c_\alpha = \pi^{n/2} 2^{-\alpha} \Gamma(-\alpha/2) / \Gamma((n+2)/2)$ .

As it was remarked in [21] for appropriate  $f$ , for example  $f \in \mathcal{S}(\mathbb{R}^n)$ , one has

$$(2.3) \quad \widehat{D_\alpha f}(\xi) = \widehat{D^\alpha f}(\xi) \equiv |\xi|^\alpha \widehat{f}(\xi).$$

The following result concerning the  $L^{\alpha,p}(\mathbb{R}^n) = (1 - \Delta)^{\alpha/2} L^p(\mathbb{R}^n)$  spaces was established in [21],

**Theorem D.** *Let  $\alpha \in (0, 2)$  and  $p \in (1, \infty)$ . Then  $f \in L^{\alpha,p}(\mathbb{R}^n)$  if and only if*

$$(2.4) \quad \begin{cases} (a) & f \in L^p(\mathbb{R}^n), \\ (b) & D_\alpha f \in L^p(\mathbb{R}^n), \end{cases} \quad (D_\alpha f(x) \text{ defined in (2.2)}),$$

with

$$(2.5) \quad \|f\|_{\alpha,p} = \|(1 - \Delta)^{\alpha/2} f\|_p \simeq \|f\|_p + \|D_\alpha f\|_p \simeq \|f\|_p + \|D^\alpha f\|_p.$$

Notice that if  $f, fg \in L^{\alpha,p}(\mathbb{R}^n)$  and  $g \in L^\infty(\mathbb{R}^n) \cap C^2(\mathbb{R}^n)$  one has

$$(2.6) \quad \begin{aligned} D_\alpha(fg)(x) &= \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{f(x+y)g(x+y) - f(x)g(x)}{|y|^{n+\alpha}} dy \\ &= \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} g(x) \frac{f(x+y) - f(x)}{|y|^{n+\alpha}} dy \\ &\quad + \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{(g(x+y) - g(x))f(x+y)}{|y|^{n+\alpha}} dy \\ &= g(x) D_\alpha f(x) + \Lambda_\alpha((g(\cdot + y) - g(\cdot))f(\cdot + y))(x). \end{aligned}$$

In particular, if  $g(x) = e^{i\phi(x)}$ , then

$$\begin{aligned}
& \Lambda_\alpha((g(\cdot + y) - g(\cdot))f(\cdot + y))(x) \\
(2.7) \quad &= \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{(g(x+y) - g(x))f(x+y)}{|y|^{n+\alpha}} dy \\
&= e^{i\phi(x)} \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{e^{i(\phi(x+y) - \phi(x))} - 1}{|y|^{n+\alpha}} f(x+y) dy.
\end{aligned}$$

Thus, one gets the identity

$$(2.8) \quad D_\alpha(e^{i\phi(\cdot)} f)(x) = e^{i\phi(x)} D_\alpha f(x) + e^{i\phi(x)} \Lambda_\alpha((e^{i(\phi(x+y) - \phi(x))} - 1)f(\cdot + y))(x).$$

Now we assume that

$$(2.9) \quad n = 1, \quad \alpha \in (0, 1), \quad \phi(x) = \phi_t(x) = tx^3,$$

we shall obtain a bound for

$$\begin{aligned}
(2.10) \quad & \|\Lambda_\alpha((e^{i(\phi(x+y) - \phi(x))} - 1)f(\cdot + y))\|_p \\
&= \left\| \lim_{\epsilon \rightarrow 0} \int_{|y| \geq \epsilon} \frac{e^{i(\phi(x+y) - \phi(x))} - 1}{|y|^{1+\alpha}} f(x+y) dy \right\|_p.
\end{aligned}$$

We restrict ourselves to the case  $\alpha \in (0, 1)$  which allows us to perform estimates by passing the absolute value inside the integral sign in (2.7).

We recall the elementary estimates

$$(2.11) \quad \begin{cases} (a) & \forall \theta \in \mathbb{R} \quad |e^{i\theta} - 1| \leq 2, \\ (b) & \forall \theta \in \mathbb{R} \quad |e^{i\theta} - 1| \leq 2|\sin(\theta/2)| \leq |\theta|. \end{cases}$$

Combining (2.11) (a) and Minkowski's integral inequality it follows that

$$\begin{aligned}
(2.12) \quad & \left\| \int_{|y| \geq 1/100} \frac{e^{i(\phi(x+y) - \phi(x))} - 1}{|y|^{1+\alpha}} f(x+y) dy \right\|_p \\
&\leq \int_{|y| \geq 1/100} \frac{2}{|y|^{1+\alpha}} \|f(\cdot + y)\|_p dy \leq c_\alpha \|f\|_p.
\end{aligned}$$

So, it remains to estimate

$$(2.13) \quad \left\| \lim_{\epsilon \rightarrow 0} \int_{\epsilon \leq |y| \leq 1/100} \frac{e^{i(\phi(x+y) - \phi(x))} - 1}{|y|^{1+\alpha}} f(x+y) dy \right\|_p.$$

