

# Student Conduct Code



# Effective March 25, 2015

This version of the Student Conduct Code replaces and supersedes all previous versions of this policy.

UCLA students are proud to be members of this community. They take pride in the reputation of our faculty; they take pride in our unparalleled programs and services; they take pride in the wealth of diversity of our community members; and they take pride in our beautiful campus. Intertwined with our core values, Bruin Pride is at the very heart of what it means to be a TRUE BRUIN.

Bruins are committed to the values of Respect, Accountability, Integrity, Service, and Excellence. Bruins conduct themselves with integrity and understand that the quality of their educational experience is predicated on the quality of their academic work and service to the community.

Bruins hold themselves accountable to the commitments they make and for their conduct. When faced with adversity, Bruins engage in thoughtful reflection and exhibit superior ethical decision-making skills. They respect the rights and dignity of all members of our community by listening attentively, communicating clearly, and remaining open to understanding others and their diverse points of view.

Bruins embrace these values, for these are the values of a TRUE BRUIN.

#### For more information:

Office of the Dean of Students 1206 Murphy Hall Box 951415 Los Angeles, CA 90095-1415

Phone: (310) 825-3871 Email: <u>dean@saonet.ucla.edu</u>

#### www.deanofstudents.ucla.edu

Photograph © 2003 by Alan Nyiri, courtesy of the Atkinson Photographic Archive.

TABLE OF CONTENTS				
I.	DEFINITIONS	5		
A.	Campus Advocate	5		
Β.	Days	5		
C.	Dean	5		
D.	Hearing Administrator	5		
E.	Hearing Coordinator	5		
F.	Notice	5		
G.	Student	5		
Н.	Support Person	6		
II.				
Α.	Jurisdiction	6		
Β.	Types of Misconduct	7		
	102.01: Academic Dishonesty			
	102.01a: Cheating			
	102.01b: Fabrication			
	102.01c: Plagiarism			
	102.01d: Multiple Submissions			
	102.01e: Facilitating Academic Dishonesty			
	102.01f: Coercion Regarding Grading or Evaluation of Coursework			
	102.01g: Unauthorized Collaboration			
	102.02: Other Forms of Dishonesty			
	102.03: Forgery			
	102.04: Theft, Damage or Destruction of Property			
	102.05: Computer Misuse			
	102.06: Unauthorized Use of University Resources or Name			
	102.07: Violations of University Policy			
	102.07a: University Housing			
	102.07b: University Parking			
	102.07c: University Recreation			
	102.07d: University Identification Card (BruinCard)			
	102.08: Conduct that Threatens Health or Safety			
	102.09: Sexual Harassment			
	102.10: Stalking			
	102.11: Harassment	13		

	102.12: Hazing	14
	102.13: Obstruction or Disruption	14
	102.14: Disorderly Behavior	14
	102.15: Disturbing the Peace	14
	102.16: Failure to Comply	14
	102.17: Controlled Substances	14
	102.18: Alcohol	15
	102.19: Destructive Devices	15
	102.20: Weapons and Replica Weapons	15
	102.20a: Weapons	15
	102.20b: Replica Weapons	15
	102.21: Violation of Disciplinary Conditions	15
	102.22: Violation of Interim or Emergency Suspension Conditions	15
	102.23: Unauthorized Use or Sale of University Materials	15
	102.23a: Selling Academic Materials	16
	102.23b: Copying Course Notes	16
	102.23c: Commencement Tickets	16
	102.24: Misuse of University Property	16
	102.25: Violations of Law	16
	102.26: Terrorizing Conduct	16
	102.27: Unwanted Personal Contact	17
	102.28: Expectation of Privacy	17
Ш	. STUDENT CONDUCT PROCEDURES	18
Α.	Initial Investigation	18
Β.	Notice to Student	19
C.	Meeting(s) with the Dean	19
D.	Decisions in Absentia	20
Ε.	Resolution by the Dean	20
	1. Letter of Admonition	20
	2. Imposing Sanctions	20
	3. Referral to the Student Conduct Committee	20
	4. Insufficient Information	21
	5. Agreement of Resolution	21
F.	Sanctions and Additional University Actions	21
	1. Warning	22

2. Disciplinary Probation	
3. Deferred Suspension	
4. Deferred Dismissal	
5. Suspension	
6. Dismissal	
G. Revocation of Awarding of Degree	
H. Limits on Sanctions	
I. Posting of Suspension or Dismissal on Academic Transcript	25
J. Appeal of the Sanction	
K. The Student Conduct Committee	25
1. Referral of Cases to the Student Conduct Committee	26
2. Composition of the Student Conduct Committee	26
3. Training of the Student Conduct Committee	27
4. Assignment of Student Conduct Committee Panel Members	27
5. Scheduling of Hearing	27
6. Hearing Procedures	28
7. Standard of Proof	29
8. Record of Hearing	29
9. Spectators	29
10. Continuing Resolution between the Student and the Dean	29
11. Reports to the Vice Chancellor of Student Affairs	30
12. Copies of Reports	30
13. Response to the Report by the Accused Student	30
L. Review by the Vice Chancellor of Student Affairs	31
1. Decision by the Vice Chancellor of Student Affairs	31
2. Basis for Decision	31
3. Sanctions	31
4. Notice of Decision	31
IV. INTERIM AND EMERGENCY SUSPENSION	32
A. Interim Suspension	32
B. Emergency Suspension	
V. PRIVACY AND RECORDS RETENTION	
VI. AMENDMENT AND MODIFICATION	

#### INTRODUCTION

In order to carry on its work of teaching, research, and public service, the University has an obligation to maintain conditions under which the work of the University can go forward freely, in accordance with the highest standards of quality, institutional integrity, and freedom of expression, with full recognition by all concerned of the rights and privileges, as well as the responsibilities, of those who comprise the University community. UCLA students assume these privileges and responsibilities upon admission and cannot use ignorance of these policies as a justification for violating community standards.

UCLA's reputation for academic excellence and institutional integrity is among our most valued assets; as such, academic integrity is of paramount importance to our institution and it is vital that the institution do all within our power to maintain these standards.

As a leader in health and health care, as well as environmental research, policy, practice, and education, UCLA has implemented a Tobacco-Free policy to create a healthy environment for all those who learn, work and spend much of their time at UCLA. See UCLA Policy 810

Tobacco-Free Environment at http://www.adminpolicies.ucla.edu/pdf/810.pdf.

Students are members of both society and the academic community with attendant rights and responsibilities. Students are expected to make themselves aware of and comply with the law, and with University and campus policies and regulations. While many of UCLA's policies and regulations parallel federal, state and local laws, UCLA's standards may be set higher.

The regulations within the UCLA Student Conduct Code have been developed to create and maintain a safe, supportive, and inclusive campus community that engages students in order to foster their academic success, personal growth and responsible citizenship. Students are considered to be maturing adults, capable of making their own decisions, as well as accepting the consequences for those decisions. The student conduct process has been established to respond to incidents involving allegations of inappropriate behavior within our community. This process provides educational opportunities which encourage students to evaluate their own actions, consider their own decision making, and acquire new skills to improve their choices in the future.

The University of California Policy on Sexual Harassment and Sexual Violence and University of California Policies Applying to Campus Activities, Organizations, and Students (UC PACAOS) have been incorporated into the UCLA Student Conduct Code either by adapting or inserting verbatim the language of the policies.

Students may contact the Office of the Dean of Students or Student Legal Services for advice concerning these policies.

#### I. DEFINITIONS

#### A. Campus Advocate

A Campus Advocate is a member of the campus community (faculty, staff, or student) who may actively participate in a student conduct hearing by identifying clarifying questions to be asked of witnesses on behalf of the accused Student.

Students may identify their own Campus Advocate, or may request to be assisted at no cost by a trained Campus Advocate assigned by the Hearing Coordinator.

#### B. Days

For purposes of the UCLA Student Conduct Code, the term "Days" means University business days. It does not include Saturdays, Sundays, or days on which the campus is closed.

#### C. Dean

For the purposes of the UCLA Student Conduct Code the term "Dean" refers to the Dean of Students or the Dean's designee.

#### D. Hearing Administrator

The Hearing Administrator is a University employee designated to administer the proceedings of matters related to the Student Conduct Hearing (see Section III.K.).

#### E. Hearing Coordinator

The Hearing Coordinator is a University employee designated to schedule and otherwise coordinate the proceedings of matters related to the Student Conduct Committee.

