

# CCQ: Efficient Local Planning using Connection Collision Query

Min Tang, Young J. Kim and Dinesh Manocha

**Abstract** We introduce a novel proximity query, called *connection collision query* (CCQ), and use it for efficient and exact local planning in sampling-based motion planners. Given two collision-free configurations, CCQ checks whether these configurations can be connected by a given continuous path that either lies completely in the free space or penetrates any obstacle by at most  $\epsilon$ , a given threshold. Our approach is general, robust, and can handle different continuous path formulations. We have integrated the CCQ algorithm with sampling-based motion planners and can perform reliable local planning queries with little performance degradation, as compared to prior methods. Moreover, the CCQ-based exact local planner is about an order of magnitude faster than prior exact local planning algorithms.

## 1 Introduction

Planning a collision-free path for a robot amongst obstacles is an important problem in robotics, CAD/CAM, computer animation and bioinformatics. This problem is well studied and many approaches have been proposed. Over the last few decades, sampling-based motion planners such as probabilistic roadmaps [10] (PRMs) or rapidly-exploring random trees [15] (RRTs) have been shown to be successful in terms of solving challenging problems with high degrees-of-freedom (DoFs) robots. These planners attempt to capture the topology of the free space by generating random configurations and connecting nearby configurations using local planning algorithms.

The main goal of a local planner is to check whether there exists a collision-free path between two free configurations. It is important that the local planner should be reliable and does not miss any collisions with the obstacles [8, 1]. Moreover, a significant fraction of the overall running time of a sampling-based planner is spent in the local planning routines.

The simplest local planning algorithms compute a continuous interpolating path between free configurations and check the path for collisions with any obstacles. These algorithms sample the continuous path at a fixed resolution and discretely check each of the resulting configurations for collisions. These *fixed-resolution local planning* algorithms are simple to implement, but suffer from two kinds of problems:

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1. **Collision-miss:** It is possible for the planner to miss a collision due to insufficient sampling. This can happen in narrow passages or when the path lies close to the obstacle boundary, or when dealing with high DOF articulated models. This affects overall accuracy of the planner.
2. **Collision-resolution:** Most planners tend to be conservative and generate a very high number of samples, which results in a lot of discrete collision queries and affects the running time of the planner.

Overall, it is hard to compute the optimal resolution parameter that is both fast and can guarantee collision-free motion. In order to overcome these problems, some local planners use exact methods such as continuous collision detection (CCD) [18, 30] or dynamic collision checking [23]. However, these *exact local planning* methods are regarded as expensive and are much slower than fixed-resolution local planners [23]. Many well-known implementations of sampling-based planners such as OOPSMP<sup>1</sup> and MSL<sup>2</sup> only use fixed-resolution local planning, though MPK<sup>3</sup> performs exact collision checking for local planning.

**Main Results:** We introduce a novel proximity query, namely *connection collision query* (CCQ), for fast and exact local planning in sampling-based motion planners. At a high level, our CCQ algorithm can report two types of proximity results:

- **Boolean CCQ query:** Given two collision-free configurations of a moving robot in the configuration space, CCQ checks whether the configurations can be connected by a given path that lies in the free space, namely *Boolean CCQ<sub>s</sub> query*. In addition, the CCQ query can also check whether the path lies partially inside the obstacle region (C-obstacle) with at most  $\varepsilon$ -penetration, namely *Boolean CCQ<sub>p</sub> query*. In this case, the robot may overlap with some obstacles and the extent of penetration is bounded above by  $\varepsilon$ .
- **Time of violation (ToV) query:** If the Boolean queries report FALSE (*i.e.* the path does not exist), the CCQ query reports the first parameter or the configuration along the continuous path that violates these path constraints

Moreover, our algorithm can easily check different types of continuous paths including a linear interpolating motion in the configuration space or a screw motion.

We have integrated our CCQ algorithm into well-known sampling-based motion planners and compared their performance with prior methods. In practice, we observe that an exact local planning algorithm based on the CCQ query can be at most two times slower than a fixed-resolution local planning based on PRM and RRT, though the paths computed using CCQ queries are guaranteed to be collision-free. Finally, we also show that our CCQ algorithm outperforms prior exact local planners by one order of magnitude.

**Paper Organization:** The rest of this paper is organized as follows. In Sec. 2, we briefly survey the related work and formulate the CCQ problem in Sec. 3. Sections 4

<sup>1</sup> <http://www.kavrakilab.org/OOPSMP>

<sup>2</sup> <http://msl.cs.uiuc.edu/>

<sup>3</sup> <http://robotics.stanford.edu/~mitul/mpk/>

and 5 describe the CCQ algorithms for rigid robots with separation and penetration constraints, respectively. We describe how our CCQ algorithm can be extended to articulated robots in Sec.6, and highlight the results for different benchmarks in Sec. 7.

## 2 Previous Work

Our CCQ algorithm is related to continuous collision detection. In this section, we give a brief survey on these proximity queries and local planning.

### 2.1 Continuous Collision Detection

The term continuous collision detection was first introduced by Redon *et al.* [18] in the context of rigid body dynamics, even though the earlier work on similar problems dates back to the late 1980s [3]. The main focus of CCD algorithms lies in finding the first time of contact for a fast moving object between two discrete collision-free configurations. Many CCD algorithms for rigid models have been proposed [24]: these include algebraic equation solvers, swept volume formulations, adaptive bisection approach, kinetic data structures approach, Minkowski sum-based formulations and conservative advancement (CA).

For articulated models, Redon *et al.*[19] present a method based on continuous OBB-tree test, and Zhang *et al.* [30] have extended the CA method to articulated models. In the context of motion planning, Schwarzer *et al.* [23] present a dynamic collision checking algorithm to guarantee a collision-free motion between two configurations. These algorithms have been mainly used for rigid body dynamics and their application to sampling-based planning has been limited [23]. In practice, the performance of these exact local planning methods is considered rather slow for motion planners. Moreover, current CCD algorithms are not optimized for reporting Boolean results and cannot handle penetration queries such as  $CCQ_p$ , that are useful for local planners and narrow passages.