From (2.11) (b) and the mean value theorem one has that

$$(2.14) \quad |e^{i(\phi(x+y) - \phi(x))} - 1| \leq |\phi(x+y) - \phi(x)| = |y| \left| \int_0^1 \phi'(x + sy) ds \right|,$$

with

$$(2.15) \quad \phi'(x) = 3tx^2.$$

In particular, if  $|x| \leq 100$  one has

$$|e^{i(\phi(x+y) - \phi(x))} - 1| \leq c|t||y|,$$

and

$$\begin{aligned}
(2.16) \quad & \left\| \lim_{\epsilon \rightarrow 0} \int_{\epsilon \leq |y| \leq 1/100} \frac{e^{i(\phi(x+y) - \phi(x))} - 1}{|y|^{1+\alpha}} f(x+y) dy \right\|_{L^p(B_{100}(0))} \\
& \leq |t| \int_{|y| \leq 1/100} \frac{\|f(\cdot + y)\|_{L^p(B_{100}(0))}}{|y|^\alpha} dy \leq c_\alpha |t| \|f\|_p.
\end{aligned}$$

From the above estimates we can restrict ourselves in (2.10) to the case:  $|y| \leq 1/100$  and  $|x| \geq 100$ . We sub-divide it into two parts:

$$(2.17) \quad (a) \quad |y| |x|^2 \leq 1, \quad (b) \quad |y| |x|^2 \geq 1.$$

In the case (a) in (2.17) we change variable,  $\tilde{y} = |x|^2 y$ , use (2.11) part (b), (2.14), (2.15), Minkowski's inequality and a second change of variable to obtain the bound

$$\begin{aligned}
(2.18) \quad & \left\| \int_{|y| \leq 1/|x|^2} \frac{|t| |x|^2 |f(x+y)|}{|y|^\alpha} dy \right\|_{L^p(\{|x| \geq 100\})} \\
& = \left\| \int_{|\tilde{y}| \leq 1} \frac{|t| |x|^{2\alpha} |f(x + \frac{\tilde{y}}{|x|^2})|}{|\tilde{y}|^\alpha} d\tilde{y} \right\|_{L^p(\{|x| \geq 100\})} \\
& \leq \left\| \int_{|\tilde{y}| \leq 1} \frac{|t| |x + \frac{\tilde{y}}{|x|^2}|^{2\alpha} |f(x + \frac{\tilde{y}}{|x|^2})|}{|\tilde{y}|^\alpha} d\tilde{y} \right\|_{L^p(\{|x| \geq 100\})} \\
& \quad + \left\| \int_{|\tilde{y}| \leq 1} \frac{|t| |\frac{\tilde{y}}{|x|^2}|^{2\alpha} |f(x + \frac{\tilde{y}}{|x|^2})|}{|\tilde{y}|^\alpha} d\tilde{y} \right\|_{L^p(\{|x| \geq 100\})} \\
& \leq c_\alpha |t| (\| |x|^{2\alpha} f \|_p + \|f\|_p),
\end{aligned}$$

since

$$(2.19) \quad \frac{\tilde{y}}{|x|^2} = y, \quad |y| \leq 1/100, \quad |x| \geq 100, \quad \text{so } d(x + \frac{\tilde{y}}{|x|^2}) \sim dx.$$

In the case (b) in (2.17) changing variable,  $\tilde{y} = x^2 y$ , using (2.11) part (a), Minkowski's inequality, and a second change of variable as in (2.19) we get

$$\begin{aligned}
& \left\| \int_{1/x^2 \leq |y| \leq 1/100} \frac{|f(x+y)|}{|y|^{1+\alpha}} dy \right\|_{L^p(\{|x| \geq 100\})} \\
&= \left\| \int_{1 \leq |\tilde{y}| \leq x^2/100} \frac{|x|^{2\alpha}}{|\tilde{y}|^{1+\alpha}} |f(x + \frac{\tilde{y}}{|x|^2})| d\tilde{y} \right\|_{L^p(\{|x| \geq 100\})} \\
(2.20) \quad & \leq c_\alpha \int_{1 \leq |\tilde{y}|} \left\| |x|^{2\alpha} f(x + \frac{\tilde{y}}{|x|^2}) \chi_{\{|x| \geq 10|\tilde{y}|^{1/2}\}}(x) \right\|_{L^p(\{|x| \geq 100\})} \frac{d\tilde{y}}{|\tilde{y}|^{1+\alpha}} \\
& \leq c_\alpha \int_{1 \leq |\tilde{y}|} \left\| |x + \frac{\tilde{y}}{|x|^2}|^{2\alpha} f(x + \frac{\tilde{y}}{|x|^2}) \chi_{\{|x| \geq 10|\tilde{y}|^{1/2}\}}(x) \right\|_{L^p(\{|x| \geq 100\})} \frac{d\tilde{y}}{|\tilde{y}|^{1+\alpha}} \\
& \quad + c_\alpha \int_{1 \leq |\tilde{y}|} \left\| f(x + \frac{\tilde{y}}{|x|^2}) \chi_{\{|x| \geq 10|\tilde{y}|^{1/2}\}}(x) \right\|_{L^p(\{|x| \geq 100\})} \frac{d\tilde{y}}{|\tilde{y}|^{1+\alpha}} \\
& \leq c_\alpha (\|f\|_p + \| |x|^{2\alpha} f \|_p).
\end{aligned}$$

Therefore, collecting the above results we have the proof of the following:

**Lemma 1.** *Let  $n = 1$ ,  $\alpha \in (0, 1)$ , and  $p \in (1, \infty)$ . If*

$$f \in L^{\alpha,p}(\mathbb{R}) \cap L^p(|x|^{2\alpha p} dx),$$

*then for all  $t \in \mathbb{R}$  and for almost every  $x \in \mathbb{R}$*

$$(2.21) \quad D_\alpha(e^{itx^3} f)(x) = e^{itx^3} D_\alpha f(x) + e^{itx^3} \Phi_{t,\alpha}(f)(x),$$