#### F. Notice

Whenever the UCLA Student Conduct Code requires giving Notice to any Student, sending such Notice to the electronic or physical mailing address most recently provided by the Student to the Registrar will give rise to a conclusive presumption that Notice has been given as of the date of mailing.

#### G. Student

For the purposes of the UCLA Student Conduct Code the term "Student" means an individual for whom the University maintains student records, and who:

- 1. is enrolled in or registered with an academic program of the University;
- 2. has completed the immediately preceding term and is eligible for reenrollment, including the recess periods between academic terms; or
- 3. is on an approved educational leave or other approved leave status, or is on filing-fee (i.e., the fee used in lieu of registration if all formal requirements for graduate degrees, except for taking comprehensive or oral examinations or filing theses or dissertations, are met prior to the first day of instruction of the term) status.

The UCLA Student Conduct Code also applies to the following:

- 1. applicants who become students, for offenses committed as part of the application process;
- applicants who become students, for offenses committed on campus and/ or while participating in University related events or activities that take place following a student's submittal of the application through her or his official enrollment; and
- 3. former students for offenses committed while a student.

#### H. Support Person

A Support Person is any individual accompanying an accused Student or Complainant in a hearing for personal and emotional support (e.g. parent, counselor, attorney, friend, etc.). That person may be present, but may not serve as a witness, and may not speak on behalf of the Complainant or accused Student or otherwise interrupt the proceedings at any point during the hearing.

# **II. STUDENT CONDUCT POLICIES**

Students may be held accountable for the types of misconduct set out in Section II.B below. The Dean may take action whether or not such misconduct also violates the law, and whether or not proceedings are, have been, or may be brought in the courts involving the same acts. Because of this, students may be involved in University conduct proceedings before, after, or during court proceedings.

#### A. Jurisdiction

The University has jurisdiction over student conduct that occurs on University property, or in connection with official University functions whether on or off University property. The University may, at its sole discretion, exercise jurisdiction over student behavior that occurs off campus and that would violate student conduct policies or regulations when:

- 1. the alleged misconduct indicates the student poses a threat to the safety or security of any member(s) of the University community; or
- 2. the alleged misconduct involves academic work or the forgery, alteration or misuse of any University document, record, key, electronic device, or identification.

In determining whether or not to exercise off-campus jurisdiction, the University will consider the seriousness of the alleged misconduct; whether an alleged victim is a member of the campus community; the ability of the University to gather information, including the statements of witnesses; and whether the off-campus conduct is part of a series of actions that occurred both on and off campus.

#### B. Types of Misconduct

Students may be held accountable for committing or attempting to commit a violation of this Code or for assisting, facilitating, or participating in the planning of an act that violates this Code (or an act that would be in violation of this Code if it were carried out by a student). Violations include the following types of misconduct:

#### 102.01: Academic Dishonesty

All forms of academic misconduct or research misconduct, including, but not limited to, cheating, fabrication or falsification, plagiarism, multiple submissions or facilitating academic misconduct which occurs in academic exercises or submissions.

NOTE: Allegations involving students (paid or unpaid) who were working on externally (including federally) sponsored research projects or supported by externally (including federally) funded research training grants when Research Misconduct involving their supported work was alleged to have occurred, may be afforded a different procedure if the external sponsor requires a process for responding to allegations of Research Misconduct that is similar to, or based on the federal Research Misconduct regulations. In that case, allegations will be handled under UCLA Policy 993 (*Responding to Allegations of Research Misconduct*) and will be forwarded to the Research Integrity Officer (RIO) who will then determine whether an inquiry and/or investigation are warranted. Should an investigation be conducted, a copy of the Investigation Committee Report and the RIO's written determination of whether or not Research Misconduct occurred will be forwarded to the Dean, who may impose one or more sanctions, as appropriate. Otherwise, Section III of this Code is the applicable procedure for responding to allegations of fabrication by students.

In the event that the RIO determines that the research record needs to be corrected due to a finding that Research Misconduct occurred, the campus RIO will initiate a correction or retraction as appropriate.

For the purposes of the UCLA Student Conduct Code, the following definitions apply:

#### 102.01a: Cheating

Cheating includes, but is not limited to, the use of unauthorized materials, information, or study aids in any academic exercise; the alteration of any answers on a graded document before submitting it for re-grading; or the failure to observe the expressed procedures or instructions of an academic exercise (e.g., examination instructions regarding alternate seating or conversation during an examination).

#### 102.01b: Fabrication

Fabrication includes, but is not limited to, falsification or invention of any information or citation in an academic exercise, including Fabrication or Falsification of Research.

Fabrication of Research is making up data or results and recording or reporting them.

Falsification of Research is manipulating research materials, equipment or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.

#### 102.01c: Plagiarism

Plagiarism includes, but is not limited to, the use of another person's work (including words, ideas, designs, or data) without giving appropriate attribution or citation. This includes, but is not limited to, representing, with or without the intent to deceive, part or all of an entire work obtained by purchase or otherwise, as the Student's original work; the omission of or failure to acknowledge the true source of the work; or representing an altered but identifiable work of another person or the Student's own previous work as if it were the Student's original or new work.

Unless otherwise specified by the faculty member, all submissions, whether in draft or final form, to meet course requirements (including a paper, project, exam, computer program, oral presentation, or other work) must either be the Student's own work, or must clearly acknowledge the source.

#### 102.01d: Multiple Submissions

Multiple submissions includes, but is not limited to, the resubmission in identical or similar form by a student of any work which has been previously submitted for credit, whether at UCLA or any other school, college, or university in one course to fulfill the requirements of a second course, without the informed permission/consent of the instructor of the second course; or the submission for credit of work submitted for credit, in identical or similar form, in concurrent courses, without the permission/consent of the instructors of both courses.

#### 102.01e: Facilitating Academic Dishonesty

Facilitating academic dishonesty includes, but is not limited to, knowingly helping another student commit an act of academic dishonesty.

#### 102.01f: Coercion Regarding Grading or Evaluation of Coursework

Threatening personal or professional repercussions or discipline against an instructor to coerce the instructor to change a grade or otherwise evaluate the Student's work by criteria not directly reflective of coursework.

#### 102.01g: Unauthorized Collaboration

Unauthorized collaboration means working with others without the expressed permission of the instructor on any submission, whether in draft or final form, to meet course requirements (including a paper, project, takehome exam, computer program, oral presentation, or other work). Collaboration between students will be considered unauthorized unless expressly part of the assignment in question, or expressly permitted by the instructor.

#### 102.02: Other Forms of Dishonesty

Other forms of dishonesty, including, but not limited to, fabricating information or knowingly furnishing false information or reporting a false emergency to the University.

#### 102.03: Forgery

Forgery, alteration, or misuse of any University document, record, key, electronic device, or identification, or submission of any forged document or record to the University.

#### 102.04: Theft, Damage or Destruction of Property

**102.04a:** Theft includes taking without expressed permission or, misappropriation of, any property or services of the University or property of others while on University premises or at official University functions; or possession of any property that the Student had knowledge or reasonably should have had knowledge was stolen.

**102.04b:** Damage or destruction of any University property, or the property of others while on University premises or at official University functions.

#### 102.05: Computer Misuse

Theft or abuse of University computers or other University electronic resources such as computer and electronic communications facilities, systems, and services. Abuses include, but are not limited to, unauthorized entry, use, transfer, or tampering with the communications of others; use of either software or physical devices to enroll in classes for yourself or on behalf of others using processes other than those specifically delineated by the UCLA Registrar's Office; interference with the work of others or with the operation of computer or electronic communications facilities, systems, or services; or violations of copyright laws, whether by theft, unauthorized sharing or other misuse of copyrighted materials such as music, movies, software, photos or text.

Violation of the University of California *Electronic Communications Policy* (<u>http://policy.ucop.edu/doc/7000470/ElectronicCommunications</u>) or of any other University acceptable or allowable use policies.

#### 102.06: Unauthorized Use of University Resources or Name

Unauthorized entry to, possession of, receipt of, or use of any University services, equipment, resources, or property, including the University's name, insignia, or seal.

See UCLA Policy 110 – Use of the University's Names, Seals, and Trademarks (<u>http://www.adminpolicies.ucla.edu/pdf/110.pdf</u>).

#### 102.07: Violations of University Policy

Students may be subject to discipline for violation of any University policy.