### 2.2 Local Planning

There are two important issues related to our work in terms of local planning: the type of continuous interpolating path and the validity of the path in terms of collisions. The former is related to motion interpolation between collision-free samples, and the latter is related to collision checking.

#### 2.2.1 Motion Interpolation

In the context of local planning, different types of motion interpolation methods have been used such as linear motion in C-space [23], spherical motion in C-space [11], screw motion [20], etc. These motion trajectories are rather simple to compute and cost-effective for local planning.

More sophisticated motion interpolation techniques have been introduced to find an effective local path by taking into account the robot/obstacle contacts [9, 5], variational schemes [25] and distance constraints [27]. Amato *et al.* [1] evaluate different distance metrics and local planners, and show that the translational distance becomes more important than the rotational distance in cluttered scenes.

### 2.2.2 Collision Checking

Given a path connecting two collision-free configurations, a conventional way of local planning is to sample the path at discrete intervals and perform static collision detection along the discrete path [13, 14]. Some exact collision checking methods have been proposed for local planning such as [23, 4] using adaptive bisection.

Since collision checking can take more than 90% of the total running time in sampling-based planners, lazy collision, lazy collision evaluation techniques have been proposed [22, 2] to improve the overall performance of a planner. The main idea is to defer collision evaluation along the path until it is absolutely necessary. While these techniques help to greatly improve the performance of PRM-like algorithms, but they do not improve the reliability of resolution-based collision checkers.

When narrow passages are present in the configuration space, it is hard to capture the connectivity of the free space by using simple collision checking, since it may report a lot of invalid local paths. However, some retraction-based planners [7, 6, 4, 28] allow slight penetration into the obstacle region based on penetration depth computation, which makes the local planning more effective.

## 3 Problem Formulation

We start this section by introducing the notation that is used throughout the paper. Next, we give a precise formulation of CCQ.

### 3.1 Notations and Assumptions

We use bold-faced letters to denote vector quantities (*e.g.*  $\mathbf{o}$ ). Many other symbols used in the paper are given in Table 1. We assume that both the robot  $\mathfrak{A}$  and obstacle  $\mathfrak{B}$  are rigid and defined in  $\mathbb{R}^3$  workspace. Moreover, the robot has 6 DoFs and the obstacle is fixed in space; thus, the C-space of  $\mathfrak{A}$  is SE(3). We briefly discuss how to handle high DoF robots later in Sec. 6.

| Notation   | Meaning  |
|--|--|
| $\mathfrak{A}, \mathfrak{B}, \partial\mathfrak{A}, \partial\mathfrak{B}$ | robot, obstacle and their boundaries   |
| $\mathcal{C}$  | C-space of $\mathfrak{A}$  |
| $\mathbf{q}, \mathbf{q}(t)$  | a sample in C-space and a 1D curve in C-space  |
| $\mathfrak{A}(\mathbf{q}), \mathfrak{A}(t)$                              | placements of the robot $\mathfrak{A}$ at $\mathbf{q}$ and $\mathbf{q}(t)$                                 |
| $\mathcal{F}, \mathcal{O}$   | C-free and C-obstacle region in $\mathcal{C}$ ( <i>i.e.</i> $\mathcal{C} = \mathcal{F} \cup \mathcal{O}$ ) |
| $\ \cdot, \cdot\ $   | Euclidean distance operator  |

**Table 1** Notations.

### 3.2 Local Planning in Sampling-based Motion Planner

Given the starting  $\mathbf{q}_0$  and goal  $\mathbf{q}_1$  configurations in  $\mathcal{F}$ , most sampling-based randomized planners compute a search graph  $\mathcal{G}$  to explore the C-space, where the vertex corresponds to a sample in  $\mathcal{F}$  and each edge corresponds to a 1D curve in C-space connecting two collision-free samples. More specifically, sampling-based planners work in the following manner:

1. **Sample Generation:** Sample a collision-free configuration  $\mathbf{q}_1$  in  $\mathcal{F}$ .

2. **Local Planning:** Check whether  $\mathbf{q}_1$  can be connected to a vertex  $\mathbf{q}_0$  in  $\mathcal{G}$  by some collision-free, continuous path  $\mathbf{q}(t)$  in C-space. If so, a new edge connecting  $\mathbf{q}_0, \mathbf{q}_1$  is created and added to  $\mathcal{G}$  along with the vertex  $\mathbf{q}_1$ .
3. **Graph Search:** Perform graph search on  $\mathcal{G}$  to find a path from  $\mathbf{q}_0$  to  $\mathbf{q}_1$ . If such a path is found, the algorithm reports the path; otherwise, go back to step 1 and repeat.

In the local planning step, the choice of a continuous path  $\mathbf{q}(t)$  interpolating  $\mathbf{q}_0, \mathbf{q}_1$  may vary depending on the topology of  $\mathcal{F}$ . Once a specific path formulation is chosen, the algorithm needs to check whether that path is collision-free or not.

### 3.3 Connection Collision Query

Now we define the CCQ proximity query, the main problem to solve in this paper. Let us assume that two collision-free samples  $\mathbf{q}_0, \mathbf{q}_1 \in \mathcal{F}$  in  $\mathcal{C}$  and a time-parameterized, continuous 1D curve  $\mathbf{q}(t)$  in  $\mathcal{C}$  connecting  $\mathbf{q}_0$  and  $\mathbf{q}_1$  for  $t \in [0, 1]$ ; *i.e.*  $\mathbf{q}(0) = \mathbf{q}_0, \mathbf{q}(1) = \mathbf{q}_1$ . Then, the CCQ with separation constraint is formally defined as checking whether the following predicate  $\text{CCQ}_s$  is TRUE:

$$\text{CCQ}_s : \forall t \in [0, 1] \Rightarrow \mathbf{q}(t) \in \mathcal{F}. \quad (1)$$

Moreover, if  $\text{CCQ}_s$  is FALSE, we want to determine the maximum value of  $t$  that satisfies  $\text{CCQ}_s$ . We call such  $t$  as the time of violation (ToV) with separation,  $\tau_s$ . More formally,

$$\tau_s \equiv \max_t \{ \forall s \in [0, t] \mid \mathbf{q}(s) \in \mathcal{F} \}. \quad (2)$$

The Boolean  $\text{CCQ}_s$  query is useful for local planning in PRM and RRT, and the ToV  $\text{CCQ}_s$  query can be used for local planning or the expansion step in RRT.