*with*

$$(2.22) \quad \Phi_{t,\alpha}(f)(x) = \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y| \geq \epsilon} \frac{e^{it((x+y)^3 - x^3)} - 1}{|y|^{1+\alpha}} f(x+y) dy,$$

$$(2.23) \quad \|\Phi_{t,\alpha}(f)\|_p \leq c_\alpha(1+|t|)(\|f\|_p + \| |x|^{2\alpha} f \|_p),$$

*and  $c_\alpha$  as in (2.3).*

From the proof of Lemma 1 it follows that under appropriate assumptions on the regularity and the growth of a symbol  $\varphi : \mathbb{R}^n \rightarrow \mathbb{R}$  one has that

$$D_{j,\alpha}(e^{it\varphi(x)} f)(x) = e^{it\varphi(x)} D_{j,\alpha} f(x) + e^{it\varphi(x)} \Phi_{j,\varphi,t,\alpha}(f)(x),$$

*with*

$$\begin{aligned}
(2.24) \quad D_{j,\alpha} f(x) &= \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y_j| \geq \epsilon} \frac{f(x + y_j \vec{e}_j) - f(x)}{|y_j|^{1+\alpha}} dy_j, \\
\Phi_{j,\varphi,t,\alpha}(f)(x) &= \lim_{\epsilon \rightarrow 0} \frac{1}{c_\alpha} \int_{|y_j| \geq \epsilon} \frac{e^{it(\varphi(x+y_j \vec{e}_j) - \varphi(x))} - 1}{|y_j|^{1+\alpha}} f(x + y_j \vec{e}_j) dy_j,
\end{aligned}$$



and

$$\|\Phi_{j,\varphi,t,\alpha}(f)\|_p \leq c_\alpha(1+|t|)(\|f\|_p + \|\partial_{x_j}\varphi(x)|^\alpha f\|_p),$$

for  $j = 1, \dots, n$ .

Next, we consider the unitary group of operators  $\{U(t) : t \in \mathbb{R}\}$  in  $L^2(\mathbb{R})$  defined as

$$(2.25) \quad U(t)u_0(x) = U(t)u_0(x) = (e^{it\xi^3}\widehat{u}_0(\xi))^\vee(x).$$

Thus, for  $\alpha \in (0, 1)$  using (2.3) one has that

$$|x|^\alpha U(t)u_0(x) = |x|^\alpha (e^{it\xi^3}\widehat{u}_0(\xi))^\vee(x) = (D_\alpha(e^{it\xi^3}\widehat{u}_0(\xi)))^\vee(x).$$

and from Lemma 1 that

$$(2.26) \quad D_\alpha(e^{it\xi^3}\widehat{u}_0)(\xi) = e^{it\xi^3}D_\alpha\widehat{u}_0(\xi) + e^{it\xi^3}\Phi_{t,\alpha}(\widehat{u}_0)(\xi),$$

with

$$\|\Phi_{t,\alpha}(\widehat{u}_0)\|_p \leq c_\alpha(1+|t|)(\|\widehat{u}_0\|_p + \||\xi|^{2\alpha}\widehat{u}_0\|_p).$$

Hence, taking Fourier transform in (2.26) we obtain the identity

$$(2.27) \quad |x|^\alpha U(t)u_0(x) = U(t)(|x|^\alpha u_0)(x) + U(t)(\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee)(x).$$

with  $\Phi_{t,\alpha}$  as in (2.22) and

$$(2.28) \quad \begin{aligned} \|\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee\|_2 &= \|\Phi_{t,\alpha}(\widehat{u}_0)\|_2 \\ &\leq c_\alpha(1+|t|)(\|\widehat{u}_0\|_2 + \||\xi|^{2\alpha}\widehat{u}_0\|_2) \\ &\leq c_\alpha(1+|t|)(\|u_0\|_2 + \|D^{2\alpha}u_0\|_2). \end{aligned}$$

Moreover, we claim that if  $\beta \in (0, \alpha)$ , then

$$(2.29) \quad D^\beta(|x|^\alpha U(t)u_0)(x) = U(t)(D^\beta|x|^\alpha u_0)(x) + U(t)(D^\beta\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee)(x).$$

with

$$(2.30) \quad \|D^\beta(\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee)(x)\|_2 \leq c_{\alpha,\beta}(1+|t|)(\|u_0\|_2 + \|D^{\beta+2\alpha}u_0\|_2).$$

Notice that for  $u_0 \in \mathcal{S}(\mathbb{R})$  the identities (2.27) and (2.29) hold pointwise for each  $(x, t) \in \mathbb{R}^2$ .