#### 102.07a: University Housing

Violations of policy regarding University owned, operated, or leased housing facilities or other housing facilities on University property.

#### 102.07b: University Parking

Violations of policy regarding University parking services or University owned or operated parking facilities.

#### 102.07c: University Recreation

Violations of policy regarding University recreation services, programs, or within University owned or operated recreation facilities.

#### 102.07d: University Identification Card (BruinCard)

Violation of policies, regulations, or rules governing use of official University identification cards, including manufacturing or possession of false identification cards, using another person's BruinCard to obtain services or establish identity, facilitating the misuse of one's BruinCard by another person to obtain services or establish identity, or other misuse of the BruinCard.

#### 102.08: Conduct that Threatens Health or Safety

Conduct that threatens the health or safety of any person including, but not limited to physical assault, sexual misconduct, domestic violence, dating violence, threats that cause a person reasonably to be in sustained fear for one's own safety or the safety of her or his immediate family, incidents involving the use or display of a weapon likely to cause great bodily harm, and intoxication or impairment through the use of alcohol or controlled substances to the point one is unable to exercise care for one's own safety.

This Policy prohibits sexual harassment and sexual violence as defined by the University of California Policy on Sexual Harassment and Sexual Violence:

Sexual violence is defined as physical sexual acts engaged without the consent of the other person or when the other person is unable to consent to the activity. Sexual violence includes sexual assault, rape, battery, and sexual coercion; domestic violence; dating violence; and stalking.

Domestic Violence is defined as abuse committed against an adult or a minor who is a spouse or former spouse, cohabitant or former cohabitant, or someone with whom the abuser has a child, has an existing dating or engagement relationship, or has had a former dating or engagement relationship.

Dating Violence is defined as abuse committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. Sexual assault occurs when physical sexual activity is engaged without the consent of the other person or when the other person is unable to consent to the activity. The activity or conduct may include physical force, violence, threat, or intimidation, ignoring the objections of the other person, causing the other person's intoxication or incapacitation through the use of drugs or alcohol, or taking advantage of the other person's incapacitation (including voluntary intoxication).

#### Consent is defined as follows:

Consent is informed. Consent is an affirmative, unambiguous, and conscious decision by each participant to engage in mutually agreed-upon sexual activity.

Consent is voluntary. It must be given without coercion, force, threats, or intimidation. Consent means positive cooperation in the act or expression of intent to engage in the act pursuant to an exercise of free will.

Consent is revocable. Consent to some form of sexual activity does not imply consent to other forms of sexual activity. Consent to sexual activity on one occasion is not consent to engage in sexual activity on another occasion. A current or previous dating or sexual relationship, by itself, is not sufficient to constitute consent. Even in the context of a relationship, there must be mutual consent to engage in sexual activity.

Consent must be ongoing throughout a sexual encounter and can be revoked at any time. Once consent is withdrawn, the sexual activity must stop immediately.

Consent cannot be given when a person is incapacitated. A person cannot consent if s/he is unconscious or coming in and out of consciousness. A person cannot consent if s/he is under the threat of violence, bodily injury or other forms of coercion. A person cannot consent if his/her understanding of the act is affected by a physical or mental impairment.

Incapacitation is defined as the physical and/or mental inability to make informed, rational judgments. States of incapacitation include, but are not limited to, unconsciousness, sleep, and blackouts. Where alcohol or drugs are involved, incapacitation is defined with respect to how the alcohol or other drugs consumed affects a person's decision-making capacity, awareness of consequences, and ability to make fully informed judgments. Being intoxicated by drugs or alcohol does not diminish one's responsibility to obtain consent. The factors to be considered when determining whether consent was given include whether the accused knew, or whether a reasonable person should have known, that the Complainant was incapacitated.

As described in Section IV.A, before final determination of alleged misconduct, Interim Suspension may be imposed by the Office of the Dean of Students when there is reasonable cause to believe that the Student's participation in University activities or presence at specified areas of campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

This Code prohibits retaliation against a person who reports sexual misconduct or dating or domestic violence, assists someone with a report of sexual misconduct or dating or domestic violence, or participates in any manner in an investigation or resolution of a sexual misconduct or dating or domestic violence report. Retaliation includes threats, intimidation, reprisals, and/or adverse actions related to employment or education.

An individual who participates as a Complainant or witness in an investigation of sexual assault, domestic violence, or dating violence will not be subject to disciplinary sanctions for a violation of the Student Conduct Code at or near the time of the incident, unless the University determines that the violation was egregious (including but not limited to academic dishonesty and conduct that places the health or safety of any person at risk.)

For incidents involving allegations of Sexual Misconduct, or Domestic or Dating Violence, see the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

#### 102.09: Sexual Harassment

Sexual Harassment is defined as unwelcome sexual advances, requests for sexual favors, and other verbal, nonverbal, or physical conduct of a sexual nature. Sexual harassment is conduct that explicitly or implicitly affects a person's employment or education or interferes with a person's work or educational performance or creates an environment such that a reasonable person would find the conduct intimidating, hostile, or offensive. Sexual harassment includes sexual violence. The University will respond to reports of any such conduct in accordance with the *Policy*.

Sexual harassment may include incidents between any members of the University community, including faculty and other academic appointees, staff, student employees, students, coaches, residents, interns, and non-student or non-employee participants in University programs (e.g., vendors, contractors, visitors, and patients). Sexual harassment may occur in hierarchical relationships, between peers, or between individuals of the same sex or opposite sex. To determine whether the reported conduct constitutes sexual harassment, consideration shall be given to the record of the conduct as a whole and to the totality of the circumstances, including the context in which the conduct occurred.

Consistent with the University of California Policies Applying to Campus Activities, Organizations, and Students, Policy 100.00 on Student Conduct and Discipline, Section 102.09, harassment of one student by another student is defined as unwelcome conduct of a sexual nature that is so severe and/or pervasive, and objectively offensive, and that so substantially impairs a person's access to University programs or activities that the person is effectively denied equal access to the University's resources and opportunities. Student Employees: When employed by the University of California, and acting within the course and scope of that employment, an investigation may be completed by the Title IX Officer, or her designee per the UC Policy on Sexual Harassment and Sexual Violence (<u>http://policy.ucop.edu/doc/4000385/SHSV</u>). Should an investigation be conducted, a copy of the Investigator's Report and the written determination of whether or not Sexual Harassment occurred will be forwarded to the Dean, who may impose one or more sanctions, as appropriate.

In compliance with UCLA Procedure 630.1, cases involving allegations of sexual harassment must be either resolved by the Dean or heard before the Student Conduct Committee within sixty Days of the referral of the complaint. This dead-line may be extended on approval from the Vice Chancellor of Student Affairs.

This Code prohibits retaliation against a person who reports sexual harassment, assists someone with a report of sexual harassment; or participates in any manner in an investigation or resolution of a sexual harassment report. Retaliation includes threats, intimidation, reprisals, and/or adverse actions related to employment or education.

For incidents involving allegations of Sexual Harassment, see the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

#### 102.10: Stalking

Stalking is behavior in which a person repeatedly engages in conduct directed at a specific person that places that person in reasonable fear of his or her safety or the safety of others.

This Code prohibits retaliation against a person who reports stalking, assists someone with a report of stalking; or participates in any manner in an investigation or resolution of a stalking report. Retaliation includes threats, intimidation, reprisals, and/or adverse actions related to employment or education.

For incidents involving allegations of stalking, see the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

An individual who participates as a Complainant or witness in an investigation of stalking will not be subject to disciplinary sanctions for a violation of the Student Conduct Code at or near the time of the incident, unless the University determines that the violation was egregious (including but not limited to academic dishonesty and conduct that places the health or safety of any person at risk.)

#### 102.11: Harassment

Harassment is defined as conduct that is so severe and/or pervasive, and objectively offensive, and that so substantially impairs a person's access to University programs or activities that the person is effectively denied equal access to the University's resources and opportunities. Student and/or employee sexual harassment is governed by the Policy on Sexual Harassment and the Procedures for Responding to Sexual Harassment. See Section 102.09 and the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

Sanctions may be enhanced where an individual was selected for harassment because of the individual's race, color, national or ethnic origin, citizenship, sex, gender, gender expression, religion, age, sexual orientation, gender identity, pregnancy, marital status, ancestry, service in the uniformed services, physical or mental disability, medical condition, or perceived membership in any of these classifications.