On the other hand, the notion of CCQ with  $\varepsilon$ -penetration is a less restrictive version of connection query than CCQ with separation constraint, as it allows slight penetration (quantified by  $\varepsilon$ ) into the C-obstacle region for the C-space curve  $\mathbf{q}(t)$ . Formally, we define CCQ with  $\varepsilon$ -penetration as checking whether the following predicate  $\text{CCQ}_p$  is TRUE:

$$\begin{aligned} \text{CCQ}_p : \forall t \in [0, 1] \Rightarrow \{ \mathbf{q}(t) \in \mathcal{F} \} \vee \\ \{ \mathbf{q}(t) \in \mathcal{O} \wedge \forall \mathbf{p} \in \mathfrak{A}(t) \cap \mathfrak{B}, \|\mathbf{p} - \partial\mathfrak{B}\| \leq \varepsilon \}. \end{aligned} \quad (3)$$

Furthermore, if  $\text{CCQ}_p$  is FALSE, we also determine the maximum value of  $t$  that satisfies  $\text{CCQ}_p$ , called the ToV with  $\varepsilon$ -penetration,  $\tau_p$ . More formally,  $\tau_p$  is defined as:

$$\begin{aligned} \tau_p \equiv \max_t \{ \forall s \in [0, t] \mid \mathbf{q}(s) \in \mathcal{F} \vee \\ \{ \mathbf{q}(s) \in \mathcal{O} \wedge \forall \mathbf{p} \in \mathfrak{A}(s) \cap \mathfrak{B}, \|\mathbf{p} - \partial\mathfrak{B}\| \leq \varepsilon \} \}. \end{aligned} \quad (4)$$

The  $\text{CCQ}_p$  query can be used for PRM and RRT when a small amount of penetration is allowed for a robot along the local path. Moreover, retraction-based planners may use  $\text{CCQ}_p$  to generate samples with slight penetration [7, 6, 4].

## 4 CCQ with Separation Constraint

In this section, we present our algorithm to perform the  $CCQ_s$  query. We start this section by explaining the conservative advancement (CA) technique upon which our CCQ algorithm is based. Next, we explain the procedure to compute the ToV information  $\tau_s$  in Eq.2 along with  $CCQ_s$ . Finally, we provide a fast technique to solve the Boolean version of  $CCQ_s$  (i.e. Eq.1).

### 4.1 Conservative Advancement

Our CCQ algorithm is based on the conservative advancement (CA) algorithm [16] for convex objects undergoing continuous motion. In CA, the time of contact (ToC)  $\tau$  between two convex objects  $\mathfrak{A}$  and  $\mathfrak{B}$  is obtained by iteratively advancing  $\mathfrak{A}$  by  $\Delta t_s$  toward  $\mathfrak{B}$  without generating collisions. Here,  $\Delta t_s$  can be calculated by:

$$\Delta t_s \leq \frac{\|\mathfrak{A}(t), \mathfrak{B}\|}{\mu} \quad (5)$$

where  $\mu$  is the bound of motion of  $\mathfrak{A}(t)$  for  $t \in [0, 1]$  projected onto the closest direction from  $\mathfrak{A}(t)$  to  $\mathfrak{B}$ , known as the directional motion bound [29]. Then, the ToC is obtained as:

$$\tau = \sum_i \Delta t_s^i \quad (6)$$

where  $\Delta t_s^i$  denotes the  $i$ th CA iteration. The iteration continues until  $\|\mathfrak{A}(\tau), \mathfrak{B}\| \approx 0$ . This idea can be extended to non-convex models using bounding volume hierarchies [24].

### 4.2 Time of Violation Query for $CCQ_s$

In case of  $CCQ_s$ , the time of violation (ToV) is equivalent to the time of contact (ToC) in CA. Moreover, if the path  $\mathbf{q}(t)$  is a linear motion in C-space, one can employ the  $C^2A$  algorithm [24] based on CA to compute  $\tau_s$  for the robot  $\mathfrak{A}$ . We also show that we can devise a variant of  $C^2A$  algorithm that can handle the screw motion for  $\mathbf{q}(t)$ .

The screw motion consists of rotation about an axis  $\omega$  in space by an angle of  $\theta$  radians, followed by translation along the same axis by an amount of  $d$  as shown in Fig.1. The screw motion can be represented by using four parameters  $(\omega, \theta, \mathbf{a}, \mathbf{d})$ ,

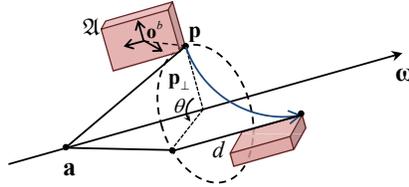


Fig. 1 Screw Motion.

where  $\mathbf{a}$  is any point on the axis  $\omega$ . Given two configurations  $\mathbf{q}_0$  and  $\mathbf{q}_1$  in  $SE(3)$ , the screw parameters can be easily computed [21].