To prove (2.30) we need to show that

$$(2.31) \quad \begin{aligned} &\|D_x^\beta \left( \int \frac{e^{it((\xi+\eta)^3 - \xi^3)} - 1}{|\eta|^{1+\alpha}} \widehat{u}_0(\xi + \eta) d\eta \right)^\vee\|_2 \\ &\leq c_{\alpha,\beta}(1+|t|)(\|u_0\|_2 + \|D^{\beta+2\alpha}u_0\|_2). \end{aligned}$$

Thus, we write

$$\begin{aligned}
(2.32) \quad & \|D_x^\beta \left( \int \frac{e^{it((\xi+\eta)^3 - \xi^3)} - 1}{|\eta|^{1+\alpha}} \widehat{u}_0(\xi + \eta) d\eta \right)^\vee\|_2 \\
&= \left\| \int \frac{|\xi|^\beta (e^{it((\xi+\eta)^3 - \xi^3)} - 1)}{|\eta|^{1+\alpha}} \widehat{u}_0(\xi + \eta) d\eta \right\|_2 \\
&\leq \left\| \int \frac{|\xi|^\beta |e^{it((\xi+\eta)^3 - \xi^3)} - 1|}{|\eta|^{1+\alpha}} |\widehat{u}_0(\xi + \eta)| d\eta \right\|_2 \\
&\leq c_\beta \left\| \int \frac{|\xi + \eta|^\beta |e^{it((\xi+\eta)^3 - \xi^3)} - 1|}{|\eta|^{1+\alpha}} |\widehat{u}_0(\xi + \eta)| d\eta \right\|_2 \\
&\quad + c_\beta \left\| \int \frac{|\eta|^\beta |e^{it((\xi+\eta)^3 - \xi^3)} - 1|}{|\eta|^{1+\alpha}} |\widehat{u}_0(\xi + \eta)| d\eta \right\|_2 \\
&= \Omega_1 + \Omega_2.
\end{aligned}$$

Following the argument used in the proof of Lemma 1 to get (2.23) one has that

$$\begin{aligned}
(2.33) \quad \Omega_1 &\leq c_\alpha(1 + |t|)(\|\xi|^\beta \widehat{u}_0\|_2 + \|\xi|^{2\alpha} |\xi|^\beta \widehat{u}_0\|_2) \\
&= c_\alpha(1 + |t|)(\|D^\beta u_0\|_2 + \|D^{\beta+2\alpha} u_0\|_2).
\end{aligned}$$

To bound  $\Omega_2$  we observe that its estimate is similar to that used in the proof of Lemma 1 with  $\alpha - \beta$  instead of  $\alpha$ . Hence,

$$\begin{aligned}
(2.34) \quad \Omega_2 &\leq c_\alpha(1 + |t|)(\|\widehat{u}_0\|_2 + \|\xi|^{2(\alpha-\beta)} \widehat{u}_0\|_2) \\
&= c_\alpha(1 + |t|)(\|u_0\|_2 + \|D^{2(\alpha-\beta)} u_0\|_2).
\end{aligned}$$

Collecting the above information one obtains the proof of Theorem 1.

Remarks: a) From the proof of Theorem 1, it is clear that (2.27)–(2.30) hold for  $f(\cdot, t)$  instead of  $u_0$  with the suitable modifications.

(b) The hypothesis  $\beta \in (0, \alpha)$  in Theorem 1 is necessary to bound

$$\begin{aligned}
&\|D^\beta(\{\Phi_{t,\alpha}(\widehat{u}_0)(\xi)\}^\vee)\|_2 = \|\xi|^\beta \Phi_{t,\alpha}(\widehat{u}_0)(\xi)\|_2, \\
&= \left\| \int \frac{|\xi|^\beta (e^{it((\xi+\eta)^3 - \xi^3)} - 1)}{|\eta|^{1+\alpha}} \widehat{u}_0(\xi + \eta) d\eta \right\|_2
\end{aligned}$$

in the region where  $|\xi + \eta| \leq |\xi|/10$  with  $|\xi| \sim |\eta| \gg 1$ .

(c) We observe that if  $u_0 \in \mathcal{S}(\mathbb{R})$ , then the pointwise identities (1.8)–(1.11) hold for all  $(x, t) \in \mathbb{R}^2$ . Therefore a density argument and the Strichartz estimate associated to the group  $\{U(t)\}$  (see [12])

$$(2.35) \quad \left( \int_{-\infty}^{\infty} \|U(t)u_0\|_\infty^6 dt \right)^{1/6} \leq c\|u_0\|_2,$$

show that under the hypotheses of Theorem 1, (1.8)–(1.11) hold for all  $x \in \mathbb{R}$  almost everywhere  $t \in \mathbb{R}$ .

(c) The result in Theorem 1 also holds and the proof is similar to the above one for solutions of the linear IVP,

$$(2.36) \quad \begin{cases} \partial_t u - D_x^{1+a} \partial_x u = 0, & t, x \in \mathbb{R}, \quad 0 \leq a < 1, \\ u(x, 0) = u_0(x), \end{cases}$$

where  $D^s$  denotes the homogeneous derivative of order  $s \in \mathbb{R}$ ,

$$D^s = (-\partial_x^2)^{s/2} \quad \text{so} \quad D^s f = c_s (|\xi|^s \widehat{f})^\vee, \quad \text{with} \quad D^s = (\mathcal{H} \partial_x)^s,$$

and  $\mathcal{H}$  denotes the Hilbert transform,

$$\mathcal{H}f(x) = \frac{1}{\pi} \lim_{\epsilon \downarrow 0} \int_{|y| \geq \epsilon} \frac{f(x-y)}{y} dy = (-i \operatorname{sgn}(\xi) \widehat{f}(\xi))^\vee(x).$$

It is not clear how to employ Theorem 1 to obtain solutions via contraction of the IVP associated to the equation above with nonlinear term like the one of the KdV equation called dispersion generalized Benjamin-Ono (DGBO) equation. Nevertheless there are optimal persistency results in weighted Sobolev spaces via energy estimates for the solutions of the IVP associated to the DGBO equation [5].