#### 102.12: Hazing

Participating in, engaging in, or supporting hazing or any method of initiation or pre-initiation into a campus organization or other activity engaged in by the organization or members of the organization at any time that causes, or is likely to cause, physical injury or personal degradation or disgrace resulting in psychological harm to any student or other person.

#### 102.13: Obstruction or Disruption

Obstruction or disruption of teaching, research, administration, disciplinary procedures, or other University activities.

#### 102.14: Disorderly Behavior

Engaging in disorderly or lewd conduct.

#### 102.15: Disturbing the Peace

Participation in a disturbance of the peace or unlawful assembly.

#### 102.16: Failure to Comply

Failure to identify oneself to, or comply with directions of, a University official or other public official acting in the performance of her or his duties while on University property or at official University functions, or resisting or obstructing such University or other public officials in the performance of or the attempt to perform their duties.

#### 102.17: Controlled Substances

Manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of, controlled substances (including medicinal marijuana), identified in Federal or State law or regulations, which is unlawful or otherwise prohibited by, or not in compliance with, any University policy or campus regulations or being unable to exercise care for one's own safety because one is under the influence of controlled substances.

(NOTE: This provision shall not apply to circumstances wherein the person under the influence was given a controlled substance without his or her knowledge and permission.)

#### 102.18: Alcohol

Manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of, alcohol which is unlawful or otherwise prohibited by, or not in compliance with, any University policy or campus regulations, or being unable to exercise care for one's own safety because one is under the influence of alcohol.

(NOTE: This provision shall not apply to circumstances wherein the person under the influence was given alcohol without his or her knowledge and permission.)

#### 102.19: Destructive Devices

Possession, use, storage, or manufacture of explosives, firebombs, or other destructive devices.

#### 102.20: Weapons and Replica Weapons

#### 102.20a: Weapons

Except as expressly permitted by law, possession, use, storage, or manufacture of a firearm or other weapon capable of causing bodily injury is prohibited.

#### 102.20b: Replica Weapons

Except as expressly permitted by UCPD policy, possession, use, storage or manufacture of replicas of firearms or other weapons is prohibited.

See UCLA Policy 131 – Weapons on Campus (http://www.adminpolicies.ucla.edu/pdf/131.pdf).

#### 102.21: Violation of Disciplinary Conditions

Violation of the conditions contained in the terms of a disciplinary action imposed under the UCLA Student Conduct Code.

#### 102.22: Violation of Interim or Emergency Suspension Conditions

Violation of the conditions contained in a Notice of Interim or Emergency Suspension issued pursuant to Section IV of the UCLA Student Conduct Code.

#### 102.23: Unauthorized Use or Sale of University Materials

Except as provided herein, no Student will give, sell, or otherwise distribute to others or publish any recording made during any course presentation without the written consent of the University and the instructor/presenter. This policy is applicable to any recording in any medium, including handwritten or typed notes.

Any distribution of a recording of a course presentation at UCLA that captures the actual sounds and/or images of that course presentation, in any medium, must consider not only the rights of the instructor and the University, but also those of other parties. Examples include the privacy rights of students enrolled in the course, the rights of guest lecturers, and the copyright interests in materials authored by others that are displayed or presented during the course presentation. In addition to the consent of the University and the instructor/presenter, it may

be necessary to secure permission from these other parties before any recording, distribution, publication, or communication is legally permitted.

#### 102.23a: Selling Academic Materials

Selling, preparing, or distributing for any commercial purpose academic materials including but not limited to written, video or audio recordings of any course unless authorized by the University in advance and explicitly permitted by the course instructor in writing. The unauthorized sale or commercial distribution of academic materials including but not limited to recordings by a Student is a violation of the UCLA Student Conduct Code whether or not it was the Student or someone else who prepared the materials. This policy is applicable to any recording in any medium, including handwritten or typed notes.

#### 102.23b: Copying Course Notes

Copying for any commercial purpose handouts, readers, or other course materials provided by an instructor as part of a University of California course unless authorized by the University in advance and explicitly permitted by the course instructor and the copyright holder in writing (if the instructor is not the copyright holder).

Students currently enrolled in a course may provide a copy of their own notes or recordings to other currently enrolled students for non-commercial purposes reasonably arising from participation in the course, including individual or group study.

#### 102.23c: Commencement Tickets

Selling Commencement tickets.

#### 102.24: Misuse of University Property

Organizing or carrying out unlawful activity on University property.

#### 102.25: Violations of Law

Students may be subject to discipline on the basis of a conviction under any federal, California state, or local criminal law, when the conviction constitutes reasonable cause to believe that the Student poses a threat to the health or safety of any person, or to the security of any property, on University premises or at official University functions, or to the orderly operation of the campus.

#### 102.26: Terrorizing Conduct

Conduct, where the actor means to communicate a serious expression of intent to terrorize, or acts in reckless disregard of the risk of terrorizing, one or more University students, faculty, or staff.

Terrorize means to cause a reasonable person to fear bodily harm or death, perpetrated by the actor or those acting under his/her control.

Reckless disregard means consciously disregarding a substantial risk.

This section applies without regard to whether the conduct is motivated by race, ethnicity, personal animosity, or other reasons. This section does not apply to conduct that constitutes the lawful defense of oneself, of another, or of property.

#### 102.27: Unwanted Personal Contact

Contact (whether physical, verbal, written, face-to-face, telephonic, electronic, or by other means) that:

- 1. A student knows or should know is unwanted;
- 2. Is communicated directly to one or more specific students, faculty, or staff;
- 3. Constitutes severe and/or pervasive, and objectively offensive, conduct; and
- 4. Does not constitute speech protected by the First Amendment to the U.S. Constitution (e.g., speech in a public forum on a matter of public concern).

#### 102.28: Expectation of Privacy

The following is prohibited:

Making a video recording, audio recording, taking photographs, or streaming audio/video of any person in a private location without that person's knowledge and express consent.

Looking through a hole or opening, into, or otherwise viewing, by means of any instrumentality, the interior of a private location without the subject's knowledge and express consent.

Photographs and recordings made in private locations of sexual activity or that contain nudity, may not be posted online or otherwise shared or distributed in any manner without the knowledge and express consent of all recorded parties, even if the photograph or recording was originally made with the knowledge and express consent of those parties.

"Nudity" means the absence of an opaque covering which covers the genitals, pubic hair, buttocks, perineum, anus or anal region of any person or any portion of the breast at or below the areola thereof of any female person.

"Private locations" are settings where the person reasonably expected privacy. For example, in most cases the following are considered private locations: residential living quarters, bathrooms, locker rooms, and personal offices.

"Private, non-public conversations and/or meetings" include any communication carried on in circumstances that reasonably indicate that any party wants the communication to be confined to the parties, but excludes a communication made in a public gathering, in which the parties to the communication may reasonably expect that the communication may be overheard or recorded.

"Express consent" is clear, unmistakable and voluntary consent that may be in written, oral or nonverbal form.

These provisions do not extend to public events or discussions, nor to lawful official law or policy enforcement activities. These provisions may not be utilized to impinge upon the lawful exercise of constitutionally protected rights of freedom of speech or assembly.

# **III. STUDENT CONDUCT PROCEDURES**

The University strives to be fair in the handling of student conduct cases. The Chancellor has established these procedures and published the *UCLA Student Conduct Code*, providing for the handling of student conduct cases. The procedures outlined in this section represent the steps employed to reach a resolution in cases of alleged misconduct.

For incidents involving allegations of sexual harassment, sexual misconduct, dating violence, domestic violence, and/or stalking, see the see the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

Questions concerning these procedures may be addressed to the Office of the Dean of Students, or to Student Legal Services.

Complaints involving alleged misconduct by students must be submitted in writing to the Office of the Dean of Students, except those in which the Student is subject to an approved disciplinary procedure of a School, College, or other University unit. Complaints falling within the above exception may also be referred to the Office of the Dean of Students if such referral is provided for in the approved disciplinary procedure of the School, College, or other University unit. Referrals to the Office of the Dean of Students must be made within one year following discovery of the alleged misconduct, unless an exception is granted by the Vice Chancellor of Student Affairs.

The Dean may provide information about other campus or community resources which may be of assistance to the Student (e.g., Counseling and Psychological Services, the UCLA Santa Monica Rape Treatment Center, Student Legal Services).