The main challenge in computing  $\tau_s$  under screw motion is to compute the directional motion bound  $\mu$  for Eq.5. Let us assume that our robot  $\mathcal{A}$  is convex with the origin  $\mathbf{o}^b$  of the body attached frame. Let  $\mathbf{p}$  be any point on  $\mathcal{A}$  with  $\mathbf{p}^b$  representing the same point but defined with respect to the body frame,  $\mathbf{n}$  be the closest direction from  $\mathcal{A}$  to the obstacle  $\mathcal{B}$  at  $t = 0$ ,  $\mathbf{p}_\perp$  be a vector projected from  $\mathbf{p}$  to the axis  $\omega$ . Then, an upper bound  $\mu$  of the motion of any point on  $\mathcal{A}$  under screw motion, projected onto  $\mathbf{n}$  is:

$$\begin{aligned} \mu &= \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 (\dot{\mathbf{p}}(t) \cdot \mathbf{n}) dt \right) \\ &= \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 ((\mathbf{v} + \omega \times \mathbf{p}_\perp(t)) \cdot \mathbf{n}) dt \right) \\ &\leq \max(\mathbf{v} \cdot \mathbf{n}, 0) + \|\omega \times \mathbf{n}\| \left( \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 \|\mathbf{p}_\perp(t)\| dt \right) \right) \\ &\leq \max(d\omega \cdot \mathbf{n}, 0) + \|\omega \times \mathbf{n}\| \left( \left\| (\mathbf{o}^b - \mathbf{a}) \times \omega \right\| + \max_{\mathbf{p} \in \mathcal{A}} \|\mathbf{p}^b\| \right). \end{aligned} \quad (7)$$

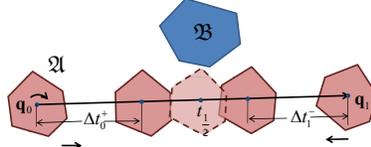
Note that  $\max_{\mathbf{p} \in \mathcal{A}} \|\mathbf{p}^b\|$  can be calculated as preprocess, since  $\mathbf{p}^b$  is defined with respect to the body frame. A similar bound can be obtained for other motion trajectories such as spherical motions [11].

### 4.3 Boolean Version of $CCQ_s$

From the previous section, the  $CCQ_s$  predicate in Eq.1 can be trivially determined by checking whether  $\tau_s \geq 1$  (TRUE) or not (FALSE). However, one can devise a more efficient way to answer the  $CCQ_s$  predicate without explicitly computing  $\tau_s$ .

Given the starting  $\mathbf{q}_0$  and goal  $\mathbf{q}_1$  configurations, the main idea in evaluating  $CCQ_s$  is to perform dual advancements from both end-configurations  $\mathbf{q}_0, \mathbf{q}_1$  with opposite velocities, and iterate this process until collision is found or the path turns out to be collision-free. The dual advancement is more effective than the normal advancement using a single end-configuration since the normal advancement is always conservative (i.e. collision will be never identified until the final ToV value is obtained).

More specifically, as shown in Fig. 2, we perform a single CA iteration from  $\mathbf{q}_0$  towards  $\mathbf{q}_1$  as before and compute the first advancement time,  $\Delta t_0^+$ . Similarly, we perform another CA iteration but from  $\mathbf{q}_1$  towards  $\mathbf{q}_0$  with a negative velocity (e.g.  $(-\mathbf{v}, -\omega)$ ) and compute the advancement time,  $\Delta t_1^-$ .



**Fig. 2 A Single Step in the Boolean Query.** Dual advancements are performed from  $\mathbf{q}_0$  towards  $\mathbf{q}_1$  by  $\Delta t_0^+$ , and from  $\mathbf{q}_1$  towards  $\mathbf{q}_0$  by  $\Delta t_1^-$ . The collision is checked at  $\mathbf{q}(\frac{1}{2})$ .

If  $(\Delta t_0^+ + \Delta t_1^-) \geq 1$ , then the entire path  $\mathbf{q}(t)$  is collision-free, thus the predicate is returned as TRUE; otherwise, we bisect the time interval at  $t_{\frac{1}{2}} = \frac{t_0 + t_1}{2}$  and perform

collision detection at the configuration  $\mathbf{q}(t_{\frac{1}{2}})$ . If collision is detected at  $\mathbf{q}(t_{\frac{1}{2}})$ ,  $\text{CCQ}_s$  is reported as `FALSE` and the procedure is terminated. Otherwise, the same dual CA procedure is executed recursively on two sub-paths,  $\{[\mathbf{q}(\Delta t_0^+), \mathbf{q}(t_{\frac{1}{2}})], [\mathbf{q}(t_{\frac{1}{2}}), \mathbf{q}(1 - \Delta t_1^-)]\}$ . Note that the remaining path segments  $\{[\mathbf{q}(0), \mathbf{q}(\Delta t_0^+)], [\mathbf{q}(1 - \Delta t_1^-), \mathbf{q}(1)]\}$  are collision-free because of conservative advance mechanism. This procedure is iterated until the separation condition is satisfied or a collision is detected. We provide a pseudo-code for  $\text{CCQ}_s$  in Alg.1.

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**Algorithm 1**  $\text{CCQ}_s$ 

**Input:** initial and goal configurations  $\mathbf{q}_0, \mathbf{q}_1$ , interpolating motion  $\mathbf{q}(t)$

**Output:** whether Eq. 1 is `TRUE` or `FALSE`

---

```

1: {Initialize the queue with  $[\mathbf{q}(0), \mathbf{q}(1)]$ .}
2: while Queue  $\neq \emptyset$  do
3:   Pop an element  $[\mathbf{q}(t_a), \mathbf{q}(t_b)]$  from the queue;
4:    $t_{\frac{1}{2}} = \frac{t_a + t_b}{2}$ ;
5:   if  $\mathbf{q}(t_{\frac{1}{2}})$  is in-collision then
6:     return FALSE;
7:   end if
8:   Perform CA from  $\mathbf{q}(t_a)$  with a positive velocity and find the step size  $\Delta t_a^+$ ;
9:   Perform CA from  $\mathbf{q}(t_b)$  with a negative velocity and find the step size  $\Delta t_b^-$ ;
10:  if  $(\Delta t_a^+ + \Delta t_b^-) < (t_b - t_a)$  then
11:    Push  $[\mathbf{q}(t_a + \Delta t_a^+), \mathbf{q}(t_{\frac{1}{2}})]$  and  $[\mathbf{q}(t_{\frac{1}{2}}), \mathbf{q}(t_b - \Delta t_b^-)]$  onto the queue;
12:  end if
13: end while
14: return TRUE;