### 3. PROOFS OF THEOREM 2 AND THEOREM 3

#### Proof of Theorem 2:

We shall restrict our attention to the most interesting case  $s = 1/4$  and  $r = 1/8$ , i.e.  $u_0 \in Z_{1/4, 1/8}$ .

We begin with a review of the argument used in the proof of Theorem A in [13]. The details of this proof will be used later to complete the proof of Theorem 2.

First, let us assume that

$$u_0 \in \dot{H}^{1/4}(\mathbb{R})$$

For  $w : \mathbb{R} \times [-T, T] \rightarrow \mathbb{R}$  with  $T$  to be fixed below, define

$$(3.1) \quad \begin{aligned} \mu_1^T(w) &= \|D_x^{1/4} w\|_{L_T^\infty L_x^2} + \|\partial_x w\|_{L_x^{20} L_T^{5/2}} + \|D_x^{1/4} w\|_{L_x^5 L_T^{10}} \\ &\quad + \|D_x^{1/4} \partial_x w\|_{L_x^\infty L_T^2} + \|w\|_{L_x^4 L_T^\infty}. \end{aligned}$$

Denote by  $\Phi(v) = \Phi_{u_0}(v)$  the solution of the linear inhomogeneous IVP

$$(3.2) \quad \partial_t u + \partial_x^3 u + v^2 \partial_x v = 0, \quad u(x, 0) = u_0(x).$$

The idea is to apply the contraction principle to the integral equation version of the IVP (3.2), i.e.

$$(3.3) \quad u(t) = \Phi(v(t)) = U(t)u_0 - \int_0^t U(t-t')(v^2 \partial_x v)(t') dt'.$$

From the linear estimates concerning the group  $\{U(t) : t \in \mathbb{R}\}$  established in [13] one has that

$$(3.4) \quad \mu_1^T(U(t)u_0) \leq c_0 \|D_x^{1/4} u_0\|_2, \quad \forall T > 0.$$

Here and below  $c_0$  will denote a universal constant whose value may change (increase) from line to line. Hence,

$$(3.5) \quad \begin{aligned} &\mu_1^T \left( \int_0^t U(t-t') v^2 \partial_x v(t') dt' \right) \\ &\leq c_0 \|D_x^{1/4} (v^2 \partial_x v)\|_{L_T^1 L_x^2} \leq c_0 T^{1/2} \|D_x^{1/4} (v^2 \partial_x v)\|_{L_x^2 L_T^2}. \end{aligned}$$

Using the calculus of inequalities in the Appendix in [13] (Theorem A.8) one gets that

$$\begin{aligned}
(3.6) \quad & \|D_x^{1/4}(v^2 \partial_x v)\|_{L_x^2 L_T^2} \\
& \leq c_0 \|D_x^{1/4}(v^2)\|_{L_x^{20/9} L_T^{10}} \|\partial_x v\|_{L_x^{20} L_T^{5/2}} + c_0 \|v^2\|_{L_x^2 L_T^\infty} \|D_x^{1/4} \partial_x v\|_{L_x^\infty L_T^2} \\
& \leq c_0 \|v\|_{L_x^4 L_T^\infty} \|D_x^{1/4} v\|_{L_x^5 L_T^{10}} \|\partial_x v\|_{L_x^{20} L_T^{5/2}} + c_0 \|v\|_{L_x^4 L_T^\infty}^2 \|D_x^{1/4} \partial_x v\|_{L_x^\infty L_T^2} \\
& \leq c_0 (\mu_1^T(v))^3.
\end{aligned}$$

Inserting the estimates (3.4), (3.5), and (3.6) in the integral equation (3.3) it follows that

$$\begin{aligned}
(3.7) \quad & \mu_1^T(\Phi(v)) \leq c_0 \|D_x^{1/4} u_0\|_2 + c_0 \int_0^T \|D_x^{1/4}(v^2 \partial_x v)\|_2(t) dt \\
& \leq c_0 \|D_x^{1/4} u_0\|_2 + c_0 T^{1/2} (\mu_1^T(v))^3.
\end{aligned}$$

A similar argument leads to the estimate

$$(3.8) \quad \mu_1^T(\Phi(v) - \Phi(\tilde{v})) \leq c_0 T^{1/2} (\mu_1^T(v) + \mu_1^T(\tilde{v}))^2 \mu_1^T(v - \tilde{v}).$$

This basically proves the main part of Theorem A. More precisely, one has that the operator  $\Phi = \Phi_{u_0}$  in (3.3) defines a contraction in the set

$$(3.9) \quad \{v : \mathbb{R} \times [-T, T] \rightarrow \mathbb{R} : \mu_1^T(v) \leq 2c_0 \|D_x^{1/4} u_0\|_2\},$$

with

$$(3.10) \quad T = \frac{1}{32 c_0^6 \|D_x^{1/4} u_0\|_2^4}.$$

Hence, the IVP (1.2) with  $k = 2$  has a unique solution  $u \in C([-T, T] : \dot{H}^{1/4}(\mathbb{R}))$  satisfying

$$(3.11) \quad \mu_1^T(u) \leq 2c_0 \|D_x^{1/4} u_0\|_2,$$

with  $T$  as in (3.10).