In addition, a Student may be directed to act or refrain from acting in a specified manner (see sections III.B and IV of this Code). These directions may include directing students not to intentionally contact, telephone, or otherwise disturb the peace of others specifically named for a specified period of time. These directions will not terminate the Student's status as a student, and will not be construed as a finding of responsibility on the part of any student. Violation of these directions may be a violation of 102.16 (Failure to Comply).

#### A. Initial Investigation

Upon receiving a report regarding alleged violation(s), the Dean will consider

information acquired from the reporting party and will conduct further investigation to augment that information. If the Dean determines that there is sufficient information to proceed with the Student conduct process, the Office of the Dean of Students will give written Notice to the Student of the alleged violation(s).

#### B. Notice to Student

Notice to Student shall include the following:

- the nature of the conduct in question and the basis for the allegation, including a brief statement of the basis of the charges, the date or period of time and the location of the alleged incident.
- 2. the University policy(s) and/or campus regulation(s) allegedly violated; and information on how to access a full version of the UCLA Student Conduct Code;
- 3. that the Student has five Days from the date Notice was given to contact the Office of the Dean of Students for the purpose of scheduling an initial meeting;
- 4. that if the Student does not contact the Office of the Dean of Students within the five-day period, or fails to keep any scheduled appointment, a Hold may be placed on the Student's University records and the Student will be notified that this action has been taken. The placement of a Hold on the Student's University records may, for example, prevent the Student from registering and from obtaining transcripts, verifications, or a degree from the University. The Hold will be removed only when the Student either attends a scheduled meeting at the Office of the Dean of Students, or requests in writing that the case be referred to the Student Conduct Committee for a hearing; and
- 5. that no degree may be conferred on a student until all allegations against a Student and any assigned sanctions and conditions have been fully resolved. The Office of the Dean of Students may place a Hold on that Student's University records to prevent him or her from receiving a degree.

In addition, the Dean may include language directing the Student to act or refrain from acting in a manner specified by the Dean. These directions may include directing the Student not to intentionally contact, telephone, or otherwise disturb the peace of others specifically named until the matter is resolved. Violation of these directions is separate misconduct under section 102.16 (Failure to Comply).

In cases involving an active police investigation, if the Dean, in conjunction with the University of California Police Department (UCPD), determines that Notice to the Student may interfere with the criminal investigation, the Dean may delay Notice to the Student for a reasonable period of time, for example when the investigation involves the safety of a member of the campus community.

#### C. Meeting(s) with the Dean

Meeting with the Dean provides the Student an opportunity to resolve the matter. At the initial meeting with the Student, the Dean will:

- ensure that the Student has been provided information on how to access the UCLA Student Conduct Code;
- discuss confidentiality; inform the Student that the content of this and all subsequent communication with the Office regarding information not relevant to the case will, insofar as allowed by law, be treated confidentially, unless such confidentiality is waived by the Student; and that information

relevant to the case may be divulged to those who have a legitimate educational interest, including but not limited to the Student Conduct Committee;

- describe to the Student the nature of the conduct in question, and the University policy(s) and/or campus regulation(s) allegedly violated, hear the Student's response to such allegations, and counsel the Student as appropriate; and
- provide the Student with information about the right to inspect all documents relevant to the case which are in the possession of the Office of the Dean of Students; (Note: all documents will be redacted to comply with state and federal laws and regulations and University policies.)
- provide the Student with copies of the documents relevant to the case, at the Student's written request. (Note: all documents will be redacted to comply with state and federal laws and regulations and University policies.)

If a student believes that they will require a reasonable accommodation to assist you in meeting with the Dean, the student must contact and register with the Office for Students with Disabilities (OSD). OSD will provide the Dean with recommended accommodations. The Dean will either provide the recommended accommodation or work directly with OSD to discuss alternatives to the recommended accommodation.

#### D. Decisions in Absentia

If a Student fails to participate in the disciplinary process, or has withdrawn from the University while subject to pending disciplinary action, the Dean may move forward to resolve the matter without the Student's participation.

#### E. Resolution by the Dean

At the conclusion of the investigation, the Dean may take one of several actions listed below. Additionally, the alleged victim will receive written notification of the outcome of any disciplinary action or Agreement of Resolution by the University regarding an allegation of physical assault from the Office of the Dean of Students.

#### 1. Letter of Admonition

The Dean may provide Notice to a Student that her or his alleged behavior may have violated University policy or campus regulations and that, if repeated, such behavior may be subject to the disciplinary process.

#### 2. Imposing Sanctions

If the Student acknowledges behavior that is prohibited by the *Student Conduct Code*, the Dean may impose one or more of the sanctions listed in Section III.F.

#### 3. Referral to the Student Conduct Committee

A case is referred to the Student Conduct Committee for a hearing when the Student does not acknowledge engaging in behavior prohibited by the *Student Conduct Code*, but the Dean concludes from the available information that the Student Conduct Committee may find that it is more likely than not that a violation of the *UCLA Student Conduct Code* has occurred. At any time before the student conduct hearing occurs, if the Dean receives new information that leads to the conclusion that that a violation of the UCLA Student Conduct Code has not occurred, then the Dean may withdraw the case from the Student Conduct Committee. This disposition is binding and terminates that Student Conduct Committee proceeding.

#### 4. Insufficient Information

If the Dean concludes there is insufficient information on the basis of a preponderance of the evidence to issue a finding of responsibility for the alleged policy violation(s), then the matter will be closed with no further action taken.

#### 5. Agreement of Resolution

When the Dean and the Student agree that the above dispositions are not appropriate, an Agreement of Resolution may be used to conclude the matter.

This Resolution, while not considered to be a finding of responsibility, is binding. If the Student fails to abide by the terms of the Agreement of Resolution, that failure may be regarded as actionable misconduct and may subject the Student to disciplinary action by the University.

An Agreement of Resolution may include such terms as:

- agreement by the Student to refrain from specific behaviors, and/or to refrain from contacting others involved in the case;
- agreement by the Student to participate in specified educational programs and/or reconciliation processes such as mediation; and/or
- agreement by the Student to participate in specified community service activities.

The Agreement of Resolution is not a formal disciplinary action but will be retained in the case file in the Office of the Dean of Students in compliance with Section V. During that time, should the Dean have a reasonable basis to believe that the Student has engaged in misconduct related in nature to the conduct which occasioned the Agreement, both cases may be the subject of University disciplinary action.

#### F. Sanctions and Additional University Actions

When it is determined that a Student's behavior is in violation of University policy(s), the Dean will consider the context and seriousness of the violation in determining the appropriate sanction(s).

Sanctions (for any violations of Section II.B., Types of Misconduct) may be enhanced where an individual was selected because of the individual's race, color, national or ethnic origin, citizenship, sex, religion, age, sexual orientation, gender identity, pregnancy, marital status, ancestry, service in the uniformed services, physical or mental disability, medical condition, or perceived membership in any of these classifications.

#### 1. Warning

Notice or reprimand to the Student that a violation of specified University policies or campus regulations has occurred and that continued or repeated violations of specified University policies or campus regulations may be cause for further disciplinary action.

#### 2. Disciplinary Probation

A status imposed for a specific period of time in which a Student must demonstrate conduct that conforms to University standards of conduct. Conditions restricting the Student's privileges or eligibility for activities may be imposed. Further misconduct during the probationary period or violation of any conditions of the probation may result in disciplinary action.

#### 3. Deferred Suspension

A status imposed for a specific period of time in which the Student must successfully complete conditions outlined by the Office of the Dean of Students. Further violations of the *Student Conduct Code* or failure to complete any assigned conditions may result in additional disciplinary action including but not limited to suspension or dismissal.

#### 4. Deferred Dismissal

A status imposed for a specific period of time in which the Student must successfully complete conditions outlined by the Office of the Dean of Students. Further violations of the *Student Conduct Code* or failure to complete any assigned conditions will result in additional disciplinary action including but not limited to suspension or dismissal.

#### 5. Suspension

Suspension is the termination of UCLA student status for a specified academic term or terms, to take effect at such time as the Dean or the Vice Chancellor of Student Affairs determines. A suspended student will be ineligible to enroll in UCLA Extension concurrent courses during the period of suspension. During the period of suspension, the Dean may place a Hold on the Student's University records which may prevent the Student from registering and from obtaining transcripts, verifications, or a degree from the University.