```

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## 5 CCQ with Penetration Constraints

The  $\text{CCQ}_s$  algorithm presented in Sec.4 strictly imposes that the interpolating path  $\mathbf{q}(t)$  should lie entirely inside  $\mathcal{F}$ . However, this condition is rather restrictive since a slight overlap between the robot and the obstacles may be useful in practice and is used by retraction-based planners [7, 4, 28]. For instance, often the curved surface model of a robot is tessellated with some surface deviation error  $\varepsilon$  and thus  $\varepsilon$ -penetration does not necessarily imply actual interference [4]. The notion of  $\text{CCQ}_p$  is that we allow slight penetration for a robot along the path as long as the penetration amount is less than some threshold,  $\varepsilon$ .

### 5.1 Penetration Depth

To quantify the amount of penetration for a robot  $\mathcal{A}$ , we need a suitable metric. The penetration depth (PD) is a proper metric to quantify the amount of overlap between  $\mathcal{A}$  and  $\mathcal{B}$ . In the literature, different types of penetration depth are known [26] and in our case, we use pointwise penetration depth [24] since it is computationally cheaper to compute as compared to other penetration measures.

When  $\mathcal{A}$  and  $\mathcal{B}$  overlap, the pointwise penetration depth is defined as the point of deepest interpenetration of  $\mathcal{A}$  and  $\mathcal{B}$ . Formally, the pointwise penetration depth (or PD for short) can be defined as:

$$PD \equiv \mathcal{H}(\mathcal{A} \cap \partial(\mathcal{A} \cap \mathcal{B}), \mathcal{B} \cap \partial(\mathcal{A} \cap \mathcal{B})) \quad (8)$$

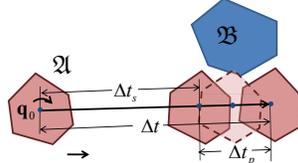
where  $\mathcal{H}(\cdot, \cdot)$  denotes the two-sided Hausdorff distance operator between surfaces.

## 5.2 Boolean Version of $CCQ_p$

We first explain how to evaluate the  $CCQ_p$  predicate in Eq.3. The main idea of our evaluation algorithm is to decompose the advancement step size  $\Delta t$  into two sub-steps  $\Delta t_s$  and  $\Delta t_p$  (i.e.  $\Delta t = \Delta t_s + \Delta t_p$ ) such that collision-free motion is generated during  $\Delta t_s$  while  $\Delta t_p$  may induce penetration with the PD value being less than  $\varepsilon$ . Then, we perform dual CAs from the end-configurations  $\mathbf{q}_0, \mathbf{q}_1$  like  $CCQ_s$  in Sec. 4.3.

Since  $\Delta t_s$  can be calculated just like in Eq. 5, computing  $\Delta t$  boils down to calculating  $\Delta t_p$ . In general, computing  $\Delta t_p$  can be quite challenging since one needs to search the entire C-space (both C-free and C-obstacle) where the placement of  $\mathcal{A}$  at  $\mathbf{q}(t + \Delta t)$  may yield either collision-free or in-collision configuration. In order to compute a feasible solution for  $\Delta t_p$ , we use a conservative approach.

The key idea is that, after the advancement of  $\Delta t_s + \Delta t_p$  time step, want to guarantee that the robot still remains collision-free at  $\mathbf{q}(t + \Delta t_s + \Delta t_p)$ . Taking advantage of this constraint, we first move the robot to  $\mathcal{A}(t + \Delta t_s)$ , and then calculate  $\Delta t_p$  that can bound the motion of  $\mathcal{A}$  by less than  $2\varepsilon$  so that the possible PD between  $\mathcal{A}$  and  $\mathcal{B}$  can be less than  $\varepsilon$ , as shown in Fig. 3.



**Fig. 3 Decomposition of the Time Step  $\Delta t$  into  $\Delta t_s$  and  $\Delta t_p$  for  $CCQ_p$ .**  $\Delta t_s$  corresponds to the collision-free time step and  $\Delta t_p$  to the time step that may result in  $\varepsilon$ -penetration.

More precisely, an upper bound of the time step size  $\Delta t_p$  can be computed by observing the fact that the robot should not travel by more than  $2\varepsilon$ ; otherwise, the penetration depth can be greater than  $\varepsilon$ . Thus, assuming that the robot and obstacles are both convex, we have:

$$\Delta t_p \leq \frac{2\varepsilon}{\mu_u} \quad (9)$$

where  $\mu_u$  is the maximum amount of motion that a point on  $\mathcal{A}$  can make between the time interval of  $[0, 1]$ . Note that  $\mu_u$  is an undirected motion bound unlike the directed one  $\mu$  in Eq.5, since no closest direction will be defined for a robot in collision with obstacles. Essentially,  $\mu_u$  depends on the underlying path. We present simple formulas to compute  $\mu_u$  for both linear (Eq.10) and screw (Eq.11) motions as shown below. Here,  $\mathbf{p}, \mathbf{p}^b, \mathbf{p}_\perp, \mathbf{o}^b$  have the same meanings as defined in Sec.4.2.