Now, we assume that

$$u_0 \in H^{1/4}(\mathbb{R}),$$

and define

$$\mu_2^{T_0}(w) = \|w\|_{L_{T_0}^\infty L_x^2} + \|\partial_x w\|_{L_x^\infty L_{T_0}^2} + \|w\|_{L_{T_0}^6 L_x^\infty} + \mu_1^{T_0}(w),$$

with  $\mu_1^{T_0}$  defined in (3.1) and  $T_0 > 0$  to be fixed below. By the previous argument we have a solution  $u = u(t)$  in the class defined by (3.1) of the integral equation

$$(3.12) \quad u(t) = U(t)u_0 - \int_0^t U(t-t')(u^2 \partial_x u)(t') dt'.$$

By (3.4) and Strichartz estimates (2.35) one has that

$$(3.13) \quad \|U(t)u_0\|_{L_{T_0}^\infty L_x^2} + \|\partial_x U(t)u_0\|_{L_x^\infty L_{T_0}^2} + \|U(t)u_0\|_{L_{T_0}^6 L_x^\infty} \leq c_0 \|u_0\|_2, \quad \forall T_0 > 0.$$

Therefore

$$\begin{aligned}
& \left\| \int_0^t U(t-t')u^2\partial_x u(t')dt' \right\|_{L_{T_0}^\infty L_x^2} + \left\| \partial_x \int_0^t U(t-t')u^2\partial_x u(t')dt' \right\|_{L_x^\infty L_{T_0}^2} \\
& + \left\| \int_0^t U(t-t')u^2\partial_x u(t')dt' \right\|_{L_{T_0}^6 L_x^\infty} \\
(3.14) \quad & \leq c_0 \|u^2\partial_x u\|_{L_{T_0}^1 L_x^2} \leq c_0 T_0^{1/2} \|u^2\partial_x u\|_{L_x^2 L_{T_0}^2} \\
& \leq c_0 T_0^{1/2} \|u^2\|_{L_x^2 L_{T_0}^\infty} \|\partial_x u\|_{L_x^\infty L_{T_0}^2} \leq c_0 T_0^{1/2} \|u\|_{L_x^4 L_{T_0}^\infty}^2 \|\partial_x u\|_{L_x^\infty L_{T_0}^2} \\
& \leq c_0 T_0^{1/2} (\mu_1^{T_0}(u))^2 \mu_2^{T_0}(u).
\end{aligned}$$

Collecting the above information one has that

$$\mu_2^{T_0}(u) \leq 2c_0(\|u_0\|_2 + \|D_x^{1/4}u_0\|_2) + c_0 T_0^{1/2} (\mu_1^{T_0}(u))^2 \mu_2^{T_0}(u).$$

Hence, taking  $T_0 = T$  as in (3.10), i.e.

$$(3.15) \quad c_0 T^{1/2} (\mu_1^T(u))^2 \leq 1/2,$$

it follows

$$(3.16) \quad \mu_2^T(u) \leq 4c_0(\|u_0\|_2 + \|D_x^{1/4}u_0\|_2).$$

By uniqueness we have

$$u \in C([-T, T] : H^{1/4}(\mathbb{R})) \cap L^6([-T, T] : L^\infty(\mathbb{R})) \cap \dots$$

which can be extended to the interval  $[-T^*, T^*]$  as far as the

$$(3.17) \quad \sup_{t \in [-T^*, T^*]} \|D^{1/4}u(t)\|_2 < \infty,$$

since we recall that the  $L^2$ -norm of the real solutions of the IVP (1.2) is preserved in time. Now we turn our attention to the most interesting case in Theorem 2

$$u_0 \in Z_{1/4, 1/8} = H^{1/4}(\mathbb{R}) \cap L^2(|x|^{1/4} dx),$$

and introduce the notation

$$\mu_3^{T_0}(w) = \mu_2^{T_0}(w) + \| |x|^{1/8} w(t) \|_{L_{T_0}^\infty L_x^2},$$

with  $T_0 > 0$  to be fixed below.

From Theorem 1 (see (1.8)-(1.9)) and the linear estimates in (3.13) it follows that

$$(3.18) \quad \mu_3^{T_0}(U(t)u_0) \leq c_0 \| |x|^{1/8} u_0 \|_2 + c_0(1 + T_0)(\|u_0\|_2 + \|D_x^{1/4}u_0\|_2).$$

Now taking  $\varphi \in C_0^\infty(\mathbb{R})$  with  $\varphi = 1$ ,  $|x| < 1/2$  and  $\varphi = 0$ ,  $|x| \geq 1$  we write

$$\begin{aligned}
& |x|^{1/8} u^2 \partial_x u = \varphi(x) |x|^{1/8} u^2 \partial_x u + (1 - \varphi(x)) |x|^{1/8} u^2 \partial_x u \\
(3.19) \quad & = \varphi |x|^{1/8} u^2 \partial_x u + \partial_x((1 - \varphi) |x|^{1/8} u^3/3) - \partial_x((1 - \varphi) |x|^{1/8}) u^3/3 \\
& \equiv A_1 + A_2 + A_3.
\end{aligned}$$