After the period of Suspension, the Student will be reinstated if:

- a. the Student has complied with all conditions imposed as part of the Suspension;
- b. the Student is academically eligible;
- c. the Student meets all requirements for reinstatement including, but not limited to, removal of Holds on records, and payment of restitution where payment is a requirement of reinstatement; and
- d. the Student meets the deadlines for filing all necessary applications, including those for readmission, registration, and enrollment.
- e. Graduate and professional students are required to apply for readmission following a suspension, and must meet all requirements for readmission.

Suspension may include a prohibition against entering specified areas of the campus. Further violations of the *Student Conduct Code* or failure to complete any assigned conditions may result in additional disciplinary action including but not limited to suspension or dismissal.

No Suspension from the University will become official until five Days from the date of Notice of the Dean's disposition or the completion of a Student's appeal.

#### 6. Dismissal

Dismissal is the termination of University of California student status for an indefinite period and may include an exclusion from specified areas of the campus.

Readmission to any campus of the University of California after Dismissal may be granted only under exceptional circumstances and requires the specific approval of the Chancellor of the campus to which a dismissed Student has applied.

No dismissal from the University will become official until five Days from the date of Notice of the Dean's disposition or the completion of a Student's appeal.

In addition to the above sanctions, the Dean may also impose one or more of the following conditions:

- Exclusion from Areas of the Campus or from Official University Functions
- Loss of Privileges and Exclusion from Activities
- Community Service
- Restitution
- Participation in designated educational programs, services, or activities
- Letter of Apology
- Participation in a Restorative Justice conference

Failure to comply with the above conditions may subject the Student to additional disciplinary action. In addition, a Hold may be placed on the Student's University records for either a stated period or until the Student satisfies the conditions imposed as part of a sanction. The placement of a Hold on the Student's University records may prevent the Student from registering and from obtaining transcripts, University services, or a degree from the University.

#### G. Revocation of Awarding of Degree

If, after a degree has been awarded, a degree recipient is found responsible for a violation of the UCLA Student Conduct Code involving academic dishonesty or fraud committed while a Student, then the Dean of Students<sup>\*</sup> may impose, as a sanction, a revocation of the degree, subject to the following procedures:

- 1. The Dean of Students will submit a recommendation of revocation of the degree to the Academic Senate and obtain the concurrence of the Chair of the Academic Senate.
- 2. If the degree in question is a graduate/professional degree, the Dean of Students will also submit a recommendation of revocation of the degree to the Dean of the Graduate Division and cognizant academic or Professional School Dean and obtain the concurrence of those Deans.
- 3. If the degree in question is an undergraduate degree, the Dean of Students will also submit a recommendation of revocation of the degree to the Dean of the Division of Undergraduate Education and the cognizant Academic or Professional School Dean and obtain the concurrence of those Deans.
- 4. A Notice of Intent to Revoke Degree shall be sent to the Student. This Notice shall include the details of the violation and the basis for the revocation.
- 5. The Student may submit a written appeal of the revocation to the Vice Chancellor, Student Affairs within twenty business Days from the date of the Notice of Intent to Revoke Degree. The imposition of the Revocation of Degree will be deferred during such appeal. The review of such appeal will be in accordance with the procedure specified in section III.L. of the Student Conduct Code. The appeal may be sent from the Student's official University email address, or may be submitted in writing to the Campus Hearing Coordinator, signed by the Student. If the Student does not appeal within twenty business Days, the sanction of revocation of the degree is final.
- 6. If the Vice Chancellor upholds the revocation of degree, the Student may submit a written appeal to the Chancellor within twenty business Days from the date of Notice of the Vice Chancellor's decision. The decision of the Chancellor is final.

\*For degrees issued by the David Geffen School of Medicine, authority to revoke degrees is bestowed upon the David Geffen School of Medicine Dean of Students.

#### H. Limits on Sanctions

The loss of University employment will not be a form of sanction under the UCLA Student Conduct Code. However, when student status is a condition of employment, the loss of student status will result in termination of the Student's employment. This section is not intended to preclude the disclosure to other appropriate University officials of information relating to any student's conduct records if that information may be reasonably construed to have bearing on the Student's suitability for a specific employment situation. This section is also not intended to preclude an employer from terminating a student's employment outside the disciplinary process.

In imposing sanctions other than Suspension or Dismissal, access to housing and

health services will not be restricted unless the nature of the act that occasioned the sanction is appropriately related to the restriction.

#### I. Posting of Suspension or Dismissal on Academic Transcript

When, as a result of violations of the UCLA Student Conduct Code, a student is suspended or dismissed, the fact that the sanction was imposed must be posted on the academic transcript for the duration of the Suspension or Dismissal.

#### J. Appeal of the Sanction

If the Dean imposes a sanction of Deferred Suspension, Deferred Dismissal, Suspension, or Dismissal, the Student may appeal the sanction, to the Vice Chancellor of Student Affairs, on the grounds that the sanction assigned is substantially disproportionate to the severity of the violation. All appeals must be written and should clearly articulate and support the grounds for appeal. Appeals must be received within five Days of the date of Notice from the Dean of her or his action. Appeals may be submitted directly to the Vice Chancellor by e-mail sent from the Student's official University email account, or may be submitted in writing to the Campus Hearing Coordinator, signed by the Student.

The imposition of a sanction of Suspension or Dismissal will be deferred during such appeal. The Vice Chancellor of Student Affairs is not limited to those sanctions imposed by the Dean and may impose any one or more of the sanctions listed in Section III.F. above, even though such decision may result in the imposition of more severe disciplinary action.

The Vice Chancellor of Student Affairs will have ten Days after the receipt of the appeal to deliver her or his written decision. The decision of the Vice Chancellor of Student Affairs is final. A letter containing the decision will be delivered to the Student and to the Dean. In cases of alleged physical assault, notice of outcome including the decision will also be delivered to the alleged victim.

#### K. The Student Conduct Committee

When a case is referred to the Student Conduct Committee, the following will be provided to the Student to ensure a fair hearing:

- The Student will be provided written Notice within a reasonable time before the hearing; this Notice will include a brief statement of the basis of the charges, the University policy(s) or campus regulations allegedly violated, and the time and place of the hearing.
- The Student will be provided the opportunity for a prompt hearing.
- The Student will be given the opportunity to present documents and to propose questions to be asked of any witnesses or about any information presented in the hearing.
- The Hearing Committee will evaluate information presented in the hearing to determine if it is more likely or not that the Student has violated the *Student Conduct Code*.
- Within ten Days following the conclusion of the hearing, the Student will receive a written report including a summary of the findings of fact.

#### UCLA STUDENT CONDUCT CODE

The Student will be provided an opportunity to respond to the hearing report; at the request of the Student, access to a copy of the recording of the hearing will be made available for use in preparation of a response to the hearing report.

#### 1. Referral of Cases to the Student Conduct Committee

A hearing will be provided for all cases referred to the Student Conduct Committee under the UCLA Student Conduct Code.

#### 2. Composition of the Student Conduct Committee

The Student Conduct Committee will consist of the following members:

- a. Chair(s): At least one Chair shall be appointed by the Chancellor.
  - i. The Chair(s) may be faculty members, staff members, faculty or staff emeriti, or volunteers who have either training in a relevant subject area or experience with the hearing process.
  - ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.
- b. Not fewer than three members from the faculty/faculty emeriti, in addition to the Chair(s) will be appointed by the Chancellor.
  - i. They will be appointed for not less than one nor more than three years; however, may each serve until a successor is appointed.
- c. Not fewer than three members from the staff/staff emeriti.
  - i. The staff members will be nominated by Staff Assembly and appointed by the Chancellor.
  - ii. They will be appointed for not less than one nor more than three years, as determined by the Chancellor; however, each may serve until a successor is appointed.
- d. Not fewer than three undergraduate student members.
  - i. The undergraduate student members will be nominated by USAC and appointed by the Chancellor.
  - ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.
  - iii. During the time of appointment, each student appointee must be a registered and enrolled student at UCLA during each quarter of service on the Committee except during summer periods.
  - iv. Each undergraduate student appointee must have at the time of appointment, and must maintain throughout her or his period of service on the Committee, a cumulative grade point average of not less than 2.0.
- e. Not fewer than three graduate student members.
  - i. The graduate student members will be nominated by GSA and appointed by the Chancellor.