### Linear Motion

$$\begin{aligned} \mu_u &= \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 \|\dot{\mathbf{p}}^i(t)\| dt \right) \\ &= \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 \|\mathbf{v} + \boldsymbol{\omega} \times \mathbf{p}^b(t)\| dt \right) \\ &\leq \|\mathbf{v}\| + \max_{\mathbf{p} \in \mathcal{A}} \left( \int_0^1 \|\boldsymbol{\omega} \times \mathbf{p}^b(t)\| dt \right) \\ &\leq \|\mathbf{v}\| + \|\boldsymbol{\omega}\| \max_{\mathbf{p} \in \mathcal{A}} \|\mathbf{p}^b\| \end{aligned} \quad (10)$$

### Screw Motion

$$\begin{aligned}
\mu_u &= \max_{\mathbf{p} \in \mathfrak{A}} \left( \int_0^1 \|\dot{\mathbf{p}}^i(t)\| dt \right) \\
&= \max_{\mathbf{p} \in \mathfrak{A}} \left( \int_0^1 \|\mathbf{v} + \boldsymbol{\omega} \times \mathbf{p}_\perp(t)\| dt \right) \\
&\leq \|\mathbf{v}\| + \max_{\mathbf{p} \in \mathfrak{A}} \left( \int_0^1 \|\boldsymbol{\omega} \times \mathbf{p}_\perp(t)\| dt \right) \\
&\leq \|\mathbf{v}\| + \|\boldsymbol{\omega}\| \left( \|\mathbf{o}^b - \mathbf{a}\| \times \|\boldsymbol{\omega}\| + \max_{\mathbf{p} \in \mathfrak{A}} \|\mathbf{p}^b\| \right)
\end{aligned} \tag{11}$$

The result of our algorithm is conservative in the sense that our algorithm does not report a false-positive result; *i.e.* if the algorithm reports `TRUE`, it guarantees that  $\text{CCQ}_p$  is indeed `TRUE`.

### 5.3 Time of Violation Query for $\text{CCQ}_p$

A simple way to compute the ToV in Eq.4 can be devised similarly to evaluating  $\text{CCQ}_p$  by decomposing the ToV into the one corresponding to collision-free motion  $\tau_s$  (Eq.2) and one to  $\varepsilon$ -penetration  $\Delta t'_p$ ; *i.e.*

$$\tau_{p1} = \left( \sum_i \Delta t_s^i \right) + \Delta t_{p'} = \tau_s + \Delta t_{p'}. \tag{12}$$

Moreover, in order to guarantee  $\varepsilon$ -penetration,  $\Delta t'_p$  is calculated such that the motion of  $\mathfrak{A}$  starting at  $t = \tau_s$  should be bounded above by  $\varepsilon$ :

$$\Delta t'_p \leq \frac{\varepsilon}{\mu_u}. \tag{13}$$

Here, the undirected motion bound  $\mu_u$  can be calculated similarly as in the previous section. However, there are two issues related to computing the ToV, as shown in Eq.12:

- $\tau_{p1}$  provides a lower bound of the ToV with  $\varepsilon$ -penetration, but this may be a loose bound since  $\varepsilon$  is typically much smaller than  $\mu_u$ .
- The placement of the robot at  $\mathfrak{A}(\tau_{p1})$  may correspond to an in-collision sample. This can be problematic for most sampling-based planners where only collision-free samples are permitted to represent the connectivity of the free C-space.

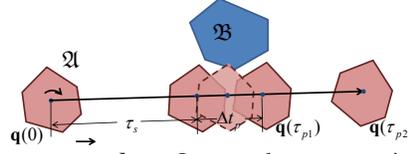
Note that the second issue is more severe than the first one in practice. We introduce an alternative way to compute  $\tau_p$  to overcome these issues.

The main idea is that instead of accumulating the collision-free time steps first (*i.e.*  $\tau_s$ ), we intertwine collision-free and in-collision motions for every time step, just like the Boolean query in the previous section. Thus, the new ToV  $\tau_{p2}$  is:

$$\tau_{p2} = \sum_i (\Delta t_s^i + \Delta t_p^i). \tag{14}$$

Here,  $\Delta t_s^i, \Delta t_p^i$  for the  $i$ th iteration are calculated using Eq. 5 and Eq. 9, respectively. The above iteration continues until the  $i$ th iteration yields a collision. Thus, by construction,  $\mathfrak{A}(\tau_{p2})$  is collision-free. Moreover, in general,  $\tau_{p1} \leq \tau_{p2}$ ; however this is

not always true but less likely to happen in practice since Eq. 14 continues to iterate until collision is found unlike Eq. 12, as illustrated in Fig.4.



**Fig. 4 Comparison between  $\tau_{p1}$  and  $\tau_{p2}$ .** In general,  $\tau_{p2} > \tau_{p1}$  since more iterations will be performed for  $\tau_{p2}$  until collision is found at  $\mathbf{q}(\tau_{p2})$ .

## 6 Extension to Articulated Robots

Our CCQ algorithms for rigid robots can be extended to articulated robots. The basic equations that support CCQ algorithms such as Eqs. 6 or 12 can be reused as long as the directed and undirected motion bounds  $\mu, \mu_u$  can be calculated. However, this turns out to be relatively straightforward. For instance, in the case of linear motion, the directed motion bound  $\mu$  for an articulated robot can be obtained using the same motion bound presented by Zhang *et al.* [30]. Moreover, the spatial and temporal culling techniques proposed in the paper to accelerate the query performance are also reusable for CCQ queries between articulated models.

## 7 Results and Discussion

In this section, we describe the implementation results of our CCQ algorithms, and benchmark the performance of the algorithms by plugging them into well-known, sampling-based planners. Finally, we compare our algorithm against prior exact local planning techniques.