Same argument as in (3.18) and (3.19) yield

$$\begin{aligned}
(3.20) \quad & \| |x|^{1/8} \int_0^t U(t-t') u \partial_x u(t') dt' \|_{L_x^2} \\
& \leq \| \int_0^t U(t-t') (A_1 + A_2 + A_3) dt' \|_{L_x^2} + \int_0^T \| \{ \Phi_{t,1/4}(\widehat{u \partial_x u}) \}^\vee \|_{L_x^2} dt' \\
& \leq \int_0^{T_0} \| U(t-t') (A_1 + A_3) \|_{L_x^2} dt' + \| \int_0^t U(t-t') A_2 dt' \|_{L_x^2} \\
& \quad + c_0(1+T_0) \int_0^{T_0} (\| u^2 \partial_x u \|_{L_x^2} + \| D^{1/4}(u^2 \partial_x u) \|_{L_x^2}) dt.
\end{aligned}$$

Thus,

$$\begin{aligned}
(3.21) \quad & \int_0^{T_0} \| U(t-t') A_1 \|_{L_x^2} dt' \leq c_0 \| u^2 \partial_x u \|_{L_{T_0}^1 L_x^2} \leq c_0 T_0^{1/2} \| u^2 \partial_x u \|_{L_x^2 L_{T_0}^2} \\
& \leq c_0 T_0^{1/2} \| u^2 \|_{L_x^2 L_{T_0}^\infty} \| \partial_x u \|_{L_x^\infty L_{T_0}^2} \\
& \leq c_0 T_0^{1/2} \| u \|_{L_x^4 L_{T_0}^\infty}^2 \| \partial_x u \|_{L_x^\infty L_{T_0}^2}.
\end{aligned}$$

Using a duality argument (see [13]) one has that

$$\| \partial_x \int_0^t U(t-t') F(t') dt' \|_{L_T^\infty L_x^2} \leq c \| F \|_{L_x^1 L_T^2}.$$

Hence,

$$\begin{aligned}
(3.22) \quad & \| \int_0^t U(t-t') A_2 dt' \|_{L_x^2} \leq c_0 \| (1-\varphi) |x|^{1/8} u^3 \|_{L_x^1 L_{T_0}^2} \\
& \leq c_0 \| |x|^{1/8} u \|_{L_x^2 L_{T_0}^2} \| u^2 \|_{L_x^2 L_{T_0}^\infty} \leq c_0 T_0^{1/2} \| |x|^{1/8} u \|_{L_{T_0}^\infty L_x^2} \| u \|_{L_x^4 L_{T_0}^\infty}^2.
\end{aligned}$$

Finally,

$$\begin{aligned}
(3.23) \quad & \int_0^{T_0} \| U(t-t') A_3 \|_{L_x^2} dt' \leq c_0 \| u^3 \|_{L_{T_0}^1 L_x^2} \\
& \leq c_0 \| u \|_{L_{T_0}^\infty L_x^4}^2 \| u \|_{L_{T_0}^1 L_x^\infty} \leq c_0 T_0^{5/6} \| D_x^{1/4} u \|_{L_{T_0}^\infty L_x^2}^2 \| u \|_{L_{T_0}^6 L_x^\infty}.
\end{aligned}$$

Inserting the estimates (3.18)-(3.23), (3.6), (3.5) and (3.14) in the integral equation (3.12) it follows that

$$\begin{aligned}
(3.24) \quad & \mu_3^{T_0}(u) \leq c_0 \| |x|^{1/8} u_0 \|_2 + c_0(1+T_0)(\| u_0 \|_2 + \| D_x^{1/4} u_0 \|_2) \\
& \quad + c_0 T_0^{1/2} (\mu_1^{T_0}(u))^2 \mu_3^{T_0}(u) \\
& \quad + c_0(1+T_0^{1/3}) T_0^{1/2} (\mu_1^{T_0}(u))^2 \mu_2^{T_0}(u).
\end{aligned}$$

Thus, taking  $T_0 \in (0, T]$  with  $T$  as in (3.15) and (3.16) one can rewrite (3.24) as

$$\begin{aligned}
(3.25) \quad & \mu_3^{T_0}(u) \leq 2c_0 \| |x|^{1/8} u_0 \|_2 + 2c_0(1+T_0)(\| u_0 \|_2 + \| D_x^{1/4} u_0 \|_2) \\
& \quad + 4c_0(1+T_0^{1/3}) \mu_2^{T_0}(u) \\
& \leq 2c_0 \| |x|^{1/8} u_0 \|_2 + 20c_0(1+T_0)(\| u_0 \|_2 + \| D_x^{1/4} u_0 \|_2)
\end{aligned}$$

which basically completes the proof of Theorem 2.

Proof of Theorem 3:

We shall consider the most interesting case  $s = 1/4$ , and recall that the  $L^2$ -norm of the solution  $u(t)$  is preserved.

By Theorem B for any given  $T^* > 0$  and  $u_0 \in H^{1/4}(\mathbb{R})$  one has that the corresponding solution  $u = u(x, t)$  of the IVP (1.2) with  $k = 2$  satisfies

$$u \in C([-T^*, T^*] : H^{1/4}(\mathbb{R})) \cap \dots$$

with

$$K = \max_{[-T^*, T^*]} \|D_x^{1/4} u(t)\|_2.$$

Following (3.10) we define

$$T' = \frac{1}{32 c_0^6 K^4},$$

and split the interval  $[-T^*, T^*]$  into  $2T^*/T'$  sub-intervals. In each of these sub-intervals we can apply Theorem 2 observing that the right hand side of 3.25 depends on  $K$ ,  $2T^*/T'$  and the initial value  $\| |x|^{1/8} u_0 \|_2$  to get the desired solution to the whole interval  $[-T^*, T^*]$ .