- ii. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.
- iii. During the time of appointment, each student appointee must be a registered and enrolled student at UCLA during each quarter of service on the Committee except during summer periods.
- f. Alumni members may be appointed by the Chancellor. They will be appointed for not less than one nor more than three years; however, each may serve until a successor is appointed.

#### 3. Training of the Student Conduct Committee

The Office of the Dean of Students is responsible for providing all Student Conduct Committee members with orientation and training on University Policies (including the UCLA Student Conduct Code and the UC Policy on Sexual Harassment); on issues related to domestic violence, dating violence,

sexual misconduct, and stalking; and on how to conduct a hearing in a way that protects the safety of all participants, promotes accountability, and assures a fair, unbiased hearing for the accused Student.

#### 4. Assignment of Student Conduct Committee Panel Members

A panel will consist of at least three but no more than five members of the Student Conduct Committee, one of whom must be a Chair. To the extent possible, students, faculty and staff will be represented equally on hearing panels.

The accused Student may, for good cause, challenge the assignment of any particular committee member. The Hearing Coordinator will adjudicate all challenges to committee members.

#### 5. Scheduling of Hearing

It is the intention of the UCLA Student Conduct Code that hearings be set as soon as reasonably possible after referral to the Student Conduct Committee.

The Hearing Coordinator will schedule a hearing of the case to be conducted no sooner than five Days after the date of Notice that the case was being referred to the Student Conduct Committee. Hearings are typically held on normal business days. With mutual consent of the accused Student and the Chair of the Student Conduct Committee, hearings may be held on Saturdays, Sundays, or days on which the campus is closed.

The Hearing Coordinator will give Notice of the time and place of the hearing and other relevant hearing information to the accused Student at least five Days prior to the date of the hearing.

The Dean and the accused Student must provide the Hearing Coordinator with relevant material, including the identities of all witnesses as well as copies of any documents which the parties intend to produce at the hearing at least three Days prior to the hearing. To the extent feasible, the Hearing Coordinator will make available any materials to the other parties in the matter two Days prior to the hearing. Any witnesses or materials not submitted to the Hearing Coordi-

nator at least three Days prior to the hearing may be introduced in the hearing at the discretion of the Student Conduct Committee Chair.

#### 6. Hearing Procedures

The accused Student will have the opportunity to present documents and witnesses, and to address all information being presented in the hearing. Specifically, the accused Student will have the opportunity to propose questions to be asked of witnesses who appear at the hearing in person, or by telephone or other electronic means, and to propose questions to be asked about documents and written statements presented in the hearing.

The accused Student may challenge the admission of any documents or written statements on the grounds that those documents or statements are unduly prejudicial. The Student Conduct Committee Chair will determine the appropriateness of all questions and the admissibility of documents and written statements.

The accused Student is responsible for presenting his or her information and may choose to be assisted by a Campus Advocate. Students may identify their own Campus Advocate, or may request to be assisted at no cost by a trained Campus Advocate assigned by the Hearing Coordinator.

Options for assistance may be discussed with the Office of Student Legal Services or the Hearing Coordinator.

If the accused Student does not speak in the hearing, no inferences can be drawn as a direct result of that silence.

The Student Conduct Committee Chair is responsible for the secure and orderly operation and administration of the hearing, and has the right to exclude persons from the hearing room if deemed necessary. All procedural questions are subject to the final decision of the Student Conduct Committee Chair.

A Student who believes he or she will require a reasonable accommodation in the hearing must contact and register with the Office for Students with Disabilities (OSD). OSD will provide the Hearing Coordinator with recommended accommodations. The Hearing Coordinator will either provide the recommended accommodations or work directly with OSD to discuss alternatives to the recommended accommodations.

- Hearings in Absentia
   If a Student does not attend the hearing, the case may proceed to
   disposition without the Student's participation.
- b. Hearings Involving Alleged Sexual Harassment, Sexual Misconduct, Domestic Violence, Dating Violence, Sexual Harassment, or Stalking
  - i. For hearings involving alleged sexual harassment, sexual misconduct,

domestic violence, dating violence, and/or stalking, please see the UCLA Student Conduct Procedures for Allegations of Sexual Harassment, Sexual Misconduct, Domestic and Dating Violence, and Stalking.

#### 7. Standard of Proof

The Standard of Proof which will be used in hearings is that the University must prove that it is more likely than not that the student committed the misconduct of which he or she is accused.

#### 8. Record of Hearing

The Hearing Administrator will make a single verbatim recording, such as a tape recording, of the hearing. (Deliberations shall not be recorded). This recording shall be the property of the University and will be maintained by the Hearing Coordinator as long as the Office of the Dean of Students maintains the student discipline records (see Section V, Privacy and Records Retention).

The documents submitted by the parties and accepted by the Student Conduct Committee Chair will constitute the official record of the hearing, and will become part of the Student's conduct record.

An accused Student who is considering submitting a response to the hearing report will, upon request, be granted post-hearing access to review (but not copy) the recording. This access will be provided on the University campus, during regular business hours; the recording may not be removed from the premises or reproduced. The accused Student may be accompanied by the Campus Advocate or Support Person(s) who accompanied the accused Student at the hearing.

When the accused Student is unable to be present on the University campus during regular business hours, the accused Student will be provided reasonable accommodations which may include access to review the recording either on campus on Saturdays, Sundays, or days on which the campus is closed, or at an alternate location.

#### 9. Spectators

To protect the privacy of persons participating in the student conduct process, the hearing will be closed to spectators, including witnesses. Witnesses may only be present in the hearing when answering questions from the Student Conduct Committee.

However, the accused Student will each be entitled, for support, to have up to two Support Persons of her or his choice accompany them to the hearing, in addition to her or his Campus Advocate. A Support Person may not be called as a witness. The Student Conduct Committee Chair has the right to exclude Support Persons from the hearing room if deemed necessary for the secure or orderly conduct of the hearing.

#### 10. Continuing Resolution between the Student and the Dean

Until the Student Conduct Committee makes its report to the Vice Chancellor of Student Affairs, the accused Student may make an admission of responsibility to the Dean assigned to the case. This disposition of the matter will bind all parties and terminate all proceedings.

#### 11. Reports to the Vice Chancellor of Student Affairs

At the conclusion of a hearing, the Student Conduct Committee Chair will provide the Hearing Administrator with:

- a. A summary of the allegations;
- b. a summary of the information presented;
- c. whether, in the opinion of a majority of the Student Conduct Committee Panel, the accused Student has violated one or more of the University policies or campus regulations the accused Student has been charged with violating, or whether there has been insufficient evidence to sustain such a finding and the basis for that opinion; and
- d. if it is the opinion of a majority of the Student Conduct Committee Panel that the accused Student has violated one or more of the University policies or campus regulations which the accused Student has been charged with violating, a recommendation of sanction(s).

Within ten Days of the conclusion of the hearing, the Hearing Administrator will prepare a report to the Vice Chancellor of Student Affairs which includes this information.

#### 12. Copies of Reports

A copy of all reports required to be submitted to the Vice Chancellor of Student Affairs by the Student Conduct Committee will be sent to the accused Student, and the Dean when transmitted to the Vice Chancellor of Student Affairs.

#### 13. Response to the Report by the Accused Student

The accused Student will have five Days from the date of the letter forwarding the hearing report in which he or she may submit a written response challenging the findings and recommendations included in the report.

Such response shall be in writing and shall state on which of the following three grounds it is being submitted. Responses may be submitted directly to the Vice Chancellor by e-mail sent from the Student's official University e-mail account, or may be submitted in writing to the Campus Hearing Coordinator, signed by the Student.

The three grounds on which a Student may respond are:

- a. The hearing was not conducted in accordance with the UCLA Student Conduct Code. Deviations from designated procedures will not be a basis for sustaining a challenge unless the procedural error substantially impacted the finding or sanction.
- b. There is new information, or other relevant facts, potentially sufficient to alter the findings, but that could not have been known to the Student at the time of the hearing.

c. The Student Conduct Committee has recommended a sanction of a Deferred Suspension, Deferred Dismissal, Suspension, or Dismissal, and the Student believes the sanction(s) recommended are substantially disproportionate to the severity of the violation of the UCLA Student Conduct Code which the Student was found to have committed.

#### L. Review by the Vice Chancellor of Student Affairs

#### 1. Decision by the Vice Chancellor of Student Affairs

The final decision regarding a case that has been heard by the Student Conduct Committee will be made by the Vice Chancellor of Student Affairs.

