

# Voya Financial Fact Sheet



## Q3 2019

Voya Financial, Inc. (NYSE: VOYA), helps Americans plan, invest and protect their savings — to get ready to retire better. With a clear mission to make a secure financial future possible — one person, one family, one institution at a time — Voya's vision is to be America's Retirement Company®.



6,000  
employees

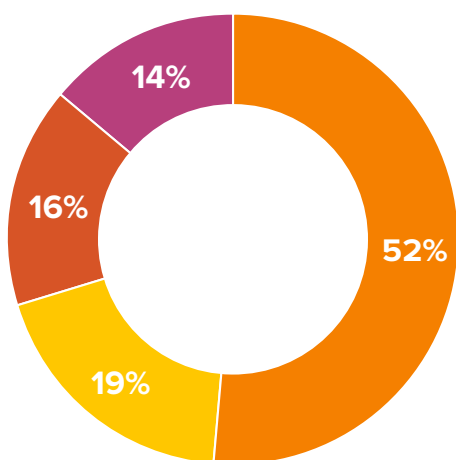


13.8 million  
customers

\$8.5 billion  
2018 revenues

\$568 billion  
total AUM and AUA\*

\$7.6 billion  
market cap\*  
\*as of Sept. 30, 2019



Percentage of adjusted operating earnings  
before income taxes by segment –  
twelve months ended Sept. 30, 2019\*\*

- Retirement
- Individual Life  
(ceased new sales as of 12/31/18)
- Employee Benefits
- Investment Management

\*\*Excludes Corporate. Excludes deferred acquisition costs and value of business acquired and other intangibles unlocking. Does not equal 100% due to rounding.

## Our Businesses:

**Retirement** is a leading provider of retirement products and services in the U.S. serving more than 49,000 institutional clients and nearly 5.1 million individual retirement plan investors. Voya also has approximately 1,700 financial advisors serving the retail market. Voya's Retirement business is focused on guiding Americans to greater retirement readiness and financial wellness through employer-sponsored savings plans and holistic retirement and income guidance.

**Individual Life**, which ceased new sales as of Dec. 31, 2018, is an in-force block of nearly 800,000 individual life insurance policies. Individual Life continues to provide Voya with free cash flow as well as earnings and capital diversification.

**Employee Benefits** is a top provider of stop-loss coverage in the U.S. In addition, Voya provides a comprehensive and highly flexible portfolio of life, disability, voluntary insurance products, and health savings and spending accounts to businesses covering 6.2 million individuals through the workplace.

**Investment Management** is a leading, active asset management firm serving both affiliated and external institutions as well as individual investors. Drawing on over 40 years of history in investment management, the firm has the experience and resources to provide clients with investment solutions with an emphasis on equities, fixed income, and multi-asset strategies and solutions.

## Awards and Recognition:



## Voya Cares®



The Voya Cares program is an extension of our mission to help all Americans plan, invest and protect their financial future. Specifically, the program impacts the lives of people with disabilities and special needs, as well as their caregivers, by helping them think differently — and holistically — about their financial future.

At the intersection of our culture and business expertise, Voya Cares is designed to help serve the one in four Americans who are impacted by a disability,<sup>1</sup> which is a vast and largely underserved community. Learn more by visiting [VoyaCares.com](http://VoyaCares.com).

Voya also received a score of 100% on the 2019 Disability Equality Index®, designating the company as one of 180 companies named as a “Best Places to Work for Disability Inclusion” for the second consecutive year.



## Our Four Pillars of Corporate Responsibility



### Empowering Our People

50%

Female Independent Board of Directors

124,800

Employee Training Hours



52% Employees Acted/Planned to Act to Improve Financial Wellness<sup>2</sup>

50%

Female Executive Committee Leadership



### Serving Our Clients



91% Customer Satisfaction for Retirement Business<sup>3</sup>

55

ESG Engagements with Clients

100%

Employees Trained on Cybersecurity

30%

Increased Savings for myOrangeMoney Users<sup>4,5</sup>



### Investing in Communities

175,000

Student Nonprofit Beneficiaries

41,000+

Employee Volunteer Hours

\$5.9M

Employee Donations with Foundation Matching Gifts

3,900+

Unique Nonprofit Organizations Served<sup>6</sup>



### Protecting the Environment<sup>7</sup>

51%

Energy Reduction

83%

Paper Use Reduction



14% Electricity Offset

13m

Disposable Cups Diverted from Landfill<sup>8</sup>

1. "Prevalence of Disabilities and Health Care Access by Disability Status and Type Among Adults — U.S., 2016"

2. Based on April 2018 survey data of employees who participated in Voya's [Financial Wellness Experience](#)

3. Based on June-July 2018 survey data

4. Based on data from 4/1/18 through 3/31/19

5. Voya Plan Participant myOrangeMoney users save 30% more than non-users

6. Nonprofit beneficiaries of volunteerism hours and donations

7. Performance as compared to 2007 baseline

8. Since 2008, due to our *Bring Your Own Mug* program