ACKNOWLEDGMENTS: F.L was partially supported by CNPq and FAPERJ-Brazil. G.P. was supported by NSF grant DMS-1101499.

#### REFERENCES

- [1] Bourgain, J., *Fourier transform restriction phenomena for certain lattice subsets and applications to nonlinear evolution equations*, Geometric and Functional Anal. **3** (1993) 107–156, 209–262.
- [2] Christ, M. F., Colliander, J., and Tao, T., *Asymptotics, frequency modulation, and low regularity ill-posedness for canonical defocusing equations* Amer. J. Math. **125** (2003) 1235–1293.
- [3] Colliander, J., Keel, M., Staffilani, G., Takaoka, H., and Tao, T., *Almost conservation laws and global rough solutions to a nonlinear Schrödinger equation*, Math. Res. Letters **9** (2002) 659–682.
- [4] Colliander, J., Keel, M., Staffilani, G., Takaoka, H., and Tao, T., *Sharp global well-posedness for KdV and modified KdV on  $\mathbb{R}$  and  $\mathbb{T}$* , J. Amer. Math. Soc. **16** (2003) 705–749.
- [5] Fonseca, G., Linares, F., and Ponce, G., *The IVP for the dispersion generalized Benjamin-Ono equation in weighted Sobolev spaces*, Annales IHP. Analyse non Linéaire, **30** (2013) 763–790.
- [6] Ginibre, J., and Tsutsumi, Y. *Uniqueness for the generalized Korteweg-de Vries equations*, SIAM J. Math. Anal. **20** (1989) 1388–1425.
- [7] Grünrock, A., *A bilinear Airy estimate with application to the 3-gKdV equation*, Diff. Int. Eqs. **18** (2005) 1333–1339.
- [8] Grünrock, A., and Panthee, M., and Drumond Silva, J. *A remark on global well posedness below  $L^2$  for the gKdV-3 equation*, Diff. Int. Eqs. **20** (2007) 1229–1236.
- [9] Guo, Z., *Global well-posedness of Korteweg-de Vries equation in  $H^{-3/4}(\mathbb{R})$* , J. Math. Pures Appl. **91** (2009) 583–597.
- [10] Isaza, P., Linares, F., and Ponce, G., *On decay properties of solutions of the k-generalized KdV equation*, Comm. Math. Phys. **324**, (2013) 129–146.
- [11] Kato, T., *On the Cauchy problem for the (generalized) Korteweg-de Vries equation*, Advances in Mathematics Supplementary Studies, Studies in Applied Math. **8** (1983) 93–128.
- [12] Kenig, C.E., Ponce, G., and Vega, L., *Oscillatory integrals and regularity of dispersive equations*, Indiana Univ. Math. J. **40** (1991), 33–69.
- [13] Kenig, C. E., Ponce, G., and Vega, L., *Well-posedness and scattering results for the generalized Korteweg-de Vries equation via the contraction principle*, Comm. Pure Appl. Math. **46** (1993) 527–620.
- [14] Kenig, C. E., Ponce, G., and Vega, L., *A bilinear estimate with applications to the KdV equation*, Journal Amer. Math. Soc. **9** (1996) 573–603.

- [15] Kenig, C. E., Ponce, G., and Vega, L., *On the ill posedness of some canonical dispersive equations*, Duke Math. J. **106** (2001) 617–633.
- [16] Kishimoto, N., *Well-posedness of the Cauchy problem for the Korteweg-de Vries equation at the critical regularity*, Diff. Int. Eqs., **22** (2009) 447–464.
- [17] Merle, F., and Martel, Y., *Blow up in finite time and dynamics of blow up solutions for the  $L^2$  critical generalized KdV equation*, J. Amer. Math. Soc., **15** (2002 ), 617–664.
- [18] Miura, R. M., *Korteweg-de Vries equation and generalizations. I. A remarkable explicit nonlinear transformation*, J. Math. Phys. **9** (1968) 1202-1204, 1968.
- [19] Nahas, J., *A decay property of solutions to the  $k$ -generalized KdV equation*, to appear in Advances in Diff. Eqs.
- [20] Nahas, J., and Ponce, G., *On the persistent properties of solutions of nonlinear dispersive equations in weighted Sobolev spaces*, RIMS Kokyuroku Bessatsu (RIMS Proceedings) (2011) 23–36.
- [21] Stein, E. M., *The Characterization of Functions Arising as Potentials*, Bull. Amer. Math. Soc. **67** (1961) 102-104.
- [22] Tao, T., *Scattering for the quartic generalized Korteweg-de Vries equation*, J. Diff. Eqs. **232** (2007) 623-651.

(G. Fonseca) DEPARTAMENTO DE MATEMÁTICAS, UNIVERSIDAD NACIONAL DE COLOMBIA, BOGOTÁ, COLOMBIA

*E-mail address:* `gefonsecab@unal.edu.co`

(F. Linares) IMPA, INSTITUTO MATEMÁTICA PURA E APLICADA, ESTRADA DONA CASTORINA 110, 22460-320, RIO DE JANEIRO, RJ, BRAZIL

*E-mail address:* `linares@impa.br`

(G. Ponce) DEPARTMENT OF MATHEMATICS, SOUTH HALL, ROOM 6607, UNIVERSITY OF CALIFORNIA, SANTA BARBARA, CA 93106, USA

*E-mail address:* `ponce@math.ucsb.edu`