#### 2. Basis for Decision

The Vice Chancellor of Student Affairs will base her or his decision upon:

- a. the report submitted by the Hearing Administrator including the findings and recommended sanction of the Student Conduct Committee;
- b. counsel solicited from the Dean regarding sanction(s) imposed in similar cases and any previous cases of misconduct by the Student on file with the Office of the Dean of Students; and
- c. the content of any written response submitted by the accused Student.

#### 3. Sanctions

The Vice Chancellor of Student Affairs may decide to impose one or more of the sanctions listed in Section III.F. The Vice Chancellor is not limited to those sanctions recommended by the Student Conduct Committee, even though such decision may result in the imposition of more severe disciplinary action.

When a response based on new information or other relevant facts that could not have been known to the accused Student at the time of the hearing and which are deemed sufficient to alter a decision is successful, the Vice Chancellor may opt to send the case back to the Committee to be reheard.

#### 4. Notice of Decision

The Vice Chancellor of Student Affairs will have ten Days after the receipt of the report of the Student Conduct Committee, or the written response by the Student and, whichever is latest, to deliver her or his written decision.

The written decision will be delivered to the Student and to the Dean. In cases of alleged physical assault, the alleged victim will receive written notification of the outcome.

The Vice Chancellor of Student Affairs may also notify other parties of her or his decision, or may direct the Dean to do so, if there is an institutional interest in doing so, and if such parties are authorized to receive such information under the provisions of Section 130.00 et seq. of the University of California Policies Applying to Campus Activities, Organizations, and Students.

#### **IV. INTERIM AND EMERGENCY SUSPENSION**

#### A. Interim Suspension

Before final determination of alleged misconduct, Interim Suspension may be imposed by the Office of the Dean of Students. Interim Suspension, as defined in Section 105.08 of the University of California Policies Applying to Campus Activities, Organizations, and Students, may include exclusion from classes or from other specified activities or areas of the campus.

A student will be restricted only to the minimum extent necessary when there is reasonable cause to believe that the student's participation in University activities or presence at specified areas of the campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

- 1. Within twenty-four hours of the imposition of the Interim Suspension, the Chancellor or her or his designee will review the information upon which the Interim Suspension was based. If the Chancellor or designee does not affirm the Interim Suspension within twenty-four hours of its imposition, the Interim Suspension will be deemed void and a reasonable effort will be made to inform the Student who was suspended that the Interim Suspension is void. Should the Interim Suspension be voided, that will have no bearing on University disciplinary proceedings arising from the conduct which gave rise to the Interim Suspension. Any such disciplinary proceedings will be conducted under the normal procedures provided by the UCLA Student Conduct Code.
- 2. Upon imposition of the Interim Suspension, the Office of the Dean of Students will notify the Student under the Interim Suspension of the charges against him or her, the length and conditions of the Interim Suspension, and the opportunity for a hearing with the Dean of Students, or designee to challenge the Interim Suspension.
- 3. Hearings to review Interim Suspensions will have scheduling priority. The Student may be assisted at the hearing by an assistant of the Student's choosing and at the Student's expense. The Student may present information in support of the contention that the Interim Suspension is unnecessary or that its conditions should be modified. The Dean of Students or designee is authorized to investigate the facts which gave rise to the Interim Suspension and may lift the Interim Suspension or modify its conditions. Within three Days of the conclusion of the hearing, the Dean of Students or designee will determine a) if the Interim Suspension is necessary; and b) if its conditions should be modified. The result of this hearing will have no bearing on other University disciplinary proceedings arising from the conduct which gave rise to the Interim Suspension. Any such disciplinary proceedings will be conducted under the normal procedures provided in the UCLA Student Conduct Code.

4. Disciplinary proceedings involving Students on Interim Suspension will follow the normal procedures provided in the UCLA Student Conduct Code, however, at each step of the proceedings they will have scheduling priority.

#### B. Emergency Suspension

During a state of emergency, the Chancellor may impose Emergency Suspension on a student as described in Section 53.00 of the *University of California Policies* 

Applying to Campus Activities, Organizations, and Students when there is reasonable cause to believe:

- The individual has participated in a disturbance of the peace or unlawful assembly, or has acted in violation of the campus emergency orders, has committed an act of physical violence, or has threatened to commit such an act, or has committed a theft or has damaged property; or
- 2. The individual's presence on campus will lead to violation of campus emergency orders, violence, intimidation, damage to property, or other disruptive activity incompatible with the orderly operation of the campus.
  - a. If Emergency Suspension is imposed by a designated representative of the Chancellor, such representative will immediately inform the Chancellor and will submit a written report on the action to the Chancellor as soon as it is reasonably possible. The report will contain a description of the person suspended, including the person's name and, if available, address and telephone number, and a statement of the facts giving rise to the Suspension. If the Chancellor does not affirm the action of the designated representative within twenty-four hours after being informed that the Emergency Suspension has been imposed, the Emergency Suspension will be deemed void and a reasonable effort will be made to inform the person who was suspended that the Emergency Suspension is void.
  - b. Any Student placed on Emergency Suspension will be given Notice of Emergency Suspension, either by delivering it to the individual personally or by mailing it to the individual's last known address of record. The Notice of Emergency Suspension will inform the individual of the procedures by which the validity of the Emergency Suspension can be appealed, including the opportunity to obtain a special hearing on the Emergency Suspension. If an individual is found to have been unjustifiably placed on Emergency Suspension, the University is committed to a policy whereby reasonable efforts are taken to assist such an individual who has been disadvantaged in her or his employment or academic status.
  - c. Any Student placed on Emergency Suspension will not, during

the period of Emergency Suspension, enter upon specified areas of the campus or engage in specified activities, as set forth in the Notice of Emergency Suspension.

The exclusion or restriction will be limited to the minimum extent necessary to protect the health and safety of persons or property, or to maintain the orderly operation of the campus

- d. The outcome of the appeal will have no bearing on University disciplinary proceedings arising from the conduct which gave rise to the Emergency Suspension
- e. Violation of any condition set forth in the Notice of Emergency Suspension will subject the individual to disciplinary proceedings based upon such violation.

## V. PRIVACY AND RECORDS RETENTION

Student conduct records are confidential. The disclosure of information from such records is subject to section 130.00 of the University of California Policies Applying to Campus Activities, Organizations and Students (<u>http://policy.ucop.edu/doc/2710533/PACAOS-130</u>), UCLA Policy 220 – Disclosure of Information From Student Records (<u>http://www.adminpolicies.ucla.edu/app/Default.aspx?&id=220</u>) to the California Information Practices Act, and to the Family Educational Rights and Privacy Act.

In cases where the final disposition is Dismissal, the Office of the Dean of Students retains student conduct records for fifty years from the date of the Notice of final disposition.

For cases that do not result in dismissal but which are required to be included in the UCLA Jeanne Clery Crime Statistics Report, the Office of the Dean of Students retains student conduct records for seven years from the date of the Notice of final disposition. Student conduct records in all other cases are retained for five years from the date of the Notice of final disposition. When there have been repeated violations of the UCLA Student Conduct Code, all student conduct records pertaining to an individual student will be retained for five years (seven years for cases which are required to be included in the UCLA Jeanne Clery Crime Statistics Report) from the date of the final disposition in the most recent case.

Upon receipt of a request from professional schools, graduate programs, employers, or others, for the disciplinary records of a student, after the student provides an appropriate confidentiality waiver (where applicable), the Office of the Dean of Students will only report and/or release records where violations resulted in a sanction of suspension and/or dismissal, either imposed and deferred.

# VI. AMENDMENT AND MODIFICATION

Amendment of the UCLA Student Conduct Code may be made by the Chancellor in consultation with students, faculty, staff, and the Office of the Dean of Students. Prior to adoption, such amendments will be submitted to the Office of General Counsel for review for consistency with the policies of the University of California and state and federal law.

# UCLATRUE

# AS A BRUIN, I COMMIT MYSELF TO THE HIGHEST ETHICAL STANDARDS

# RESPECT

I will respect the rights & dignity of others.

# ACCOUNTABILITY

## INTEGRITY

# SERVICE

I will be accountable as an individual & member of this community for my ethical conduct.

I will conduct myself with integrity in my dealings with & on behalf of the University.

I will make an impact in our global community through public service.

# EXCELLENCE

I will conscientiously strive for excellence in my work.