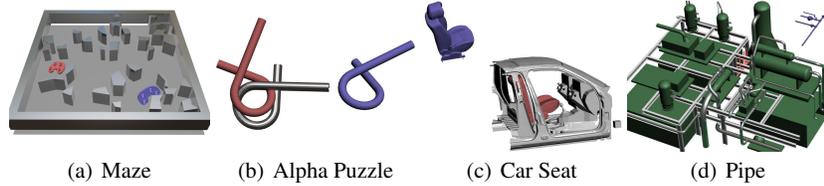
### 7.1 Implementation Details

We have implemented our CCQ algorithm using C++ on a PC running Windows Vista, equipped with Intel Dual CPU 2.40GHz and 5GB main memory. We have extended public-domain collision libraries such as PQP [12] and C<sup>2</sup>A. Note that these collision libraries are designed only for static proximity computation or ToV computation (similar to  $\tau_s$ ) under a linear motion. Throughout the experiments reported in the paper, we set the penetration threshold  $\varepsilon$  for CCQ<sub>p</sub> and  $\tau_p$  as one tenth of the radius of the smallest enclosing sphere of  $\mathcal{A}$ .

To measure the performance of our algorithms, we have used the benchmarking models and planning scenarios as shown in Table 2 and Fig.5 with sampling-based motion planners including PRM and RRT. These benchmarking models consist of 1K ~ 30K triangles, and the test scenarios have narrow passages for the solution path. Typical query time for our CCQ algorithms takes a few milli-seconds; for instance, the most complicated benchmark, the car seat, takes 21.2 msec and 28.3 msec for ToV and Boolean queries, respectively.

### 7.2 Probabilistic Roadmap with CCQ

In Sec.3.2, we have explained the basic steps of sampling-based planners. These planners use a different local planning step (the step 2 in Sec. 3.2).



**Fig. 5 Benchmarking Scenes.** For each benchmark scene, the starting and goal configurations of the robot are colored in red and blue, respectively.

| Benchmarks   | $\mathfrak{A}$ | $\mathfrak{B}$ | # of tri ( $\mathfrak{A}$ ) | # of tri ( $\mathfrak{B}$ ) |
|--------------|----------------|----------------|-----------------------------|-----------------------------|
| Maze         | CAD piece      | Maze           | 2572                        | 922                         |
| Alpha Puzzle | Alpha          | Alpha          | 1008                        | 1008                        |
| Car seat     | Seat           | Car Body       | 15197                       | 30790                       |
| Pipe         | Pipe           | Machinery      | 10352                       | 38146                       |

**Table 2** Benchmarking Model Complexities.

In conventional PRM-based planners, this Boolean checking is implemented by performing fixed-resolution collision detection along the path, namely fixed-resolution local planning (DCD). In Table 3, we show the performance of PRM with DCD with varying resolution parameters and a linear path. Here, the resolution parameter means the average number of collision checks performed for each local path. We have used the OOPSMP implementation of PRM, and only the maze and pipe benchmarks were solvable by OOPSMP within a reasonable amount of time. The optimal performance is obtained when the resolution is 23, and as the resolution parameter becomes less than 23, the OOPSMP may not be able to compute a collision-free path. In any case, the DCD local planner still does not guarantee the correctness of the path in terms of collision-free motion.

| Avg. Collision Resolution | 23     | 40     | 47     | 80     | 128    |
|---------------------------|--------|--------|--------|--------|--------|
| PRM with DCD (Boolean)    | 12.70s | 15.88s | 18.76s | 39.49s | 44.75s |

**Table 3** The performance of PRM in seconds based on fixed-resolution local planning (DCD) with different resolutions for the maze benchmark.

However, exact local planning is made possible by running the Boolean version of our CCQ algorithm on the path. In Table 4, we highlight the performance of CCQ-based local planning algorithms ( $CCQ_s$  and  $CCQ_p$ ) with PRM, and compare it against that of the DCD local planning method with the optimal resolution parameter. In case of the pipe benchmark, the PRM performance using our algorithm is similar to that of the DCD. In case of the maze benchmark, our CCQ-based local planner is about 1.8 times slower than DCD local planner. Even for this benchmark, when the resolution parameter becomes higher than 80, our CCQ algorithm performs faster than DCD, even though the DCD local planner still cannot guarantee the correctness of the solution path. Also notice that  $CCQ_p$  takes less time than  $CCQ_s$  since the former is a less restrictive query than the latter.

### 7.3 Rapidly-Exploring Random Tree with CCQ

Both ToV and Boolean CCQ can be employed to implement exact local planning for RRT planner. Specifically, when the new node is to be extended along some path, if the path is not collision-free, the path can be entirely abandoned (Boolean query) or

| Benchmark | DCD      | Boolean Query    |                  |
|-----------|----------|------------------|------------------|
|           |          | CCQ <sub>s</sub> | CCQ <sub>p</sub> |
| Maze      | 12.70s   | 36.34s           | 24.09s           |
| Pipe      | 8425.09s | 9610.13s         | 8535.60s         |

**Table 4** The performance of PRM using DCD local planner and CCQ-based local planner. The CCQ-based local planner can guarantee collision-free motion while the other cannot give such guarantees.

the partial collision-free segment of the path before the ToV can be still kept (ToV query).

In Fig. 6, we show the performance of RRT planner with our CCQ algorithms and DCD local planner with the optimal resolution parameter. Also, different types of motion paths such as linear and screw motion have been tested. We also have used the OOPSMP implementation of RRT for this experiment. To find the optimal resolution parameter for DCD local planner, we test different resolution parameters ranging between [3, 15]; for instance, see Table 5 for the alpha puzzle benchmark using the ToV query based on DCD local planner. Similar to PRM, the variation in performance depends on the resolution parameter, but it does not show the linear relationship between the resolution and performance unlike PRM since computing an accurate ToV using higher resolution requires many more collision checks. Thus, picking a right value for the resolution parameter is even more difficult in case of RRT.

In our benchmarks, the RRT with CCQ-based local planner is roughly two times slower than the one with DCD local planner with the optimal resolution, which defined as the minimum resolution to find a path. However, in some cases such as the Maze (BS), Alpha puzzle (BL) and pipe (BL) benchmarks in Fig.6, the RRT with CCQ-based local planner is even faster than the one with DCD local planner since the number of collision checks can be kept minimal. For the car seat benchmark, the Boolean query with a screw motion (BS) could not find out a collision-free path in a reasonable amount of time.

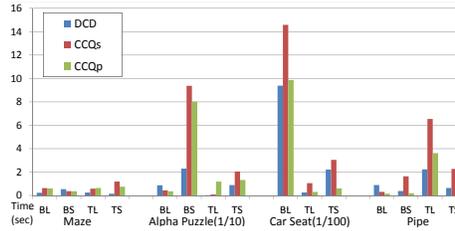
| Avg. Collision Resolution | 4.21   | 5.96  | 6.01  | 6.97   |
|---------------------------|--------|-------|-------|--------|
| RRT with DCD (ToV)        | 25.60s | 0.25s | 2.08s | 39.65s |

**Table 5** The performance of RRT in seconds based on fixed-resolution local planning (DCD) with different average resolutions for the alpha puzzle benchmark. In this case, RRT uses the ToV query. When the resolution is less than 4, RRT cannot find a path

## 7.4 Comparisons with Prior Approaches

We also compare the performance of our CCQ-based local planning algorithm with the prior exact local planning algorithms such as the dynamic collision checking method (DCC) [23] implemented in MPK. To the best of our knowledge, the dynamic collision checking algorithm is the only public-domain exact local planner that has been integrated into sampling-based motion planner.

Since DCC supports only a Boolean query with a linear motion and separation constraints, we compare the performance of the Boolean version of our CCQ<sub>s</sub> against DCC by plugging CCQ<sub>s</sub> into the MPK planner, as shown in Table 6. For benchmarks, we use the same pipe model in Fig.5-(d), but shrink the robot a little

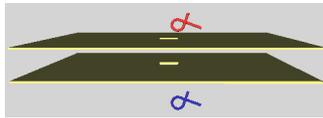


**Fig. 6 The Performance of RRT using DCD and CCQ-based Local Planner.** The x-axis represents different benchmarking scenes with different queries such as BL (Boolean query with a linear motion), BS (Boolean query with a screw motion), TL (ToV query with a linear motion), and TS (ToV query with a screw motion) for each benchmark. The y-axis denotes the planning time in seconds for the maze and pipe benchmark, in tens of seconds for the alpha puzzle, and in hundreds of seconds for the car seat. The blue, red and green bars denote the planning time using DCD, CCQ<sub>s</sub>-based, and CCQ<sub>p</sub>-based local planners, respectively.

to enable MPK to find a solution path. We also use another benchmark model as shown in Fig. 7, the alpha-shape with two holes. In this case, we plan a path for an alpha-shape tunnelling through two holes, and measure the average performance of DCC and CCQ<sub>s</sub>-based local planner. We also compare the CCQ<sub>s</sub> algorithm with C<sup>2</sup>A-based local planning algorithm [24] in two benchmarks, as shown in Table. 6

| Benchmarks                 | # of triangles | CCQ <sub>s</sub> | DCC   | C <sup>2</sup> A |
|----------------------------|----------------|------------------|-------|------------------|
| Pipe                       | 48K            | 0.29s            | 1.82s | 1.78s            |
| Alpha-shape with two Holes | 1K             | 4.5s             | 63.8s | 17.9s            |

**Table 6** Performance Comparisons between dynamic collision checking (DCC), CCQ<sub>s</sub>, and C<sup>2</sup>A-based local planner. The timings are the total collision checking time in seconds used for local planning.



**Fig. 7 Alpha-Shape Through Two Holes.** The red and blue alpha shapes represent the starting and goal configurations, respectively.

In our experiments, CCQ<sub>s</sub>-based local planner is about an order of magnitude faster than DCC local planner mainly because CCQ uses a tighter, directional motion bound than DCC relying on unidirectional motion bound. A similar explanation was also provided in [29] why the directional bound is superior to the unidirectional one. Another reason is because of the dual advancement mechanism in CCQ-based local planner. Moreover, CCQ<sub>s</sub> is about 5 times faster than C<sup>2</sup>A in our experiment, because of the dual advancement mechanism.

The ToV version of our CCQ<sub>s</sub> algorithm has a similar objective as continuous collision detection algorithms. Since our algorithm is based on the known fastest CCD algorithm C<sup>2</sup>A [24], it shows a similar performance of that of C<sup>2</sup>A. However, C<sup>2</sup>A is not optimized for a Boolean query and does not support CCQ with penetration constraints. Ferre and Laumond’s work [4] supports a penetration query, but their work is not available freely and is essentially similar to DCC [23].

## 8 Conclusions

We have presented a novel proximity query, CCQ, with separation and penetration constraints. It can be used for efficient and exact local planning in sampling-based planner. In practice, we have shown that the CCQ-based local planner is only two times slower or sometimes even faster than the fixed-resolution local planner. Moreover, CCQ-based local planners outperform the state-of-the-art exact local planners by almost an order of magnitude. Our CCQ algorithm can be also extended to a more general type of motion as long as its bound can be conservatively obtained.

There are a few limitations in our CCQ algorithm. Both  $CCQ_s$  and  $CCQ_p$  algorithms are sensitive to threshold values; *e.g.* the termination condition threshold for CA or  $CCQ_s$  and penetration threshold  $\epsilon$  for  $CCQ_p$ . The motion bound calculation such as  $\mu$  or  $\mu_u$  depends on the underlying path. When the robot moves with a very high rotational velocity, many CA iterations might be required to converge.

For future work, it may be possible for a planner to try different types of paths and automatically choose the suitable or optimal one. We would like to extend our CCQ framework to deformable robots. We are also interested in applying our CCQ technique to other applications such as dynamics simulation where the ToV computation is required. In particular, the use of  $CCQ_p$  may also provide a direction for contact dynamics where slight penetration is allowed (*e.g.* penalty-based method). Finally, we would like to design parallel GPU-based extension of CCQ and use it for real-time planning [17].

## 9 Acknowledgements

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